

<p>(51) International Patent Classification <sup>6</sup> : H04J 13/04</p>	<p>A2</p>	<p>(11) International Publication Number: WO 97/02675</p> <p>(43) International Publication Date: 23 January 1997 (23.01.97)</p>
<p>(21) International Application Number: PCT/US96/11059</p> <p>(22) International Filing Date: 27 June 1996 (27.06.96)</p> <p>(30) Priority Data: 60/000,775 30 June 1995 (30.06.95) US</p> <p>(71) Applicant: INTERDIGITAL TECHNOLOGY CORPORATION [US/US]; Suite 200, 900 Market Street, Wilmington, DE 19801 (US).</p> <p>(72) Inventors: LOMP, Gary; 130 Washington Drive, Centerpot, NY 11721 (US). OZLUTURK, Fatih; 1474 Middle Neck Road, Port Washington, NY 11050 (US). SILVERBERG, Avi; 40 Cottonwood Drive, Commack, NY 11725 (US).</p> <p>(74) Agents: NIGON, Kenneth, N. et al.; Ratner &amp; Prestia, P.O. Box 980, One Westlakes, Berwyn, Valley Forge, PA 19482-0980 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i></p>

**(57) Abstract**

to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal value until a pilot signal is received. The associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver includes a group of message signal acquisition circuits, each including a plurality of receive message signal correlators which correlate respective local received message code signal to the CDM signal to produce a respective despread received message signal.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## CDMA MODEM

## BACKGROUND OF THE INVENTION

Providing quality telecommunication services to user groups which are classified as remote, such as rural telephone systems and telephone systems in underdeveloped countries, has proved to be a challenge over recent years. The past needs created by these services have been partially satisfied by wireless radio services, such as fixed or mobile frequency division multiplex (FDM), frequency division multiple access (FDMA), time division multiplex (TDM), time division multiple access (TDMA) systems, combination frequency and time division systems (FD/TDMA), and other land mobile radio systems. Often, these remote services are faced with more potential users than can be supported simultaneously by their frequency or spectral bandwidth capacity.

Recognizing these limitations, recent advances in wireless communications have used spread spectrum modulation techniques to provide simultaneous communication by multiple users. Spread spectrum modulation refers to modulating a information signal with a spreading code signal; the spreading code signal being generated by a code generator where the period  $T_c$  of the spreading code is substantially less than the period of the information data bit or symbol signal. The code may modulate the carrier frequency upon which the information has been sent, called frequency-hopped spreading, or may directly modulate the signal by multiplying the spreading code with the information data signal, called direct-sequence spreading (DS). Spread-spectrum modulation produces a signal with bandwidth substantially greater than that required to transmit the information signal, and synchronous reception and despreading of the signal at the receiver demodulator recovers the original information. The synchronous demodulator uses a reference signal to synchronize the despreading circuits to the input spread-spectrum modulated signal in order to recover the carrier and information signals. The reference signal can be a spreading code which is not modulated by an information signal.

Spread-spectrum modulation in wireless networks offers many advantages because multiple users may use the same frequency band with minimal interference to each user's

- 2 -

receiver. Spread-spectrum modulation also reduces effects from other sources of interference. In addition, synchronous spread-spectrum modulation and demodulation techniques may be expanded by providing multiple message channels for a user, each spread with a different spreading code, while still transmitting only a single reference  
5 signal to the user.

One area in which spread-spectrum techniques are used is in the field of mobile cellular communications to provide personal communication services (PCS). Such systems desirably support large numbers of users, control Doppler shift and fade, and provide high speed digital data signals with low bit error rates. These systems employ a family of  
10 orthogonal or quasi-orthogonal spreading codes, with a pilot spreading code sequence synchronized to the family of codes. Each user is assigned one of the spreading codes as a spreading function. Related problems of such a system are: supporting a large number of users with the orthogonal codes, handling reduced power available to remote units, and handling multipath fading effects. Solutions to such problems include using phased-array  
15 antennas to generate multiple steerable beams, using very long orthogonal or quasi-orthogonal code sequences which are reused by cyclic shifting of the code synchronized to a central reference, and diversity combining of multipath signals.

#### SUMMARY OF THE INVENTION

An exemplary system which includes a modem according to the present  
20 invention provides local-loop telephone service using radio link between one or more base stations and multiple remote subscriber units. In the exemplary embodiment, the radio link is described for a base station communicating with a fixed subscriber unit (FSU), but the system is equally applicable to systems including multiple base stations with radio links to both FSUs and Mobile Subscriber Units (MSUs). Consequently, the remote  
25 subscriber units are referred to herein as Subscriber Units (SUs). Referring to Figure 1, in the exemplary system, the Base Station (BS) 101 provides call connection to the local exchange (LE) 103 or other and telephone network switching interface, and includes the Radio Carrier Station RCS (104). One or more RCSs 104, 105, 110 connect to the Radio Distribution Unit (RDU) 102 through the links 131, 132, 137, 138, 139, and the RDU  
30 102 in turn interfaces with the LE 103 by transmitting and receiving call set-up, control, and information signals through telco links 141, 142, 150. The SUs 116, 119

communicate with the RCS 104 through radio links 161, 162, 163, 164, 165. Both the RCS and the SUs include CDMA modems which establish and maintain the radio links. Alternatively, another embodiment of the invention may include several SUs and a "master" SU which functions in much the same way as the RCS to allow communication among the SUs. Such embodiment may or may not have connection to a local telephone network.

Although the described embodiment uses different spread-spectrum bandwidths centered around a carrier for the transmit and receive spread-spectrum channels, the present method is readily extended to systems using multiple spread-spectrum bandwidths for the transmit channels and multiple spread-spectrum bandwidths for the receive channels. Alternatively, because spread-spectrum communication systems have the inherent feature that one user's transmission appears as noise to another user's despreading receiver, an embodiment can employ the same spread-spectrum channel for both the transmit and receive path channels. In other words, Uplink and Downlink transmissions can occupy the same frequency band.

The spread binary symbol information is transmitted over the radio links 161 to 165 using Quadrature Phase Shift Keying (QPSK) modulation with Nyquist Pulse Shaping in the present embodiment, although other modulation techniques may be used, including, but not limited to, Offset QPSK (OQPSK) and Minimum Shift Keying (MSK).

The RCS and the SUs each contain CDMA modems according to the present invention for transmission and reception of telecommunication signals including information signals and connection control signals. A CDMA modem which includes an embodiment of the present invention includes a modem transmitter having: a code generator which provides an associated pilot code signal and which generates a plurality of message code signals; a spreading circuit which combines each of the information signals, with a respective one of the message code signals to generate a spread-spectrum processed message signal; and a global pilot code generator that provides a global pilot code signal to which the message code signals are synchronized.

The exemplary CDMA modem also includes a modem receiver having associated pilot code acquisition and tracking logic. The associated pilot code acquisition

- 4 -

logic includes an associated pilot code generator and a group of associated pilot code correlators for correlating code-phase delayed versions of the associated pilot signal with a receive CDM signal to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal value until a detector  
5 indicates the presence of the despread associated pilot code signal by changing the value of the acquisition signal. The associated pilot code signal is synchronized to the global pilot signal. The associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver  
10 includes a group of message signal acquisition circuits. Each message signal acquisition circuit includes a plurality of receive message signal correlators which correlate the local received message code signal with the CDM signal to produce a respective despread received message signal.

To generate large families of nearly mutually orthogonal codes used by the  
15 CDMA modems, the exemplary modem includes a code sequence generator. The code sequences are assigned to a respective logical channel of the spread-spectrum communication system, which includes In-phase (I) and Quadrature (Q) transmission over RF communication channels. One set of sequences is used as pilot sequences that are transmitted without being modulated by a data signal. The code sequence generator  
20 circuit includes a long code sequence generator including a linear feedback shift register, a memory which provides a short, even code sequence, and a plurality of cyclic shift, feedforward sections, each of which provides a respective code sequence in the family of code sequences. The code sequence generator further includes a group of code sequence combiners for combining each generated code sequence with the short, even code  
25 sequence to produce a group, or family, of long code sequences having relatively low mutual correlation.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of a code division multiple access communication system according to the present invention.

- 5 -

Figure 2a is a block diagram of a 36 stage linear shift register suitable for use with long spreadingcode of the code generator of the present invention.

Figure 2b is a block diagram of circuitry which illustrates the feed-forward operation of the code generator.

5                Figure 2c is a block diagram of an exemplary code generator of the present invention including the circuit for generating spreadingcode sequences from the long spreadingcode and the short spreadingcodes.

Figure 2d is an alternate embodiment of the code generator circuit including delays to compensate for electrical circuit delays.

10              Figure 3a is a graph of the constellation points of the pilot spreadingcode QPSK signal.

Figure 3b is a graph of the constellation points of the message channel QPSK signal.

15              Figure 3c is a block diagram of exemplary circuitry which implements the method of tracking the received spreadingcode phase of the present invention.

Figure 4 is a block diagram of the tracking circuit that tracks the median of the received multipath signal components.

Figure 5a is a block diagram of the tracking circuit that tracks the centroid of the received multipath signal components.

20              Figure 5b is a block diagram of the Adaptive Vector Correlator.

Figure 6 is a block diagram of exemplary circuitry which implements the acquisition decision method of the correct spreading code phase of the received pilot code of the present invention.

25              Figure 7 is a block diagram of an exemplary pilot rake filter which includes the tracking circuit and digital phase locked loop for despreading the pilot spreadingcode, and generator of the derotation factors of the present invention.

Figure 8a is a block diagram of an exemplary adaptive vector correlator and matched filter for despreading and combining the multipath components of the present invention.

5 Figure 8b is a block diagram of an alternative implementation of the adaptive vector correlator and adaptive matched filter for despreading and combining the multipath components of the present invention.

Figure 8c is a block diagram of an alternative embodiment of the adaptive vector correlator and adaptive matched filter for despreading and combining the multipath components of the present invention.

10 Figure 8d is a block diagram of the Adaptive Matched Filter of one embodiment of the present invention.

Figure 9 is a block diagram of the elements of an exemplary radio carrier station (RCS) of the present invention.

15 Figure 10 is a block diagram of the elements of an exemplary modem interface unit (MIU) of the RCS shown in Figure 9.

Figure 11 is a high level block diagram showing the transmit, receive, control, and code generation circuitry of the CDMA modem.

Figure 12 is a block diagram of the transmit section of the CDMA modem.

Figure 13 is a block diagram of an exemplary modem input signal receiver.

20 Figure 14 is a block diagram of an exemplary convolutional encoder as used in the present invention.

Figure 15 is a block diagram of the receive section of the CDMA modem.

Figure 16 is a block diagram of an exemplary adaptive matched filter as used in the CDMA modem receive section.

25 Figure 17 is a block diagram of an exemplary pilot rake as used in the CDMA modem receive section.

Figure 18 is a block diagram of an exemplary auxiliary pilot rake as used in the CDMA modem receive section.



## DESCRIPTION OF THE EXEMPLARY EMBODIMENT

Referring to Fig. 1, the radio links 161 to 165 incorporate Broadband Code Division Multiple Access (B-CDMA™) as the mode of transmission in both the Uplink and Downlink directions. CDMA (also known as Spread Spectrum) communication techniques used in multiple access systems are well-known. The described exemplary system uses the Direct Sequence (DS) spreading technique. In each modem, one or more CDMA modulators performs the spread-spectrum spreading code sequence generation. In addition, the modems generate, for example, a pseudonoise (PN) spreading sequence; and perform complex DS modulation to produce quadrature phase shift keying (QPSK) signals for the In-phase (I) and Quadrature (Q) channels. Pilot signals are generated and transmitted with the modulated signals. The pilot signals of the present embodiment are spreading codes which are not modulated by data. The pilot signals are used for system synchronization, carrier phase recovery, and for estimating the impulse response of the radio channel. Each SU includes a single pilot generator and at least one CDMA modulator and demodulator, called a CDMA modem. Each RCS 104, 105, 110 has a single pilot generator plus sufficient CDMA modulators and demodulators for all the logical channels in use by all SUs.

The CDMA demodulator despreads the signal, with appropriate processing to combat or exploit multipath propagation effects. Parameters concerning the received power level are used to generate the Automatic Power Control (APC) information which, in turn, is transmitted to the other end (i.e. from the SU to the RCS or from the RCS to the SU). The APC information is used to control transmit power of the automatic forward power control (AFPC) and automatic reverse power control (ARPC) links. In addition, each RCS 104, 105 and 110 may perform Maintenance Power Control (MPC), in a manner similar to APC, to adjust the initial transmit power of each SU 111, 112, 115, 117 and 118.

Diversity combining at the radio antennas of the RCS 104, 105 and 110 is not necessary because CDMA has inherent frequency diversity due to the spread bandwidth. Receivers may include Adaptive Matched Filters (AMFs) (not shown in Figure 1), however, which combine the multipath signals. In the exemplary embodiment, AMFs perform Maximal Ratio Combining.

### Logical Communication Channels

A 'channel' of the prior art is usually regarded as a communications path which is part of an interface and which can be distinguished from other paths of that interface without regard to its content. In the case of CDMA, however, separate  
5 communications paths are distinguished only by their content. The term 'logical channel' is used to distinguish the separate data streams, which are logically equivalent to channels in the conventional sense. All logical channels and sub-channels of the present invention are mapped to a common 64 kilo-symbols per second (ksym/s) QPSK stream. Some channels are synchronized to associated pilot codes which are generated in the same way  
10 and perform much the same function as the system Global Pilot Code. The system pilot signals are not, however, considered logical channels.

Several logical communication channels are used over the RF communication link between the RCS and SU. Each logical communication channel has either a fixed, pre-determined spreading code or a dynamically assigned spreading code.  
15 For both pre-determined and assigned codes, the code phase is in synchronism with the Pilot Code. Logical communication channels are divided into two groups: the Global Channel (GC) group includes those channels which are either transmitted from the base station RCS to all the remote SUs or from any SU to the RCS of the base station regardless of the SU's identity. These channels contain for all users and include the  
20 channels used by SUs to gain access to message communication channels. Channels in the Assigned Channels (AC) group are those channels which are dedicated to communication between the RCS and a particular SU.

The Global Channels (GC) group provides for 1) Broadcast logical channels, which provide point to multipoint services for broadcasting messages to all SUs  
25 and paging messages to SUs; and 2) Access Control logical channels which provide point-to-point services on global channels for SUs to access the system and obtain assigned channels.

An Assigned Channel (AC) group contains the logical channels that control a single telecommunication connection between the RCS and a SU. The functions  
30 developed when an AC group is formed consists of a pair of power control logical

message channels for each of the Uplink and Downlink connections, and depending on the type of connection, one or more pairs of traffic channels. The Bearer Control function performs the required forward error control, bearer rate modification, and encryption functions. The logical channels which constitute the BC and AC groups are summarized below in Table 1.

Table 1: Logical Channels and sub-channels of the B-CDMA Air Interface

Channel name	Abbr.	Brief Description	Direction (forward or reverse)	Bit rate	Max BER	Power level	Pilot
Global Channels							
Fast Broadcast Channel	FBCH	Broadcasts fast-changing system information	F	16 kbit/s	1e-4	Fixed	GLPT
Slow Broadcast Channel	SBCH	Broadcasts paging messages to FSUs and slow-changing system information	F	16 kbit/s	1e-7	Fixed	GLPT
Access Channels	AXCH(i)	For initial access attempts by FSUs	R	32 kbit/s	1e-7	Controlled by APC	LAXPT(i)
Control Channels	CTCH(i)	For granting access	F	32 kbit/s	1e-7	Fixed	GLPT
Assigned Channels							
16 kbit/s POTS	TRCH /16	General POTS use	F/R	16 kbit/s	1e-4	Controlled by APC	F-GLPT R-ASPT
32 kbit/s POTS	TRCH /32	General POTS use	F/R	32 kbit/s	1e-4	Controlled by APC	F-GLPT R-ASPT
64 kbit/s POTS	TRCH /64N	POTS use for in-band modems/fax	F/R	64 kbit/s	1e-4	Controlled by APC	F-GLPT R-ASPT
Traffic channel	TRCH /64L	ISDN B channel or	F/R	64 kbit/s	1e-7	Controlled by APC	F-GLPT R-ASPT

- 10 -

@ 64 kbit/s- low BER		LL		s			
D channel	TRCH /16L	ISDN D channel	F/R	16 kbit/ s	1e-7	Controlled by APC	F-GLPT R-ASPT
Order wire channel	OW	assigned signaling channel	F/R	32 kbit/ s	1e-7	Controlled by APC	F-GLPT R-ASPT
APC channel	APC	carries APC commands	F/R	64 kbit/ s	2e-1	Controlled by APC	F-GLPT R-ASPT

The APC data is sent at 64 kbit/sec. The APC logical channel is not FEC coded to avoid delay and is transmitted at a low power level to minimize capacity used for APC. Alternatively, the APC and order wire (OW) data may be separately modulated using complex spreading code sequences, or they may be time division multiplexed with a 16 kbit/s traffic channel.

### The Spreading Codes

The CDMA code generators used to encode the logical channels of the present invention employ Linear Shift Registers (LSRs) with feedback logic which is a method well known in the art. The code generators of the present embodiment of the invention generate 64 synchronous unique sequences. Each RF communication channel uses a pair of these sequences for complex spreading (in-phase and quadrature) of the logical channels, so the generator gives 32 complex spreading sequences. The sequences are generated by a single seed which is initially loaded into a shift register circuit.

### The Generation of Spreading Code Sequences and Seed Selection

The spreading code period of the present invention is defined as an integer multiple of the symbol duration, and the beginning of the code period is also the beginning of the symbol. The relation between bandwidths and the symbol lengths chosen for the exemplary embodiment of the present invention is:

**SUBSTITUTE SHEET (RULE 26)**

- 11 -

	BW (MHZ)	L(chips/symbol)
	7	91
	10	130
	10.5	133
5	14	182
	15	195

The spreading code length is also a multiple of 64 and of 96 for ISDN frame support. The spreading code is a sequence of symbols, called chips or chip values. The general methods of generating pseudorandom sequences using Galois Field  
10 mathematics is known to those skilled in the art; however, the inventor has derived a unique set, or family, of code sequences for the present invention. First, the length of the linear feedback shift register to generate a code sequence is chosen, and the initial value of the register is called a "seed". Second, the constraint is imposed that no code sequence generated by a code seed can be a cyclic shift of another code sequence generated by the  
15 same code seed. Finally, no code sequence generated from one seed can be a cyclic shift of a code sequence generated by another seed.

The inventor has determined that the spreading code length of chip values of the present invention is:

$$128 \times 233415 = 29877120 \quad (1)$$

20 The spreading codes are generated by combining a linear sequence of period 233415 and a nonlinear sequence of period 128

The nonlinear sequence of length 128 is implemented as a fixed sequence loaded into a shift register with a feed-back connection. The fixed sequence can be generated by an m-sequence of length 127 padded with an extra logic 0, 1, or random  
25 value as is well known in the art.

The linear sequence of length  $L=233415$  is generated using a linear feedback shift register (LFSR) circuit with 36 stages. The feedback connections

SUBSTITUTE SHEET (RULE 26)

correspond to a irreducible polynomial  $h(n)$  of degree 36. The polynomial  $h(x)$  chosen by the inventor for the exemplary embodiment of the present invention is

$$h(x) = x^{36} + x^{35} + x^{30} + x^{28} + x^{26} + x^{25} + x^{22} + x^{20} + x^{19} + x^{17} \\ + x^{16} + x^{15} + x^{14} + x^{12} + x^{11} + x^9 + x^8 + x^4 + x^3 + x^2 + 1 \quad (2)$$

5           A group of "seed" values for a LFSR representing the polynomial  $h(x)$  of equation (2) which generates code sequences that are nearly orthogonal with each other is determined. The first requirement of the seed values is that the seed values do not generate two code sequences which are simply cyclic shifts of each other.

10           The present invention includes a method to increase the number of available seeds for use in a CDMA communication system by recognizing that certain cyclic shifts of the previously determined code sequences may be used simultaneously. The round trip delay for the cell sizes and bandwidths of the present invention are less than 3000 chips. In one embodiment of the present invention, sufficiently separated cyclic shifts of a sequence can be used within the same cell without causing ambiguity for a  
15           receiver attempting to determine the code sequence. This method enlarges the set of sequences available for use.

By implementing the tests previously described, a total of 3879 primary seeds were determined by the inventor through numerical computation. These seeds are given mathematically as

$$20 \quad \quad \quad d^n \text{ modulo } h(x) \quad \quad \quad (3)$$

where 3879 values of  $n$  are listed in the appendix, with  $d = (00, \dots, 00111)$ .

When all primary seeds are known, all secondary seeds of the present invention are derived from the primary seeds by shifting them multiples of 4095 chips modulo  $h(x)$ . Once a family of seed values is determined, these values are stored in  
25           memory and assigned to logical channels as necessary. Once assigned, the initial seed value is simply loaded into LFSR to produce the required spreading code sequence associated with the seed value.

#### Epoch and Sub-epoch Structures

The long complex spreading codes used for the system of the current invention have a number of chips after which the code repeats. The repetition period of the spreading sequence is called an epoch. To map the logical channels to CDMA spreading codes, the present invention uses an Epoch and Sub-epoch structure. The code period for the CDMA spreading code to modulate logical channels is 29877120 chips/code period which is the same number of chips for all bandwidths. The code period is the epoch of the present invention, and the Table 2 defines the epoch duration for the supported chip rates. In addition, two sub-epochs are defined over the spreading code epoch and are 233415 chips and 128 chips long.

The 233415 chip sub-epoch is referred to as a long sub-epoch, and is used for synchronizing events on the RF communication interface such as encryption key switching and changing from global to assigned codes. The 128 chip short epoch is defined for use as an additional timing reference. The highest symbol rate used with a single CDMA code is 64 ksym/sec. There is always an integer number of chips in a symbol duration for the supported symbol rates 64, 32, 16, and 8 ksym/s.

Table 2 Bandwidths, Chip Rates, and Epochs

Bandwidth (MHz)	Chip Rate, Complex (Mchip/sec)	number of chips in a 64 kbit/sec symbol	128 chip sub-epoch duration (μs)	233415 chip sub-epoch duration (ms)	Epoch duration (sec)
7	5.824	91	21.978	40.078	5.130
10	8.320	130	15.385	28.055	3.591
10.5	8.512	133	15.038	27.422	3.510
14	11.648	182	10.989	20.039	2.565
15	12.480	195	10.256	18.703	2.394

\* numbers in these columns are rounded to 5 digits.

Cyclic sequences of the prior art are generated using linear feedback shift register (LFSR) circuits. This method, however, does not generate sequences of even length. One embodiment of the spreading code sequence generator using the code seeds generated previously is shown in Figure 2a, Figure 2b, and Figure 2c. The exemplary system uses a 36 stage LFSR 201 to generate a sequence of period  $N' = 233415 = 3^3 \times 5 \times 7 \times 13 \times 19$ , which is  $C_0$  in Figure 2a. In the Figures 2a, 2b, and 2c the

- 14 -

symbol  $\oplus$  represents a binary addition (EXCLUSIVE-OR). A sequence generator designed as above generates the in-phase and quadrature parts of a set of complex sequences. The tap connections and initial state of the 36 stage LFSR determine the sequence generated by this circuit. The tap coefficients of the 36 stage LFSR are  
5 determined such that the resulting sequences have the period 233415. Note that the tap connections shown in Figure 2a correspond to the polynomial given in equation (2). Each resulting sequence is then overlaid by binary addition with the 128 length sequence  $C_0$  to obtain the epoch period 29877120.

Figure 2b shows a Feed Forward (FF) circuit 202 which is used in the code  
10 generator. The signal  $X[n-1]$  is output of the chip delay 211, and the input of the chip delay 211 is  $X[n]$ . The code chip  $C[n]$  is formed by the logical adder 212 from the input  $X[n]$  and  $X[n-1]$ . Figure 2c shows the complete spreading code generator. From the LFSR 201, output signals go through a chain of up to 63 single stage FFs 203 cascaded as shown. The output of each FF is overlaid with the short, even code sequence  $C_0$  which  
15 has a period of  $128=2^7$ . the short code sequence  $C_0$  is stored in code memory 222 and exhibits spectral characteristics of a pseudorandom sequence to obtain the epoch  $N=29877120$  when combined with the sequences provided by the FFs 203. This sequence of 128 is determined by using an m-sequence (PN sequence) of length  $127=2^7 - 1$  and adding a bit-value, such as logic 0, to the sequence to increase the length to 128  
20 chips. The even code sequence  $C_0$  is input to the even code shift register 221, which is a cyclic register, that continually outputs the sequence. The short sequence is then combined with the long sequence using an EXCLUSIVE-OR operation 213, 214, 220.

As shown in Figure 2c, up to 63 spreading code sequences  $C_0$  through  $C_{63}$  are generated by tapping the output signals of FFs 203 and logically adding the short  
25 sequence  $C_0$  in a binary adders 213, 214, and 220, for example. One skilled in the art would realize that the implementation of the FF 203 create a cumulative delay effect for the code sequences produced at each FF stage in the chain. This delay is due to the nonzero electrical delay in the electronic components of the implementation. The timing problems associated with the delay can be mitigated by inserting additional delay elements  
30 into the FF chain. An exemplary FF chain with additional delay elements is shown in Figure 2d.



- 15 -

The code-generators in the exemplary system are configured to generate either global codes, or assigned codes. Global codes are CDMA codes that can be received or transmitted by all users of the system. Assigned codes are CDMA codes that are allocated for a particular connection. When a family of sequences is generated from the same generator as described, only the seed of the 36 stage LFSR is specified. Sequences for all the global codes, are generated using the same LFSR circuit. Therefore, once an SU has synchronized to the Global pilot signal from an RCS and knows the seed for the LFSR circuit for the Global Channel codes, it can generate not only the pilot sequence but also all other global codes used by the RCS.

The signal that is upconverted to RF is generated as follows. The spreading sequences produced by the above shift register circuits are converted to an antipodal sequence (0 maps into +1, 1 maps into -1). The Logical channels are initially converted to QPSK signals, which are mapped as constellation points as is well known in the art. The In-phase and Quadrature channels of each QPSK signal form the real and imaginary parts of the complex data value. Similarly, two spreading codes are used to form complex spreading chip values. The complex data and complex spreading code are multiplied to produce a spread-spectrum data signal. Similarly, for despreading, the received complex data is correlated with the conjugate of the complex spreading code to recover the data signal.

## Short Codes

Short codes are used for the initial ramp-up process when an SU accesses an RCS. The period of the short codes is equal to the symbol duration and the start of each period is aligned with a symbol boundary. Both the SUs and the RCS derive the real and imaginary parts of the short codes from the last eight feed-forward sections of the sequence generator to produce the global codes for that cell.

The signals represented by these short codes are known as Short Access Channel pilots (SAXPTs)

## Mapping of Logical Channels to Spreading Codes

The exact relationship between the spreading code sequences and the CDMA logical channels and pilot signals is documented in Table 3a and Table 3b. Those

**SUBSTITUTE SHEET (RULE 26)**

signal names ending in '-CH' correspond to logical channels. Those signal names ending in '-PT' correspond to pilot signals, which are described in detail below.

Table 3a: Spreading code sequences and global CDMA codes

Sequence	Quadrature	Logical Channel or Pilot Signal	Direction
$C_0$	I	FBCH	Forward (F)
$C_1$	Q	FBCH	F
$C_2 \oplus C^*$	I	GLPT	F
$C_3 \oplus C^*$	Q	GLPT	F
$C_4 \oplus C^*$	I	SBCH	F
$C_5 \oplus C^*$	Q	SBCH	F
$C_6 \oplus C^*$	I	CTCH (0)	F
$C_7 \oplus C^*$	Q	CTCH (0)	F
$C_8 \oplus C^*$	I	APCH (1)	F
$C_9 \oplus C^*$	Q	APCH (1)	F
$C_{10} \oplus C^*$	I	CTCH (1)	F
$C_{11} \oplus C^*$	Q	CTCH (1)	F
$C_{12} \oplus C^*$	I	APCH (1)	F
$C_{13} \oplus C^*$	Q	APCH (1)	F
$C_{14} \oplus C^*$	I	CTCH (2)	F
$C_{15} \oplus C^*$	Q	CTCH (2)	F
$C_{16} \oplus C^*$	I	APCH (2)	F
$C_{17} \oplus C^*$	Q	APCH (2)	F
$C_{18} \oplus C^*$	I	CTCH (3)	F
$C_{19} \oplus C^*$	Q	CTCH (3)	F
$C_{20} \oplus C^*$	I	APCH (3)	F
$C_{21} \oplus C^*$	Q	APCH (3)	F
$C_{22} \oplus C^*$	I	reserved	-
$C_{23} \oplus C^*$	Q	reserved	-
....	....	....	....
....	....	....	....
$C_{40} \oplus C^*$	I	reserved	-
$C_{41} \oplus C^*$	Q	reserved	-
$C_{42} \oplus C^*$	I	AXCH(3)	Reverse (R)
$C_{43} \oplus C^*$	Q	AXCH(3)	R

$C_{44} \oplus C_{\bullet}$	I	LAXPT(3) SAXPT(3) seed	R
$C_{45} \oplus C_{\bullet}$	Q	LAXPT(3) SAXPT(3) seed	R
$C_{46} \oplus C_{\bullet}$	I	AXCH(2)	R
$C_{47} \oplus C_{\bullet}$	Q	AXCH(2)	R
$C_{48} \oplus C_{\bullet}$	I	LAXPT(2) SAXPT(2) seed	R
$C_{49} \oplus C_{\bullet}$	Q	LAXPT(2) SAXPT(2) seed	R
$C_{50} \oplus C_{\bullet}$	I	AXCH(1)	R
$C_{51} \oplus C_{\bullet}$	Q	AXCH(1)	R
$C_{52} \oplus C_{\bullet}$	I	LAXPT(1) SAXPT(1) seed	R
$C_{53} \oplus C_{\bullet}$	Q	LAXPT(1) SAXPT(1) seed	R
$C_{54} \oplus C_{\bullet}$	I	AXCH(0)	R
$C_{55} \oplus C_{\bullet}$	Q	AXCH(0)	R
$C_{56} \oplus C_{\bullet}$	I	LAXPT(0) SAXPT(0) seed	R
$C_{57} \oplus C_{\bullet}$	Q	LAXPT(0) SAXPT(0) seed	R
$C_{58} \oplus C_{\bullet}$	I	IDLE	-
$C_{59} \oplus C_{\bullet}$	Q	IDLE	-
$C_{60} \oplus C_{\bullet}$	I	AUX	R
$C_{61} \oplus C_{\bullet}$	Q	AUX	R
$C_{62} \oplus C_{\bullet}$	I	reserved	-
$C_{63} \oplus C_{\bullet}$	Q	reserved	-

Table 3b: Spreading code sequences and assigned CDMA codes.

Sequence	Quadrature	Logical Channel or Pilot Signal	Direction
$C_0 \oplus C_{\bullet}$	I	ASPT	Reverse (R)
$C_1 \oplus C_{\bullet}$	Q	ASPT	R
$C_2 \oplus C_{\bullet}$	I	APCH	R
$C_3 \oplus C_{\bullet}$	Q	APCH	R

SUBSTITUTE SHEET (RULE 26)

$C_4 \oplus C_0$	I	OWCH	R
$C_5 \oplus C_0$	Q	OWCH	R
$C_6 \oplus C_0$	I	TRCH(0)	R
$C_7 \oplus C_0$	Q	TRCH(0)	R
$C_8 \oplus C_0$	I	TRCH(1)	R
$C_9 \oplus C_0$	Q	TRCH(1)	R
$C_{10} \oplus C_0$	I	TRCH(2)	R
$C_{11} \oplus C_0$	Q	TRCH(2)	R
$C_{12} \oplus C_0$	I	TRCH(3)	R
$C_{13} \oplus C_0$	Q	TRCH(3)	R
$C_{14} \oplus C_0$	I	reserved	-
$C_{15} \oplus C_0$	Q	reserved	-
....	....	....	....
....	....	....	....
$C_{44} \oplus C_0$	I	reserved	-
$C_{45} \oplus C_0$	Q	reserved	-
$C_{46} \oplus C_0$	I	TRCH(3)	Forward (F)
$C_{47} \oplus C_0$	Q	TRCH(3)	F
$C_{48} \oplus C_0$	I	TRCH(2)	F
$C_{49} \oplus C_0$	Q	TRCH(2)	F
$C_{50} \oplus C_0$	I	TRCH(1)	F
$C_{51} \oplus C_0$	Q	TRCH(1)	F
$C_{52} \oplus C_0$	I	TRCH(0)	F
$C_{53} \oplus C_0$	Q	TRCH(0)	F
$C_{54} \oplus C_0$	I	OWCH	F
$C_{55} \oplus C_0$	Q	OWCH	F
$C_{56} \oplus C_0$	I	APCH	F
$C_{57} \oplus C_0$	Q	APCH	F
$C_{58} \oplus C_0$	I	IDLE	-
$C_{59} \oplus C_0$	Q	IDLE	-
$C_{60} \oplus C_0$	I	reserved	-
$C_{61} \oplus C_0$	Q	reserved	-
$C_{62} \oplus C_0$	I	reserved	-
$C_{63} \oplus C_0$	Q	reserved	-

SUBSTITUTE SHEET (RULE 26)

## Pilot Signals

As described above, the pilot signals are used for synchronization, carrier phase recovery, and for estimating the impulse response of the radio channel. The RCS 104 transmits a forward link pilot carrier reference as a complex pilot code sequence to provide a time and phase reference for all SUs 111, 112, 115, 117 and 118 in its service area. The power level of the Global Pilot (GLPT) signal is set to provide adequate coverage over the whole RCS service area, which area depends on the cell size. With only one pilot signal in the forward link, the reduction in system capacity due to the pilot energy is negligible.

Each of the SUs 111, 112, 115, 117 and 118 transmits a pilot carrier reference as a quadrature modulated (complex-valued) pilot spreading code sequence to provide time and phase reference to the RCS for the reverse link. The pilot signal transmitted by the SU of one embodiment of the invention is 6 dB lower than the power of the 32 kbit/s POTS (plain old telephone service) traffic channel. The reverse pilot channel is subject to APC. The reverse link pilot associated with a particular connection is called the Assigned Pilot (ASPT). In addition, there are pilot signals associated with access channels, and these are called the Long Access Channel Pilots (LAXPTs). Short access channel pilots (SAXPTs) are also associated with the access channels and used for spreading code acquisition and initial power ramp-up.

All pilot signals are formed from complex codes, as defined below:

$$\text{GLPT (forward)} = \{C_2 \oplus C_3\} + j \cdot \{C_2 \oplus C_3\} \cdot \{(\pm 1) + j \cdot (0)\} \\ \{ \text{Complex Code} \} \cdot \{ \text{Carrier} \}$$

The complex pilot signals are de-spread by multiplication with conjugate spreading codes:  $\{C_2 \oplus C_3\} - j \cdot \{C_2 \oplus C_3\}$ . By contrast, traffic channels are of the form:

$$\text{TRCH}_n(\text{forward/reverse}) = \{C_k \oplus C_l\} + j \cdot \{C_k \oplus C_l\} \cdot \{(\pm 1) + j(\pm 1)\} \\ \{ \text{Complex Codes} \} \cdot \{ \text{Data Symbol} \}$$

which thus form a constellation set at  $\frac{\pi}{4}$  radians with respect to the pilot signal constellations.

The GLPT constellation is shown in Figure 3a, and the TRCH<sub>a</sub> traffic channel constellation is shown in Figure 3b.

### Logical Channel Assignment of the FBCH, SBCH, and Traffic Channels

The fast broadcast channel (FBCH) is a global forward link channel used to broadcast dynamic information about the availability of services and access channels (AXCHs). The messages are sent continuously, and each message lasts approximately 1 ms. The FBCH message is 16 bits long, repeated continuously, and epoch aligned. The FBCH is formatted as defined in Table 4.

Table 4: FBCH format

Bit	Definition
0	Traffic Light 0
1	Traffic Light 1
2	Traffic Light 2
3	Traffic Light 3
4-7	service indicator bits
8	Traffic Light 0
9	Traffic Light 1
10	Traffic Light 2
11	Traffic Light 3
12-15	service indicator bits

For the FBCH, bit 0 is transmitted first. A traffic light corresponds to an Access Channel (AXCH) and indicates whether the particular access channel is currently in use (red) or not in use (green). A logic '1' indicates that the traffic light is green, and a logic '0' indicates the traffic light is red. The values of the traffic light bits may change from octet to octet, and each 16 bit message contains distinct service indicator bits which describe which types of service are available for the AXCHs.

One embodiment of the present invention uses service indicator bits as follows to indicate the availability of services or AXCHs. The service indicator bits {4,5,6,7,12,13,14,15} are interpreted as an unsigned binary number, with bit 4 as the MSB and bit 15 as the LSB. Each service type increment has an associated nominal measure of the capacity required, and the FBCH continuously broadcasts the available

capacity. This is scaled to have a maximum value equivalent to the largest single service increment possible. When an SU requires a new service or an increase in the number of bearers), it compares the capacity required to that indicated by the FBCH, and then considers itself blocked if the capacity is not available. The FBCH and the traffic channels are aligned to the epoch.

Slow Broadcast Information frames contain system or other general information that is available to all SUs, and Paging Information frames contain information about call requests for particular SUs. Slow Broadcast Information frames and Paging Information frames are multiplexed together on a single logical channel which forms the Slow Broadcast Channel (SBCH). As previously defined, the code epoch is a sequence of 29 877 20 chips having an epoch duration which is a function of the chip rate defined in Table 5 below. In order to facilitate power saving, the channel is divided into N "Sleep" Cycles, and each Cycle is subdivided into M Slots, which are 19 ms long, except for 10.5 Mhz bandwidth which has slots of 18 ms.

Table 5: SBCH Channel Format Outline

Bandwidth (MHz)	Spreading Code Rate (MHz)	Epoch Length (ms)	Cycles/ Epoch N	Cycle Length (ms)	Slots/ Cycle M	Slot Length (ms)
7.0	5.824	5130	5	1026	54	19
10.0	8.320	3591	3	1197	63	19
10.5	8.512	3510	3	1170	65	18
14.0	11.648	2565	3	855	45	19
15.0	12.480	2394	2	1197	63	19

Sleep Cycle Slot #1 is always used for slow broadcast information. Slots #2 to #M-1 are used for paging groups unless extended slow broadcast information is inserted. The pattern of cycles and slots in one embodiment of the present invention run continuously at 16 kbit/s.

Within each Sleep Cycle the SU may power-up the receiver and re-acquire pilot code to achieve carrier lock to a sufficient precision for satisfactory demodulation and Viterbi decoding. This settling time may be up to 3 Slots in duration. For example, an SU assigned to Slot #7 may power up the Receiver at the start of Slot #4. Having

monitored its Slot the SU either recognizes its Paging Address and initiates an access request, or fails to recognize its Paging Address in which case it reverts to the Sleep mode.

### **Spreading code Tracking and AMF Detection in Multipath Channels**

#### **5 Spreading code Tracking**

Three CDMA spreading code tracking methods in multipath fading environments are described which track the code phase of a received multipath spread-spectrum signal. The first method uses the prior art tracking circuit which simply tracks the spreading code phase of the detector having the highest output signal value, the second  
10 method uses a tracking circuit that tracks the median value of the code phase of the group of multipath signals, and the third method of the present invention, is the centroid tracking circuit which tracks the code-phase of an optimized, least mean squared weighted average of the multipath signal components. The following describes the algorithms by which the spreading code phase of the received CDMA signal is tracked.

15 A tracking circuit has operating characteristics that reveal the relationship between the time error and the control voltage that drives a Voltage Controlled Oscillator (VCO) of a spreading code phase tracking circuit. When there is a positive timing error, the exemplary tracking circuit generates a negative control voltage to offset the timing error. When there is a negative timing error, the exemplary tracking circuit generates a  
20 positive control voltage to offset the timing error. When the tracking circuit generates a zero value, this value corresponds to the perfect time alignment called the 'lock-point'. Figure 3c shows the basic tracking circuit. Received signal  $r(t)$  is applied to matched filter 301, which correlates  $r(t)$  with a local code-sequence  $c(t)$  generated by Code Generator 303. The output signal of the matched filter  $x(t)$  is sampled at the sampler 302  
25 to produce samples  $x[nT]$  and  $x[nT + T/2]$ . The samples  $x[nT]$  and  $x[nT + T/2]$  are used by a tracking circuit 304 to determine if the phase of the spreading code  $c(t)$  of the code generator 303 is correct. The tracking circuit 304 produces an error signal  $e(t)$  as an input to the code generator 303. The code generator 303 uses this signal  $e(t)$  as an input signal to adjust the code-phase it generates.



In a CDMA system, the signal transmitted by the reference user is written in the low-pass representation as

$$s(t) = \sum_{k=-\infty}^{\infty} c_k P_{T_c}(t - kT_c) \quad (4)$$

where  $c_k$  represents the spreading code coefficients,  $P_{T_c}(t)$  represents the spreading code chip waveform, and  $T_c$  is the chip duration. Assuming that the reference user is not transmitting data, only the spreading code modulates the carrier. Referring to Figure 3, the received signal is

$$r(t) = \sum_{i=1}^M a_i s(t - \tau_i) \quad (5)$$

Here,  $a_i$  is due to fading effect of the multipath channel on the  $i$ -th path and  $\tau_i$  is the random time delay associated with the same path. The receiver passes the received signal through a matched filter, which is implemented as a correlation receiver and is described below. This operation is done in two steps: first the signal is passed through a chip matched filter and sampled to recover the spreading code chip values, then this chip sequence is correlated with the locally generated code sequence.

Figure 3c shows the chip matched filter 301, matched to the chip waveform  $P_{T_c}(t)$ , and the sampler 302. The signal  $x(t)$  at the output terminal of the chip matched filter is

$$x(t) = \sum_{i=1}^M \sum_{k=-\infty}^{\infty} a_i c_k g(t - \tau_i - kT_c) \quad (6)$$

where

$$g(t) = P_{T_c}(t) * h_R(t) \quad (7)$$

Here,  $h_R(t)$  is the impulse response of the chip matched filter and '\*' denotes convolution. The order of the summations, can be rewritten as:

$$x(t) = \sum_{k=-\infty}^{\infty} c_k f(t - kT_c) \quad (8)$$

where

$$f(t) = \sum_{i=1}^M a_i g(t - \tau_i) \quad (9)$$

In the multipath channel described above, the sampler samples the output signal of the matched filter to produce  $x(nT)$  at the maximum power level points of  $g(t)$ . In practice, however, the waveform  $g(t)$  is often severely distorted because of the effect of the multipath signal reception, and a perfect time alignment of the signals is not available.

When the multipath in the channel is negligible and a perfect estimate of the timing is available, i.e.,  $a_1=1$ ,  $\tau_1=0$ , and  $a_i=0$ ,  $i=2, \dots, M$ , the received signal is  $r(t) = s(t)$ . Then, with this ideal channel model, the output of the chip matched filter becomes

$$x(t) = \sum_{k=-\infty}^{\infty} c_k g(t - kT_c) \quad (10)$$

When there is multipath fading, however, the received spreading code chip value waveform is distorted, and has a number of local maxima that can change from one sampling interval to another depending on the channel characteristics.

For multipath fading channels with quickly changing channel characteristics, it is not practical to try to locate the maximum of the waveform  $f(t)$  in every chip period interval. Instead, a time reference can be obtained from the characteristics of  $f(t)$  that may not change as quickly. Three tracking methods are described based on different characteristics of  $f(t)$ .

#### **Prior Art Spreading code Tracking Method:**

Prior art tracking methods include a code tracking circuit in which the receiver attempts to determine where the maximum matched filter output value of the chip waveform occurs and sample the signal at that point. In multipath fading channels, however, the receiver despreading code waveform can have a number of local maxima, especially in a mobile environment. If  $f(t)$  represents the received signal waveform of the spreading code chip convolved with the channel impulse response, the shape of  $f(t)$  and where its maximum occurs can change rather quickly making it impractical to track the maximum of  $f(t)$ .

Define  $\tau$  to be the time estimate that the tracking circuit calculates during a particular sampling interval. Also, define the following error function

$$\varepsilon = \begin{cases} \int_{\{t: |\tau-t| > \delta\}} f(t) dt, & |\tau-t| > \delta \\ \varepsilon = 0 & |\tau-t| < \delta \end{cases} \quad (11)$$

- 5 The tracking circuits of the prior art calculate a value of the input signal that minimizes the error  $\varepsilon$ . One can write

$$\min \varepsilon = 1 - \max_{\tau} \int_{\tau-\delta}^{\tau+\delta} f(t) dt \quad (12)$$

- 10 Assuming  $f(\tau)$  has a smooth shape in the values given, the value of  $\tau$  for which  $f(\tau)$  is maximum minimizes the error  $\varepsilon$ , so the tracking circuit tracks the maximum point of  $f(t)$ .

#### Median Weighted Value Tracking Method:

The Median Weighted Tracking Method of one embodiment of the present invention, minimizes the absolute weighted error, defined as

$$\varepsilon = \int_{-\infty}^{\infty} |t - \tau| f(t) dt \quad (13)$$

- 15 This tracking method calculates the 'median' signal value of  $f(t)$  by collecting information from all paths, where  $f(\tau)$  is as in equation (9). In a multipath fading environment, the waveform  $f(t)$  can have multiple local maxima, but only one median.

- 20 To minimize  $\varepsilon$ , the derivative of equation (13) is taken with respect to  $\tau$  and equated it to zero, which gives

$$\int_{-\infty}^{\tau} f(t) dt = \int_{\tau}^{\infty} f(t) dt \quad (14)$$

The value of  $\tau$  that satisfies (14) is called the 'median' of  $f(t)$ . Therefore, the Median Tracking Method of the present embodiment tracks the median of  $f(t)$ . Figure 4 shows an implementation of the tracking circuit based on minimizing the absolute

weighted error defined above. The signal  $x(t)$  and its one-half chip offset version  $x(t+T/2)$  are sampled by the analog-to-digital A/D converter 401 at a rate  $1/T$ . The following equation determines the operating characteristic of the circuit in Figure 4:

$$\varepsilon(\tau) = \sum_{n=1}^{2L} |f(\tau - nT/2)| - |f(\tau + nT/2)| \quad (15)$$

5 Tracking the median of a group of multipath signals keeps the received energy of the multipath signal components equal on the early and late sides of the median point of the correct locally generated spreading code phase  $c_n$ . The tracking circuit consists of an A/D converter 401 which samples an input signal  $x(t)$  to form the half chip offset samples. The half chip offset samples are alternatively grouped into even samples  
10 called an early set of samples  $x(nT+\tau)$  and odd samples called a late set of samples  $x(nT+(T/2)+\tau)$ . The first correlation bank adaptive matched filter 402 multiplies each early sample by the spreading code phases  $c(n+1)$ ,  $c(n+2)$ , ...,  $c(n+L)$ , where  $L$  is small compared to the code length and approximately equal to number of chips of delay between the earliest and latest multipath signal. The output of each correlator is applied  
15 to a respective first sum-and-dump bank 404. The magnitudes of the output values of the  $L$  sum-and-dumps are calculated in the calculator 406 and then summed in a summer 408 to give an output value proportional to the signal energy in the early multipath signals. Similarly, a second correlation bank adaptive matched filter 403 operates on the late samples, using code phases  $c(n-1)$ ,  $c(n-2)$ , ...,  $c(n-L)$ , and each output signal is applied to  
20 a respective sum-and-dump in an integrator 405. The magnitudes of the  $L$  sum-and-dump outputs are calculated in calculator 407 and then summed in summer 409 to give a value for the late multipath signal energy. Finally, the subtractor 410 calculates the difference and produces error signal  $e(t)$  of the early and late signal energy values.

25 The tracking circuit adjusts, by means of error signal  $e(\tau)$ , the locally generated code phases  $c(t)$  to cause the difference between the early and late values to tend toward 0.

### Centroid Tracking Method

Another spreading code tracking circuit of one embodiment of the present invention is called the squared weighted tracking (or centroid) circuit. Defining  $\tau$  to

denote the time estimate that the tracking circuit calculates, based on some characteristic of  $f(t)$ , the centroid tracking circuit minimizes the squared weighted error defined as

$$\varepsilon = \int_{-\infty}^{\infty} |t - \tau|^2 f(t) dt \quad (16)$$

This function inside the integral has a quadratic form, which has a unique minimum. The value of  $\tau$  that minimizes  $\varepsilon$  can be found by taking the derivative of the above equation with respect to  $\tau$  and equating to zero, which gives

$$\int_{-\infty}^{\infty} (-2t + 2\tau) f(t) dt = 0 \quad (17)$$

Therefore, the value of  $\tau$  that satisfies

$$\tau - \frac{1}{\beta} \int_{-\infty}^{\infty} tf(t) dt = 0 \quad (18)$$

is the timing estimate that the tracking circuit calculates, and  $\beta$  is a constant value.

Based on these observations, a realization of the tracking circuit minimizing the squared weighted error is shown in Figure 5. The following equation determines the error signal  $e(\tau)$  of the centroid tracking circuit:

$$\varepsilon(\tau) = \sum_{n=1}^{2L} n [ |f(\tau - nT/2)| - |f(\tau + nT/2)| ] = 0 \quad (19)$$

The value that satisfies  $e(\tau)=0$  is the optimized estimate of the timing.

The early and late multipath signal energy on each side of the centroid point are equal. The centroid tracking circuit shown in Figure 5 consists of an A/D converter 501 which samples an input signal  $x(t)$ , as described above with reference to Fig. 4 to form half chip offset samples. The half chip offset samples are alternatively grouped as an early set of samples  $x(nT + \tau)$  and a late set of samples  $x(nT + (T/2) + \tau)$ . The first correlation bank adaptive matched filter 502 multiplies each early sample and each late sample by the positive spreading code phases  $c(n+1)$ ,  $c(n+2)$ , ...,  $c(n+L)$ , where  $L$  is small compared to the code length and is approximately equal to number of chips of delay between the earliest and latest multipath signal. The output signal of each correlator is applied to a respective one of  $L$  sum-and-dump circuits of the first sum and

dump bank 504. The magnitude value of the output signal produced by each sum-and-dump circuit of the sum and dump bank 504 is calculated by the respective calculator in the calculator bank 506 and applied to a corresponding weighting amplifier of the first weighting bank 508. The output signal of each weighting amplifier represents the weighted signal energy in a multipath component signal.

The weighted early multipath signal energy values are summed in sample adder 510 to give an output value that is proportional to the signal energy in the group of multipath signals corresponding to positive code phases which are the early multipath signals. Similarly, a second correlation bank adaptive matched filter 503 operates on the early and late samples, using the negative spreading code phases  $c(n-1)$ ,  $c(n-2)$ , ...,  $c(n-L)$ , each output signal is provided to a respective sum-and-dump circuit of discrete integrator 505. The magnitude value of the  $L$  sum-and-dump output signals are calculated by the respective calculator of calculator bank 507 and then weighted in weighting bank 509. The weighted late multipath signal energy values are summed in sample adder 511 to give an energy value for the group of multipath signals corresponding to the negative code phases which are the late multipath signals. Finally, the subtracter 512 calculates the difference of the early and late signal energy values to produce error sample value  $e(\tau)$ .

The tracking circuit of Figure 5 produces error signal  $e(\tau)$  which is used to adjust the locally generated code phase  $c(nT)$  to keep the weighted average energy in the early and late multipath signal groups equal. The embodiment shown uses weighting values that increase as the distance from the centroid increases. The signal energy in the earliest and latest multipath signals is probably less than the multipath signal values near the centroid. Consequently, the difference calculated by the subtracter 512 is more sensitive to variations in delay of the earliest and latest multipath signals.

#### Quadratic Detector for Tracking

In another exemplary tracking method, the tracking circuit adjusts sampling phase to be "optimal" and robust to multipath. If  $f(t)$  represent the received signal waveform as in equation (9) above. The particular method of optimizing starts with a delay locked loop with an error signal  $e(\tau)$  that drives the loop. The function  $e(\tau)$

desirably has only one zero at  $\tau = \tau_0$  where  $\tau_0$  is optimal. The optimal form for  $e(\tau)$  has the canonical form:

$$e(\tau) = \int_{-\infty}^{\infty} w(t, \tau) |f(t)|^2 dt \quad (20)$$

5 where  $w(t, \tau)$  is a weighting function relating  $f(t)$  to the error  $e(\tau)$ , and the following holds

$$e(\tau + \tau_0) = \int_{-\infty}^{\infty} w(t, \tau + \tau_0) |f(t)|^2 dt \quad (21)$$

It follows from equation (21) that  $w(t, \tau)$  is equivalent to  $w(t - \tau)$ . Considering the slope  $M$  of the error signal in the neighborhood of a lock point  $\tau_0$ :

$$M = \left. \frac{de(\tau)}{d\tau} \right|_{\tau_0} = - \int_{-\infty}^{\infty} w'(t - \tau_0) g(t) dt \quad (22)$$

10 where  $w'(t, \tau)$  is the derivative of  $w(t, \tau)$  with respect to  $\tau$ , and  $g(t)$  is the average of  $|f(t)|^2$ .

The error  $e(\tau)$  has a deterministic part and a noise part. Let  $z$  denote the noise component in  $e(\tau)$ , then  $|z|^2$  is the average noise power in the error function  $e(\tau)$ . Consequently, the optimal tracking circuit maximizes the ratio:

$$15 \quad F = \frac{M^2}{|z|^2} \quad (23)$$

The implementation of the Quadratic Detector is now described. The discrete error value  $e$  of an error signal  $e(\tau)$  is generated by performing the operation

$$e = y^T B y \quad (24)$$

20 where the vector  $y$  represents the received signal components  $y_i$ ,  $i = 0, 1, \dots, L-1$ , as shown in Figure 5b. The matrix  $B$  is an  $L$  by  $L$  matrix and the elements are determined by calculating values such that the ratio  $F$  of equation 23 is maximized.

**Determining the Minimum Value of  $L$  needed:**

The value of  $L$  in the previous section determines the minimum number of correlators and sum-and-dump elements.  $L$  is chosen as small as possible without compromising the functionality of the tracking circuit.

5           The multipath characteristic of the channel is such that the received chip waveform  $f(t)$  is spread over  $QT_c$  seconds, or the multipath components occupy a time period of  $Q$  chips duration. The value of  $L$  chosen is  $L=Q$ .  $Q$  is found by measuring the particular RF channel transmission characteristics to determine the earliest and latest multipath component signal propagation delay.  $QT_c$  is the difference between the earliest  
10 and latest multipath component arrival time at a receiver.

The Quadratic Detector described above may be used to implement the centroid tracking system described above with reference to Figure 5a. For this implementation, the vector  $y$  is the output signal of the sum and dump circuits 504:  
 $y = \{f(\tau-LT), f(\tau-LT+T/2), f(\tau-(L-1)T), \dots, f(\tau), f(\tau+T/2), f(\tau+T), \dots, f(\tau+LT)\}$  and  
15 the matrix  $B$  is set forth in table 6.

Table 6 B matrix for quadratic form of Centroid Tracking System

$L$	0	0	0	0	0	0	0	0	0	0
0	$L-1/2$	0	0	0	0	0	0	0	0	0
0	0	$L-1$	0	0	0	0	0	0	0	0
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
0	0	0	0	$1/2$	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	$-1/2$	0	0	0	0
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	$\vdots$	$\vdots$
0	0	0	0	0	0	0	0	$-L+1$	0	0
0	0	0	0	0	0	0	0	0	$-L+1/2$	0
0	0	0	0	0	0	0	0	0	0	$-L$

**Adaptive Vector Correlator**

An embodiment of the present invention uses an adaptive vector correlator  
20 (AVC) to estimate the channel impulse response and to obtain a reference value for



coherent combining of received multipath signal components. The described embodiment employs an array of correlators to estimate the complex channel response affecting each multipath component, then the receiver compensates for the channel response and coherently combines the received multipath signal components. This approach is referred to as maximal ratio combining.

Referring to Figure 6, The input signal  $x(t)$  to the system is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot signal. The signal is provided to AVC 601 which includes a despreading means 602, channel estimation means for estimating the channel response 604, correction means for correcting a signal for effects of the channel response 603, and adder 605 in the present invention. The AVC despreading means 602 is composed of multiple code correlators, with each correlator using a different phase of the pilot code  $c(t)$  provided by the pilot code generator 608. The output of this despreading means corresponds to a noise power level if the phase of the local pilot code of the despreading means is not in phase with the input code signal, or it corresponds to a received pilot signal power level plus noise power level if the input pilot code and locally generated pilot code phases are the same. The output signals of the correlators of the despreading means corrected for the channel response by the correction means 603 and are applied to the adder 605 which collects all multipath pilot signal power. The channel response estimation means 604 receives the combined pilot signal and the output signals of the despreading means 602, and provides a channel response estimate signal,  $w(t)$ , to the correction means 603 of the AVC, and the estimate signal  $w(t)$  is also available to the adaptive matched filter (AMF) described subsequently. The output signal of the despreading means 602 is also provided to the acquisition decision means 606 which decides, based on a particular algorithm, such as a sequential probability ratio test (SPRT), if the present output levels of the despreading circuits correspond to synchronization of the locally generated code to the desired input code phase. If the detector finds no synchronization, then the acquisition decision means sends a control signal  $a(t)$  to the local pilot code generator 608 to offset its phase by one or more chip periods. When synchronization is found, the acquisition decision means informs the

tracking circuit 607, which achieves and maintains a close synchronization between the received and locally generated code sequences.

An exemplary implementation of the Pilot AVC used to despread the pilot spreading code is shown in Figure 7. The described embodiment assumes that the input  
5 signal  $x(t)$  has been sampled with sampling period  $T$  to form  $x(nT+\tau)$ , and is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot code. The signal  $x(nT+\tau)$  is applied to  $L$  correlators, where  $L$  is the number of code phases over which the uncertainty within the multipath signals exists. Each correlator 701, 702, 703 comprises a respective multiplier  
10 704, 705, 706, which multiplies the input signal with a particular phase of the Pilot chip code signal  $c((n+i)T)$ , and a sum-and-dump circuit 708, 709, 710. The output signal of each multiplier 704, 705, 706 is applied to a respective sum-and dump circuit 708, 709, 710 to perform discrete integration. Before summing the signal energy contained in the outputs of the correlators, the AVC compensates for the channel response and the carrier  
15 phase rotation of the different multipath signals. Each output signal of each sum-and-dump 708, 709, 710 is multiplied by a derotation phasor [complex conjugate of  $e^{j\phi(nT)}$ ] obtained from the digital phase lock loop (DPLL) 721. This phasor is applied to one input port of a respective multiplier 714, 715, 716 to account for the phase and frequency offset of the carrier signal. The Pilot Rake AMF calculates, complex weighting factors,  
20  $w_k$ ,  $k=1, \dots, L$ , for each multipath signal by passing the output of each multiplier 714, 715, 716 through a low pass filter (LPF) 711, 712, 713. Each despread multipath signal is multiplied by its corresponding weighting factor in a respective multiplier 717, 718, 719. The output signals of the multipliers 717, 718, 719 are summed in a master adder 720, and the output signal  $p(nT)$  of the accumulator 720 consists of the combined despread  
25 multipath pilot signals in noise. The output signal  $p(nT)$  is also applied to the DPLL 721 to produce the error signal  $e_p(nT)$  for tracking of the carrier phase.

Figures 8a and 8b show alternate embodiments of the AVC which can be used for detection and multipath signal component combining. The message signal AVCs of Figures 8a and 8b use the weighting factors produced by the Pilot AVC to correct the  
30 message data multipath signals. The spreading code signal,  $c(nT)$  is the spreading

sequence used by a particular message channel and is synchronous with the pilot spreading code signal. The value  $L$  is the number of correlators in the AVC circuit

The circuit of Figure 8a calculates the decision variable  $Z$  which is given by

$$\begin{aligned}
 Z = & w_1 \sum_{i=1}^N x(iT + \tau) c(iT) + w_2 \sum_{i=1}^N x(iT + \tau) c((i+1)T) \\
 & + \dots + w_L \sum_{i=1}^L x(iT + \tau) c((i+L)T)
 \end{aligned} \tag{25}$$

where  $N$  is the number of chips in the correlation window. Equivalently, the decision statistic is given by

$$\begin{aligned}
 Z = & x(T + \tau) \sum_{i=1}^L w_1 c(iT) + x(2T + \tau) \sum_{i=1}^L w_2 c((i+1)T) \\
 & + \dots + x(NT + \tau) \sum_{i=1}^L w_N c((i+N)T) \\
 = & \sum_{k=1}^N x(kT - \tau) \sum_{i=1}^L w_k c((i+k-1)T)
 \end{aligned} \tag{26}$$

The alternative implementation that results from equation (26) is shown in Figure 8b.

Referring to Figure 8a, the input signal  $x(t)$  is sampled to form  $x(nT + \tau)$ , and is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot code. The signal  $x(nT + \tau)$  is applied to  $L$  correlators, where  $L$  is the number of code phases over which the uncertainty within the multipath signals exists. Each correlator 801, 802, 803 comprises a multiplier 804, 805, 806, which multiplies the input signal by a particular phase of the message channel spreading code signal, and a respective sum-and-dump circuit 808, 809, 810. The output of each multiplier 804, 805, 806 is applied to a respective sum-and dump circuit 808, 809, 810 which performs discrete integration. Before summing the signal energy contained in the output signals of the correlators, the AVC compensates for the

different multipath signals. Each despread multipath signal and its corresponding weighting factor, which is obtained from the corresponding multipath weighting factor of the pilot AVC, are multiplied by multiplier 817, 818, 819. The output signals of the multipliers 817, 818, 819. The output signals of the multipliers 817, 818, 819 are  
5 summed in a master adder 820, and the output signal  $z(nT)$  of the accumulator 820 consists of sampled levels of a despread message signal in noise.

The alternative embodiment of the invention includes a new implementation of the AVC despreding circuit for the message channels which performs the sum-and-dump for each multipath signal component simultaneously. The advantage  
10 of this circuit is that only one sum-and dump circuit and one adder is necessary. Referring to Figure 8b, the message code sequence generator 830 provides a message code sequence to shift register 831 of length  $L$ . The output signal of each register 832, 833, 834, 835 of the shift register 831 corresponds to the message code sequence shifted in phase by one chip. The output value of each register 832, 833, 834, 835 is multiplied  
15 in multipliers 836, 837, 838, 839 with the corresponding weighting factor  $w_k$ ,  $k=1, \dots, L$  obtained from the Pilot AVC. The output signals of the  $L$  multipliers 836, 837, 838, 839 are summed by the adding circuit 840. The adding circuit output signal and the receiver input signal  $x(nT + \tau)$  are then multiplied in the multiplier 841 and integrated by the sum-and-dump circuit 842 to produce message signal  $z(nT)$ .

20 A third embodiment of the adaptive vector correlator is shown in Figure 8c. This embodiment uses the least mean square (LMS) statistic to implement the vector correlator and determines the derotation factors for each multipath component from the received multipath signal. The AVC of Figure 8c is similar to the exemplary implementation of the Pilot AVC used to despread the pilot spreading code shown in  
25 Figure 7. The digital phase locked loop 721 is replaced by a phase locked loop 850 having a voltage controlled oscillator 851, loop filter 852, limiter 853, and imaginary component separator 854. The difference between the corrected despread output signal  $\hat{d}_{os}$  and an ideal despread output is provided by adder 855, and the difference signal is a despread error value  $ide$  which is further used by the derotation circuits to compensate for  
30 errors in the derotation factors.

In a multipath signal environment, the signal energy of a transmitted symbol is spread out over the multipath signal components. The advantage of multipath signal addition is that a substantial portion of signal energy is recovered in an output signal from the AVC. Consequently, a detection circuit has an input signal from the AVC with a higher signal-to-noise ratio (SNR), and so can detect the presence of a symbol with a lower bit-error ratio (BER). In addition, measuring the output of the AVC is a good indication of the transmit power of the transmitter, and a good measure of the system's interference noise.

#### Adaptive Matched Filter

One embodiment of the current invention includes an Adaptive Matched Filter (AMF) to optimally combine the multipath signal components in a received spread spectrum message signal. The AMF is a tapped delay line which holds shifted values of the sampled message signal and combines these after correcting for the channel response. The correction for the channel response is done using the channel response estimate calculated in the AVC which operates on the Pilot sequence signal. The output signal of the AMF is the combination of the multipath components which are summed to give a maximum value. This combination corrects for the distortion of multipath signal reception. The various message despreading circuits operate on this combined multipath component signal from the AMF.

Figure 8d shows an exemplary embodiment of the AMF. The sampled signal from the A/D converter 870 is applied to the L-stage delay line 872. Each stage of this delay line 872 holds the signal corresponding to a different multipath signal component. Correction for the channel response is applied to each delayed signal component by multiplying the component in the respective multiplier of multiplier bank 874 with the respective weighting factor  $w_1, w_2, \dots, w_L$  from the AVC corresponding to the delayed signal component. All weighted signal components are summed in the adder 876 to give the combined multipath component signal  $y(t)$ .

The combined multipath component signal  $y(t)$  does not include the correction due to phase and frequency offset of the carrier signal. The correction for the phase and frequency offset of the carrier signal is made to  $y(t)$  by multiplying  $y(t)$  with

- 36 -

carrier phase and frequency correction (derotation phasor) in multiplier 878. The phase and frequency correction is produced by the AVC as described previously. Figure 8d shows the correction before the despreading circuits 880, but alternate embodiments of the invention can apply the correction after the despreading circuits.

5     **The Radio Carrier Station (RCS)**

          The Radio Carrier Station (RCS) of the present invention acts as a central interface between the SU and the remote processing control network element, such as a Radio Distribution Unit (RDU). The interface to the RDU of the exemplary system follows the G.704 standard and an interface according to a modified version of DECT  
10     V5.1, but the present invention may support any interface that can exchange call control and traffic channels. The RCS receives information channels from the RDU including call control data, and traffic channel data such as, but not limited to, 32 kb/s ADPCM, 64 kb/s PCM, and ISDN, as well as system configuration and maintenance data. The RCS also terminates the CDMA radio interface bearer channels with SUs, which channels  
15     include both control data, and traffic channel data. In response to the call control data from either the RDU or a SU, the RCS allocates traffic channels to bearer channels on the RF communication link and establishes a communication connection between the SU and the telephone network through an RDU.

          As shown in Figure 9, the RCS receives call control and message  
20     information data into the MUXs 905, 906 and 907 through interface lines 901, 902 and 903. Although E1 format is shown, other similar telecommunication formats can be supported in the same manner as described below. Each MUX provides a connection to the Wireless Access Controller (WAC) 920 through the PCM highway 910. While the exemplary system shown in Figure 1 uses an E1 Interface, it is contemplated that other  
25     types of telephone lines which convey multiple calls may be used, for example, T1 lines or lines which interface to a Private Branch Exchange (PBX).

          The Wireless Access Controller (WAC) 920 is the RCS system controller which manages call control functions and interconnection of data streams between the MUXs 905, 906, 907 and the Modem Interface Units (MIUs) 931, 932, 933. The WAC

SUBSTITUTE SHEET (RULE 26)

- 37 -

920 also controls and monitors other RCS elements such as the VDC 940, RF 950, and Power Amplifiers 960.

A low speed bus 912 is connected to the WAC 920 for transferring control and status signals between the RF Transmitter/Receiver 950, VDC 940, RF 950 and Power Amplifier 960. The control signals are sent from the WAC 920 to enable or disable the RF Transmitters/Receiver 950 or Power amplifier 960, and the status signals are sent from the RF Transmitters/Receiver 950 or Power amplifier 960 to monitor the presence of a fault condition.

The exemplary RCS contains at least one MIU 931, which is shown in Figure 10. The MIU of the exemplary embodiment includes six CDMA modems, but the invention is not limited to this number of modems. The MIU includes: a System PCM Highway 1201 connected to each of the CDMA Modems 1210, 1211, 1212, 1215 through a PCM Interface 1220; a Control Channel Bus 1221 connected to MIU controller 1230 and each of the CDMA Modems 1210, 1211, 1212, 1213; an MIU clock signal generator (CLK) 1231; and a modem output combiner 1232. The MIU provides the RCS with the following functions: the MIU controller receives CDMA Channel Assignment Instructions from the WAC and assigns a first modem to a user information signal which is applied to the line interface of the MUX and a second modem to receive the CDMA channel from the SU; the MIU also combines the CDMA Transmit Modem Data for each of the MIU CDMA modems; multiplexes I and Q transmit message data from the CDMA modems for transmission to the VDC; receives Analog I and Q receive message data from the VDC; distributes the I and Q data to the CDMA modems; transmits and receives digital AGC Data; distributes the AGC data to the CDMA modems; and sends MIU Board Status and Maintenance Information to the WAC 920.

The MIU controller 1230 of the exemplary embodiment of the present invention contains one communication microprocessor 1240, such as the MC68360 "QUICC" Processor, and includes a memory 1242 having a Flash Prom memory 1243 and a SRAM memory 1244. Flash Prom 1243 is provided to contain the program code for the Microprocessors 1240, and the memory 1243 is downloadable and reprogrammable to support new program versions. SRAM 1244 is provided to contain the temporary data

space needed by the MC68360 Microprocessor 1240 when the MIU controller 1230 reads or writes data to memory

The MIU CLK circuit 1231 provides a timing signal to the MIU controller 1230, and also provides a timing signal to the CDMA modems. The MIU CLK circuit  
5 1231 receives and is synchronized to the system clock signal  $w_o(t)$ . The controller clock signal generator 1213 also receives and synchronizes to the spreading code clock signal  $p_n(t)$  which is distributed to the CDMA modems 1210, 1211, 1212, 1215 from the MUX.

The RCS of the present embodiment includes a System Modem 1210 contained on one MIU. The System Modem 1210 includes a Broadcast spreader (not  
10 shown) and a Pilot Generator (not shown). The Broadcast Modem provides the broadcast information used by the exemplary system, and the broadcast message data is transferred from the MIU controller 1230 to the System Modem 1210. The System Modem also includes four additional modems (not shown) which are used to transmit the signals CT1 through CT4 and AX1 through AX4. The System Modem 1210 provides unweighted I  
15 and Q Broadcast message data signals which are applied to the VDC. The VDC adds the Broadcast message data signal to the MIU CDMA Modem Transmit Data of all CDMA modems 1210, 1211, 1212, 1215, and the Global Pilot signal.

The Pilot Generator (PG) 1250 provides the Global Pilot signal which is used by the present invention, and the Global Pilot signal is provided to the CDMA  
20 modems 1210, 1211, 1212, 1215 by the MIU controller 1230. Other embodiments of the present invention, however, do not require the MIU controller to generate the Global Pilot signal, but include a Global Pilot signal generated by any form of CDMA Code Sequence generator. In the described embodiment of the invention, the unweighted I and Q Global Pilot signal is also sent to the VDC where it is assigned a weight, and added to  
25 the MIU CDMA Modem transmit data and Broadcast message data signal.

System timing in the exemplary RCS is derived from the E1 interface. There are four MUXs in an RCS, three of which (905, 906 and 907) are shown in Figure 9. Two MUXs are located on each chassis. One of the two MUXs on each chassis is designated as the master, and one of the masters is designated as the system master. The  
30 MUX which is the system master derives a 2.048 Mhz PCM clock signal from the E1



interface using a phase locked loop (not shown). In turn, the system master MUX divides the 2.048 Mhz PCM clock signal in frequency by 16 to derive a 128 KHz reference clock signal. The 128 KHz reference clock signal is distributed from the MUX that is the system master to all the other MUXs. In turn, each MUX multiplies the 128 KHz reference clock signal in frequency to synthesize the system clock signal which has a frequency that is twice the frequency of the PN-clock signal. The MUX also divides the 128 KHz clock signal in frequency by 16 to generate the 8 KHz frame synch signal which is distributed to the MIUs. The system clock signal for the exemplary embodiment has a frequency of 11.648 Mhz for a 7 MHz bandwidth CDMA channel. Each MUX also divides the system clock signal in frequency by 2 to obtain the PN-clock signal and further divides the PN-clock signal in frequency by 29 877 120 (the PN sequence length) to generate the PN-synch signal which indicates the epoch boundaries. The PN-synch signal from the system master MUX is also distributed to all MUXs to maintain phase alignment of the internally generated clock signals for each MUX. The PN-synch signal and the frame synch signal are aligned. The two MUXs that are designated as the master MUXs for each chassis then distribute both the system clock signal and the PN-clock signal to the MIUs and the VDC.

The PCM Highway Interface 1220 connects the System PCM Highway 911 to each CDMA Modem 1210, 1211, 1212, 1215. The WAC controller transmits Modem Control information, including traffic message control signals for each respective user information signal, to the MIU controller 1230 through the HSB 970. Each CDMA Modem 1210, 1211, 1212, 1215 receives a traffic message control signal, which includes signaling information, from the MIU. Traffic message control signals also include call control (CC) information and spreading code and despreading code sequence information.

The MIU also includes the Transmit Data Combiner 1232 which adds weighted CDMA modem transmit data including In-phase (I) and Quadrature (Q) modem transmit data from the CDMA modems 1210, 1211, 1212, 1215 on the MIU. The I modem transmit data is added separately from the Q modem transmit data. The combined I and Q modem transmit data output signal of the Transmit Data Combiner 1232 is applied to the I and Q multiplexer 1233 that creates a single CDMA transmit message

channel composed of the I and Q modem transmit data multiplexed into a digital data stream.

The Receiver Data Input Circuit (RDI) 1234 receives the Analog Differential I and Q Data from the Video Distribution Circuit (VDC) 940 shown in Figure 9 and distributes Analog Differential I and Q Data to each of the CDMA Modems 1210, 1211, 1212, 1215 of the MIU. The Automatic Gain Control Distribution Circuit (AGC) 1235 receives the AGC Data signal from the VDC and distributes the AGC Data to each of the CDMA Modems of the MIU. The TRL circuit 1233 receives the Traffic lights information and similarly distributes the Traffic light data to each of the Modems 1210, 1211, 1212, 1215.

#### **The CDMA Modem**

The CDMA modem provides for generation of CDMA spreading code sequences, synchronization between transmitter and receiver. It also provides four full duplex channels (TR0, TR1, TR2, TR3) programmable to 64, 32, 16, and 8 ksym/sec. each, spreading and transmission at a specific power level. The CDMA modem measures the received signal strength to allow Automatic Power Control, it generates and transmits pilot signals, encodes and decodes using the signal for forward error correction (FEC). The modem in a subscriber unit (SU) also performs transmitter spreading-code pulse shaping using an FIR filter. The CDMA modem is also used by the SU and, in the following discussion, those features which are used only by the SU are distinctly pointed out. The operating frequencies of the CDMA modem are given in Table 7.

Table 7 Operating Frequencies

<b>Bandwidth (MHz)</b>	<b>Chip Rate (MHz)</b>	<b>Symbol Rate (KHz)</b>	<b>Gain (Chips/Symbol)</b>
7	5.824	64	91
10	8.320	64	130
10.5	8.512	64	133
14	11.648	64	182
15	12.480	64	195

- 41 -

Each CDMA modem 1210, 1211, 1212, 1215 of Figure 10, and as shown in Figure 11, is composed of a transmit section 1301 and a receive section 1302. Also included in the CDMA modem is a control center 1303 which receives control messages CNTRL from the external system. These messages are used, for example, to assign particular spreading codes, to activate the spreading or despreading, or to assign transmission rates. In addition, the CDMA modem has a code generator means 1304 used to generate the various spreading and despreading codes used by the CDMA modem. The transmit section 1301 transmits the input information and control signals  $m_i(t)$ ,  $i=1,2,\dots,I$  as spread-spectrum processed user information signals  $sc_j(t)$ ,  $j=1,2,\dots,J$ . The transmit section 1301 receives the global pilot code from the code generator 1304 which is controlled by the control means 1303. The spread spectrum processed user information signals are ultimately added with other similarly processed signals and transmitted as CDMA channels over the CDMA RF forward message link, for example to the SUs. The receive section 1302 receives CDMA channels as  $r(t)$  and despreads and recovers the user information and control signals  $rc_k(t)$ ,  $k=1,2,\dots,K$  transmitted over the CDMA RF reverse message link, for example to the RCS from the SUs.

#### CDMA Modem Transmitter Section

Referring to Figure 12, the code generator means 1304 includes Transmit Timing Control Logic 1401 and spreading code PN-Generator 1402, and the Transmit Section 1301 includes MODEM Input Signal Receiver (MISR) 1410, Convolution Encoders 1411, 1412, 1413, 1414, Spreaders 1420, 1421, 1422, 1423, 1424, and Combiner 1430. The Transmit Section 1301 receives the message data channels MESSAGE, convolutionally encodes each message data channel in the respective convolutional encoder 1411, 1412, 1413, 1414, modulates the data with random spreading code sequence in the respective spreader 1420, 1421, 1422, 1423, 1424, and combines modulated data from all channels, including the pilot code received in the described embodiment from the code generator, in the combiner 1430 to generate I and Q components for RF transmission. The Transmitter Section 1301 of the present embodiment supports four (TR0, TR1, TR2, TR3) 64, 32, 16, 8 Kbps programmable channels. The message channel data is a time multiplexed signal received from the PCM highway 1201 through PCM interface 1220 and input to the MISR 1410.

Figure 13 illustrates the block diagram of the MISR 1410. For the exemplary embodiment of the present invention, a counter is set by the 8 KHz frame synchronization signal MPCMSYNC and is incremented by 2.048 MHz MPCMCLK from the timing circuit 1401. The counter output is compared by comparator 1502  
5 against TRCFG values corresponding to slot time location for TR0, TR1, TR2, TR3 message channel data; and the TRCFG values are received from the MIU Controller 1230 in MCTRL. The comparator sends a count signal to the registers 1505, 1506, 1507, 1508 which clocks message channel data into buffers 1510, 1511, 1512, 1513 using the TXPCNCLK timing signal derived from the system clock. The message data is provided  
10 from the signal MSGDAT from the PCM highway signal MESSAGE when enable signals TR0EN, TR1EN, TR2EN and TR3EN from Timing Control Logic 1401 are active. In further embodiments, MESSAGE may also include signals that enable registers depending upon an encryption rate or data rate. If the counter output is equal to one of the channel location addresses, the specified transmit message data in registers 1510, 1511, 1512,  
15 1513 are input to the convolutional encoders 1411, 1412, 1413, 1414 shown in Figure 12.

The convolutional encoder enables the use of Forward error correction (FEC) techniques, which are well known in the art. FEC techniques depend on introducing redundancy in generation of data in encoded form. Encoded data is transmitted and the redundancy in the data enables the receiver decoder device to detect  
20 and correct errors. One exemplary system which uses a modem according to the present invention employs convolutional encoding. Additional data bits are added to the data in the encoding process and are the coding overhead. The coding overhead is expressed as the ratio of data bits transmitted to the total bits (code data + redundant data) transmitted and is called the rate "R" of the code

25 Convolution codes are codes where each code bit is generated by the convolution of each new uncoded bit with a number of previous coded bits. The total number of bits used in the encoding process is referred to as the constraint length, "K", of the code. In convolutional coding, data is clocked into a shift register of K bits length so that an incoming bit is clocked into the register, and it and the existing K-1 bits are  
30 convolutionally encoded to create a new symbol. The convolution process consists of

creating a symbol consisting of a module-2 sum of a certain pattern of available bits, always including the first bit and the last bit in at least one of the symbols.

Figure 14 shows the block diagram of  $K=7$ ,  $R=1/2$  convolution encoder suitable for use as the encoder 1411 shown in Figure 12. This circuit encodes the TR0 Channel as used in one embodiment of the present invention. Seven-bit Register 1601 with stages Q1 through Q7 uses the signal TXPNCLK to clock in TR0 data when the TR0EN signal is asserted. The output value of stages Q1, Q2, Q3, Q4, Q6, and Q7 are each combined using EXCLUSIVE-OR Logic 1602, 1603 to produce respective I and Q channel FEC data for the TR0 channel FECTR0DI and FECTR0DQ.

Two output symbol streams FECTR0DI and FECTR0DQ are generated. The FECTR0DI symbol stream is generated by EXCLUSIVE OR Logic 1602 of shift register outputs corresponding to bits 6, 5, 4, 3, and 0, (Octal 171) and is designed as In phase component "I" of the transmit message channel data. The symbol stream FECTR0DQ is likewise generated by EXCLUSIVE-OR logic 1603 of shift register outputs from bits 6, 4, 3, 1 and 0, (Octal 133) and is designated as Quadrature component "Q" of the transmit message channel data. Two symbols are transmitted to represent a single encoded bit creating the redundancy necessary to enable error correction to take place on the receiving end.

Referring to Figure 14, the shift enable clock signal for the transmit message channel data is generated by the Control Timing Logic 1401. The convolutionally encoded transmit message channel output data for each channel is applied to the respective spreader 1420, 1421, 1422, 1423, 1424 which multiplies the transmit message channel data by its preassigned spreading-code sequence from code generator 1402. This spreading-code sequence is generated by control 1303 as previously described, and is called a random pseudonoise signature sequence (PN-code).

The output signal of each spreader 1420, 1421, 1422, 1423, 1424 is a spread transmit data channel. The operation of the spreader is as follows: the spreading of channel output  $(I + jQ)$  multiplied by a random sequence  $(PNI + jPNQ)$  yields the In-phase component I of the result being composed of  $(I \text{ xor } PNI)$  and  $(-Q \text{ xor } PNQ)$ . Quadrature component Q of the result is  $(Q \text{ xor } PNI)$  and  $(I \text{ xor } PNQ)$ . Since there is no

channel data input to the pilot channel logic ( $I=1$ ,  $Q$  values are prohibited), the spread output signal for pilot channels yields the respective sequences PNI for I component and PNQ for Q component.

The combiner 1430 receives the I and Q spread transmit data channels and combines the channels into an I modem transmit data (TXIDAT) and Q modem transmit data (TXQDAT) signals. The I-spread transmit data and the Q spread transmit data are added separately.

For an SU, the CDMA modem Transmit Section 1301 includes the FIR filters to receive the I and Q channels from the combiner to provide pulse shaping, close-in spectral control and  $x / \sin(x)$  correction on the transmitted signal. Separate but identical FIR filters (not shown) receive the I and Q spread transmit data streams at the chipping rate, and the output signal of each of the filters is at twice the chipping rate. The FIR filters are 28 tap even symmetrical filters, which upsample (interpolate) by 2. The upsampling occurs before the filtering, so that 28 taps refers to 28 taps at twice the chipping rate, and the upsampling is accomplished by setting every other sample a zero. Exemplary coefficients are shown in Table 8.

Table 8 - Coefficient Values

Coeff.No.:	0	1	2	3	4	5	6	7	8	9	10	11	12	13
Value:	3	-11	-34	-22	19	17	32	19	52	24	94	-31	277	468
Coeff.No.	14	15	16	17	18	19	20	21	22	24	25	26	27	
Value	277	-31	-94	24	52	-19	-32	17	19	-22	-34	-11	3	

### CDMA Modem Receiver Section

Referring to Figures 9 and 10, the RF receiver 950 of the present embodiment accepts analog input I and Q CDMA channels, which are transmitted to the CDMA modems 1210, 1211, 1212, 1215 through the MIUs 931, 932, 933 from the VDC 940. These I and Q CDMA channel signals are sampled by the CDMA modem receive section 1302 (shown in Figure 11) and converted to I and Q digital receive message signal using an Analog to Digital (A/D) converter 1730 of Figure 15. The sampling rate of the A/D converter of the exemplary embodiment of the present invention is equivalent to the

despreading code rate. The I and Q digital receive message signals are then despread with correlators using six different complex despreading code sequences corresponding to the spreading code sequences of the four channels (TR0, TR1, TR2, TR3), APC information and the pilot code.

5 Time synchronization of the receiver to the received signal is separated into two phases; there is an initial acquisition phase and then a tracking phase after the signal timing has been acquired. The initial acquisition is done by sliding the locally generated pilot code sequence relative to the received signal and comparing the output signal of the pilot despreader to a threshold. The method used is called sequential search. Two  
10 thresholds (match and dismiss) are calculated from the auxiliary despreader. Once the signal is acquired, the search process is stopped and tracking begins. The tracking maintains the code generator 1304 (shown in Figures 11 and 15) used by the receiver in synchronization with the incoming signal. The tracking loop used is the Delay-Locked Loop (DLL) and is implemented in the acquisition & track 1701 and the IPM 1702 blocks  
15 of Figure 15.

In Figure 11, the modem controller 1303 implements the Phase Lock Loop (PLL) as a software algorithm in SW PLL logic 1724 of Figure 15 that calculates the phase and frequency shift in the received signal relative to the transmitted signal. The calculated phase shifts are used to derotate the phase shifts in rotate and combine blocks  
20 1718, 1719, 1720, 1721 of the multipath data signals for combining to produce output signals corresponding to receive channels TR0', TR1', TR2', TR3'. The data is then Viterbi decoded in Viterbi Decoders 1713, 1714, 1715, 1716 to remove the convolutional encoding in each of the received message channels.

Figure 15 indicates that the Code Generator 1304 provides the code sequences  $P_n(t)$ ,  $I=1,2, \dots$  used by the receive channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709. The code sequences generated are timed in response to the SYNK signal of the system clock signal and are determined by the CCNTRL signal from the modem controller 1303 shown in Figure 11. Referring to Figure 15, the CDMA  
25 modem receiver section 1302 includes Adaptive Matched Filter (AMF) 1710, Channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709, Pilot AVC 1711, Auxiliary AVC 1712, Viterbi decoders 1713, 1714, 1715, 1716, Modem output interface (MOI)  
30

1717, Rotate and Combine logic 1718, 1719, 1720, 1721, AMF Weight Generator 1722, and Quantile Estimation logic 1723.

In another embodiment of the invention, the CDMA modem receiver may also include a Bit error Integrator to measure the BER of the channel and idle code insertion logic between the Viterbi decoders 1713, 1714, 1715, 1716 and the MOI 1717 to insert idle codes in the event of loss of the message data.

The Adaptive Matched Filter (AMF) 1710 resolves multipath interference introduced by the air channel. The exemplary AMF 1717 uses an stage complex FIR filter as shown in Figure 16. The received I and Q digital message signals are received at the register 1820 from the A/D converter 1730 of Figure 15 and are multiplied in multipliers 1801, 1802, 1803, 1810, 1811 by I and Q channel weights W1 to W11 received from AMF weight generator 1722 of Figure 15. In the exemplary embodiment, the A/D converter 1730 provides the I and Q digital receive message signal data as 2's complement 6 bits I and 6 bits Q which are clocked through an 11 stage shift register 1820 responsive to the receive spreading code clock signal RXPNCCLK. The signal RXPNCCLK is generated by the timing section 1401 of code generation logic 1304. Each stage of the shift register is tapped and complex multiplied in the multipliers 1801, 1802, 1803, 1810, 1811 by individual (6-bit I and 6-bit Q) weights to provide 11 tap-weighted products which are added in adder 1830, and limited to 7-bit I and 7-bit Q values.

The CDMA modem receive section 1302 (shown in Figure 11) provides independent channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709 (shown in Figure 15) for despreading the message channels. The described embodiment despreads 7 message channels, each despreaders accepting a 1-bit I b 1-bit Q spreading code signal to perform a complex correlation of this code against a 8-bit I by 8-bit Q data input. The 7 despreaders correspond to the 7 channels: Traffic Channel 0 (TR0'), TR1', TR2', TR3', AUX (a spare channel), Automatic Power Control (APC) and pilot (PLT).

The Pilot AVC 1711 shown in Figure 17 receives the I and Q Pilot Spreading code sequence values PCI and PCQ into shift register 1920 responsive to the timing signal RXPNCCLK, and includes 11 individual despreaders 1901 through 1911 each correlating the I and Q digital receive message signal data with a one chip delayed



versions of the same pilot code sequence. Signals OE1, OE2, ..OE11 are used by the modem control 1303 to enable the despreading operation. The output signals of the despreaders are combined in combiner 1920 forming correlation signal DSPRDAT of the Pilot AVC 1711, which is received by the ACQ & Track logic 1701 (shown in Figure 15), and ultimately by modem controller 1303 (shown in Figure 11). The ACQ & Track logic 1701 uses the correlation signal value to determine if the local receiver is synchronized with its remote transmitter.

The Auxiliary AVC 1712 also receives the I and Q digital receive message signal data and, in the described embodiment, includes four separate despreaders 2001, 2002, 2003, 2004 as shown in Figure 18. Each despreader receives and correlates the I and Q digital receive message data with delayed versions of the same despreading-code sequence PARI and PARQ which are provided by code generator 1304 input to and contained in shift register 2020. The output signals of the despreaders 2001, 2002, 2003, 2004 are combined in combiner 2030 which provides noise correlation signal ARDSPRDAT. The auxiliary AVC despreading code sequence does not correspond to any transmit spreading-code sequence of the system. Signals OE1, OE2, ..OE4 are used by the modem control 1303 to enable the despreading operation. The Auxiliary AVC 1712 provides a noise correlation signal ARDSPRDAT from which quantile estimates are calculated by the Quantile estimator 1733, and provides a noise level measurement to the ACQ & Track logic 1701 (shown in Figure 15) and modem controller 1303 (shown in Figure 11).

Each despread channel output signal corresponding to the received message channels TR0', TR1', TR2', and TR3' is input to a corresponding Viterbi decoder 1713, 1714, 1715, 1716 shown in Figure 15 which performs forward error correction on convolutionally encoded data. The Viterbi decoders of the exemplary embodiment have a constraint length of  $K=7$  and a rate of  $R=1/2$ . The decoded despread message channel signals are transferred from the CDMA modem to the PCM Highway 1201 through the MOI 1717. The operation of the MOI is very similar to the operation of the MISR of the transmit section 1301 (shown in Figure 11), except in reverse.

The CDMA modem receiver section 1302 implements several different algorithms during different phases of the acquisition, tracking and despreading of the receive CDMA message signal.

When the received signal is momentarily lost (or severely degraded) the idle code insertion algorithm inserts idle codes in place of the lost or degraded receive message data to prevent the user from hearing loud noise bursts on a voice call. The idle codes are sent to the MOI 1717 (shown in Figure 15) in place of the decoded message channel output signal from the Viterbi decoders 1713, 1714, 1715, 1716. The idle code used for each traffic channel is programmed by the Modem Controller 1303 by writing the appropriate pattern IDLE to the MOI, which in the present embodiment is a 8 bit word for a 64 kbps stream, 4 bit word for a 32 kbps stream.

#### **Modem Algorithms for Acquisition and Tracking of Received Pilot Signal**

The acquisition and tracking algorithms are used by the receiver to determine the approximate code phase of a received signal, synchronize the local modem receiver despreaders to the incoming pilot signal, and track the phase of the locally generated pilot code sequence with the received pilot code sequence. Referring to Figures 11 and 15, the algorithms are performed by the Modem controller 1303, which provides clock adjust signals to code generator 1304. These adjust signals cause the code generator for the despreaders to adjust locally generated code sequences in response to measured output values of the Pilot Rake 1711 and Quantile values from quantile estimators 1723B. Quantile values are noise statistics measured from the In-phase and Quadrature channels from the output values of the AUX Vector Correlator 1712 (shown in Figure 15). Synchronization of the receiver to the received signal is separated into two phases; an initial acquisition phase and a tracking phase. The initial acquisition phase is accomplished by clocking the locally generated pilot spreading code sequence at a higher or lower rate than the received signal's spreading code rate, sliding the locally generated pilot spreading code sequence and performing sequential probability ratio test (SPRT) on the output of the Pilot Vector correlator 1711. The tracking phase maintains the locally generated spreading code pilot sequence in synchronization with the incoming pilot signal.

The SU cold acquisition algorithm is used by the SU CDMA modem when it is first powered up, and therefore has no knowledge of the correct pilot spreading code phase, or when an SU attempts to reacquire synchronization with the incoming pilot signal but has taken an excessive amount of time. The cold acquisition algorithm is divided into two sub-phases. The first subphase consists of a search over the length 233415 code used by the FBCCH. Once this sub-code phase is acquired, the pilot's 233415 x 128 length code is known to within an ambiguity of 128 possible phases. The second subphase is a search of these remaining 128 possible phases. In order not to lose synch with the FBCCH, the second phase of the search it is desirable to switch back and forth between tracking the FBCCH code and attempting acquisition of the pilot code.

The RCS acquisition of short access pilot (SAXPT) algorithm is used by an RCS CDMA modem to acquire the SAXPT pilot signal of an SU. The algorithm is a fast search algorithm because the SAXPT is a short code sequence of length N, where N = chips/symbol, and ranges from 45 to 195, depending on the system's bandwidth. The search cycles through all possible phases until acquisition is complete.

The RCS acquisition of the long access pilot (LAXPT) algorithm begins immediately after acquisition of SAXPT. The SU's code phase is known within a multiple of a symbol duration, so in the exemplary embodiment of the invention, there may be 7 to 66 phases to search within the round trip delay from the RCS. This bound is a result of the SU pilot signal being synchronized to the RCS Global pilot signal.

The re-acquisition algorithm begins when loss of code lock (LOL) occurs. A Z-search algorithm is used to speed the process on the assumption that the code phase has not drifted far from where it was the last time the system was locked. The RCS uses a maximum width of the Z-search windows bounded by the maximum round trip propagation delay.

The Pre-Track algorithm immediately follows the acquisition or re-acquisition algorithms and immediately precedes the tracking algorithm. Pre-track is a fixed duration period during which the receive data provided by the modem is not considered valid. The Pre-Track period allows other modem algorithms, such as those used by the ISW PLL 1724, ACQ & Tracking, AMF Weight GEN 1722, to prepare and

- 50 -

adapt to the current channel. The Pre-track algorithm is two parts. The first part is the delay while the code tracking loop pulls in. The second part is the delay while the AMF tap weight calculations are performed by the AMF Weight Gen 1722 to produce settled weighting coefficients. Also in the second part of the Pre-Track period, the carrier  
5 tracking loop is allowed to pull in by the SW PLL 1724, and the scalar quantile estimates are performed in the Quantile estimator 1723A.

The Tracking process is entered after the Pre-Track period ends. This process is actually a repetitive cycle and is the only process phase during which receive data provided by the modem may be considered valid. The following operations are  
10 performed during this phase: AMF Tap Weight Update, Carrier Tracking, Code Tracking, Vector Quantile Update, Scalar Quantile Update, Code Lock Check, Derotation and Symbol Summing, and Power Control (forward and reverse).

If loss of lock (LOL) is detected, the modem receiver terminates the Track algorithm and automatically enters the reacquisition algorithm. In the SU, a LOL causes  
15 the transmitter to be shut down. In the RCS, LOL causes forward power control to be disabled with the transmit power held constant at the level immediately prior to loss of lock. It also causes the return power control information being transmitted to assume a 010101...pattern, causing the SU to hold its transmit power constant. This can be performed using the signal lock check function which generates the reset signal to the  
20 acquisition and tracking circuit 1701.

Two sets of quantile statistics are maintained, one by Quantile estimator 1723B and the other by the scalar Quantile Estimator 1723A. Both are used by the modem controller 1303. The first set is the "vector" quantile information, so named because it is calculated from the vector of four complex values generated by the AUX  
25 AVC receiver 1712. The second set is the scalar quantile information, which is calculated from the single complex value AUX signal that is output from the AUX Despreader 1707. The two sets of information represent different sets of noise statistics used to maintain a pre-determined Probability of False Alarm ( $P_{fa}$ ). The vector quantile data is used by the acquisition and reacquisition algorithms implemented by the modem controller 1303 to  
30 determine the presence of a received signal in noise, and the scalar quantile information is used by the code lock check algorithm.

- 51 -

For both the vector and scalar cases, quantile information consists of calculated values of  $\lambda_0$  through  $\lambda_2$ , which are boundary values used to estimate the probability distribution function (p.d.f.) of the despread received signal and determine whether the modem is locked to the PN code. The `Aux_Power` value used in the following C-subroutine is the magnitude squared of the AUX signal output of the scalar correlator array for the scalar quantiles, and the sum of the magnitudes squared for the vector case. In both cases the quantiles are then calculated using the following C-subroutine:

```
for (n = 0; n < 3; n++) {  
10   lambda [n] += (lambda [n] < Aux_Power) ? CG[n] : GM[n];  
}
```

where  $CG[n]$  are positive constants and  $GM[n]$  are negative constants (different values are used for scalar and vector quantiles).

During the acquisition phase, the search of the incoming pilot signal with the locally generated pilot code sequence employs a series of sequential tests to determine if the locally generated pilot code has the correct code phase relative to the received signal. The search algorithms use the Sequential Probability Ratio Test (SPRT) to determine whether the received and locally generated code sequences are in phase. The speed of acquisition is increased by the parallelism resulting from having a multi-fingered receiver. For example, in the described embodiment of the invention the main Pilot Rake 1711 has a total of 11 fingers representing a total phase period of 11 chip periods. For acquisition 8 separate sequential probability ratio tests (SPRTs) are implemented, with each SPRT observing a 4 chip window. Each window is offset from the previous window by one chip period, and in a search sequence any given code phase is covered by 4 windows. If all 8 of the SPRT tests are rejected, then the set of windows is moved by 8 chips. If any of the SPRT's is accepted, then the code phase of the locally generated pilot code sequence is adjusted to attempt to center the accepted SPRT's phase within the Pilot AVC. It is likely that more than one SPRT reaches the acceptance threshold at the same time. A table lookup is used cover all 256 possible combinations of accept/reject and the modem controller uses the information to estimate the correct center code phase within

the Pilot Rake 1711. Each SPRT is implemented as follows (all operations occur at 64k symbol rate): Denote the fingers' output level values as  $I\_Finger[n]$  and  $Q\_Finger[n]$ , where  $n=0..10$  (inclusive, 0 is earliest (most advanced) finger), then the power of each window is:

$$5 \quad \text{Power Window}[i] = \sum_n (I\_Finger^2[n] + Q\_Finger^2[n])$$

To implement the SPRT's the modem controller then performs for each of the windows the following calculations which are expressed as a pseudo-code subroutine:

```

/* find bin for Power */
10  tmp = SIGMA[0];
    for (k = 0; k < 3; k++) {
        if (Power > lambda [k]) tmp = SIGMA[k+1];
    }
    test_statistic += tmp; /* update statistic */
15  if(test_statistic > ACCEPTANCE_THRESHOLD)you've got ACQ;
    else if (test_statistic < DISMISSAL_THRESHOLD) {
        forget this code phase;
    } else keep trying - get more statistics;

```

where  $\lambda[k]$  are as defined in the above section on quantile estimation, and  
 20  $SIGMA[k]$ ,  $ACCEPTANCE\_THRESHOLD$  and  $DISMISSAL\_THRESHOLD$  are predetermined constants. Note that  $SIGMA[k]$  is negative for values for low values of  $k$ , and positive for right values of  $k$ , such that the acceptance and dismissal thresholds can be constants rather than a function of how many symbols worth of data have been accumulated in the statistic.

25 The modem controller determines which bin, delimited by the values of  $\lambda[k]$ , the Power level falls into which allows the modem controller to develop an approximate statistic.

For the present algorithm, the control voltage is formed as  $\varepsilon = y^T B y$ , where  $y$  is a vector formed from the complex valued output values of the Pilot Vector correlator 1711, and  $B$  is a matrix consisting of the constant values pre-determined to maximixe the operating characteristics while minimizing the noise as described above with reference to the Quadratic Detector.

To understand the operation of the Quadratic Detector, it is useful to consider the following. A spread spectrum (CDMA) signal,  $s(t)$  is passed through a multipath channel with an impulse response  $h_c(t)$ . The baseband spread signal is described by equation (27).

$$s(t) = \sum_i C_i p(t - iT_c) \quad (27)$$

where  $C_i$  is a complex spreading code symbol,  $p(t)$  is a predefined chip pulse and  $T_c$  is the chip time spacing, where  $T_c = 1/R_c$  and  $R_c$  is the chip rate.

The received baseband signal is represented by equation (28)

$$r(t) = \sum_i C_i q(t - iT_c - \tau) + n(t) \quad (28)$$

where  $q(t) = p(t) * h_c(t)$ ,  $\tau$  is an unknown delay and  $n(t)$  is additive noise. The received signal is processed by a filter,  $h_R(t)$ , so the waveform,  $x(t)$ , to be processed is given by equation (29).

$$x(t) = \sum_i C_i f(t - iT_c - \tau) + z(t) \quad (29)$$

where  $f(t) = q(t) * h_R(t)$  and  $z(t) = n(t) * h_R(t)$ .

In the exemplary receiver, samples of the received signal are taken at the chip rate, that is to say,  $1/T_c$ . These samples,  $x(mT_c + \tau')$ , are processed by an array of correlators that compute, during the  $r^{\text{th}}$  correlation period, the quantities given by equation (30)

$$v_k^{(r)} = \sum_{m=rL}^{rL+L-1} x(mT_c + \tau') C_{m+k}^* \quad (30)$$

These quantities are composed of a noise component  $w_k^{(r)}$  and a deterministic component  $y_k^{(r)}$  given by equation (31).

$$y_k^{(r)} = E[v_k^{(r)}] = Lf(kT_c + \tau' - \tau) \quad (31)$$

In the sequel, the time index  $r$  may be suppressed for ease of writing, although it is to be noted that the function  $f(t)$  changes slowly with time.

The samples are processed to adjust the sampling phase,  $\tau'$ , in an optimum fashion for further processing by the receiver, such as matched filtering. This adjustment is described below. To simplify the representation of the process, it is helpful to describe it in terms of the function  $f(t+\tau)$ , where the time-shift,  $\tau$ , is to be adjusted. It is noted that the function  $f(t+\tau)$  is measured in the presence of noise. Thus, it may be problematical to adjust the phase  $\tau'$  based on measurements of the signal  $f(t+\tau)$ . To account for the noise, the function  $v(t)$ :  $v(t) = f(t) + m(t)$  is introduced, where the term  $m(t)$  represents a noise process. The system processor may be derived based on considerations of the function  $v(t)$ .

The process is non-coherent and therefore is based on the envelope power function  $|v(t+\tau)|^2$ . The functional  $e(\tau')$  given in equation (32) is helpful for describing the process.

$$e(\tau') = \int_{-\infty}^0 |v(t + \tau' - \tau)|^2 dt - \int_0^{\infty} |v(t + \tau' - \tau)|^2 dt \quad (32)$$

The shift parameter is adjusted for  $e(\tau') = 0$ , which occurs when the energy on the interval  $(-\infty, \tau' - \tau]$  equals that on the interval  $[\tau' - \tau, \infty)$ . The error characteristic is monotonic and therefore has a single zero crossing point. This is the desirable quality of the functional. A disadvantage of the functional is that it is ill-defined because the integrals are unbounded when noise is present. Nevertheless, the functional  $e(\tau')$  may be cast in the form given by equation (33).

$$e(\tau') = \int_{-\infty}^{\infty} w(t) |v(t + \tau' - \tau)|^2 dt \quad (33)$$

where the characteristic function  $w(t)$  is equal to  $\text{sgn}(t)$ , the signum function.



To optimize the characteristic function  $w(t)$ , it is helpful to define a figure of merit,  $F$ , as set forth in equation (34).

$$F = \frac{[e(\tau'_0 + T_A) - e(\tau'_0 - T_A)]^2}{VAR\{e(\tau'_0)\}} \quad (34)$$

The numerator of  $F$  is the numerical slope of the mean error characteristic on the interval  $[-T_A, T_A]$  surrounding the tracked value,  $\tau'_0$ . The statistical mean is taken with respect to the noise as well as the random channel,  $h_c(t)$ . It is desirable to specify a statistical characteristic of the channel in order to perform this statistical average. For example, the channel may be modeled as a Wide Sense Stationary Uncorrelated Scattering (WSSUS) channel with impulse response  $h_c(t)$  and a white noise process  $U(t)$  that has an intensity function  $g(t)$  as shown in equation (35).

$$h_c(t) = \sqrt{g(t)}U(t) \quad (35)$$

The variance of  $e(\tau)$  is computed as the mean square value of the fluctuation

$$e'(\tau) = e(\tau) - \langle e(\tau) \rangle \quad (36)$$

where  $\langle e(\tau) \rangle$  is the average of  $e(\tau)$  with respect to the noise.

Optimization of the figure of merit  $F$  with respect to the function  $w(t)$  may be carried out using well-known Variational methods of optimization.

Once the optimal  $w(t)$  is determined, the resulting processor may be approximated accurately by a quadratic sample processor which is derived as follows.

By the sampling theorem, the signal  $v(t)$ , bandlimited to a bandwidth  $W$  may be expressed in terms of its samples as shown in equation (37).

$$v(t) = \sum v(k/W) \text{sinc}[(Wt - k)\pi] \quad (37)$$

substituting this expansion into equation (z+6) results in an infinite quadratic form in the samples  $v(k/W + \tau'_0 - \tau)$ . Making the assumption that the signal bandwidth equals the chip rate allows the use of a sampling scheme that is clocked by the chip clock signal to be used to obtain the samples. These samples,  $v_k$  are represented by equation (38).

$$v_k = v(kT_c + \tau' - \tau) \quad (38)$$

This assumption leads to a simplification of the implementation. It is valid if the aliasing error is small.

In practice, the quadratic form that is derived is truncated. An example normalized B matrix is given below in Table 12. For this example, an exponential delay spread profile  $g(t) = \exp(-t/\tau)$  is assumed with  $\tau$  equal to one chip. An aperture parameter  $T_A$  equal to one and one-half chips has also been assumed. The underlying chip pulse has a raised cosine spectrum with a 20% excess bandwidth.

Table 12 - Example B matrix

0	0	0	0	0	0	0	0	0	0	0
0	0	-0.1	0	0	0	0	0	0	0	0
0	-0.1	0.22	0.19	-0.19	0	0	0	0	0	0
0	0	0.19	1	0.45	-0.2	0	0	0	0	0
0	0	-0.19	0.45	0.99	0.23	0	0	0	0	0
0	0	0	-0.2	0.23	0	-0.18	0.17	0	0	0
0	0	0	0	0	-0.18	-0.87	-0.42	0.18	0	0
0	0	0	0	0	0.17	-0.42	-0.92	-0.16	0	0
0	0	0	0	0	0	0.18	-0.16	-0.31	0	0
0	0	0	0	0	0	0	0	0	-0.13	0
0	0	0	0	0	0	0	0	0	0	0

10

Code tracking is implemented via a loop phase detector that is implemented as follows. The vector  $y$  is defined as a column vector which represents the 11 complex output level values of the Pilot AVC 1711, and  $B$  denotes an 11 x 11 symmetric real valued coefficient matrix with pre-determined values to optimize performance with the non-coherent Pilot AVC output values  $y$ . As described above, the phase detector output is given by equation (39):

15

- 57 -

$$\epsilon = y^T B y \quad (39)$$

The following calculations are then performed to implement a proportional plus integral loop filter and the VCO:

$$x[n] = x[n-1] + \beta \epsilon$$

$$5 \quad z[n] = z[n-1] + x[n] + \alpha \epsilon$$

for  $\beta$  and  $\alpha$  which are constants chosen from modeling the system to optimize system performance for the particular transmission channel and application, and where  $x[n]$  is the loop filter's integrator output value and  $z[n]$  is the VCO output value. The code phase adjustments are made by the modem controller the following pseudo-code subroutine:

```

10  if (z > zmx) {
        delay phase 1/16 chip;

        z -= zmax;
    } else if (z < -zmax) {
        advance phase 1/16 chip;
15  z += zmax;
    }

```

A different delay phase could be used in the above pseudo-code subroutine consistent with the present invention.

The AMF Tap-Weight Update Algorithm of the AMF Weight Gen 1722 (shown in Figure 15) occurs periodically to de-rotate and scale the phase each finger value of the Pilot Rake 1711 by performing a complex multiplication of the Pilot AVC finger value with the complex conjugate of the current output value of the carrier tracking loop and applying the product to a low pass filter to produce AMF tap-weight values, which are periodically written into the AMF filters of the CDMA modem.

25 The Code lock check algorithm, shown in Figure 15) is implemented by the modem controller 1303 performing SPRT operations on the output signal of the scalar

correlator array. The SPRT technique is the same as that for the acquisition algorithms, except that the constants are changed to increase the probability of detection of lock.

Carrier tracking is accomplished via a second order loop that operates on the pilot output values of the scalar correlated array. The phase detector output is the  
5 hard limited version of the quadrature component of the product of the (complex valued) pilot output signal of the scalar correlated array and the VCO output signal. The loop filter is a proportional plus integral design. The VCO is a pure summation, accumulated phase error  $f$ , which is converted to the complex phasor  $\cos f + j \sin f$  using a look-up table in memory.

10 The previous description of acquisition and tracking algorithm focuses on a non-coherent method because the acquisition and tracking algorithm described uses non-coherent acquisition following by non-coherent tracking. This is done because, during acquisition, a coherent reference is not available until the AMF, Pilot AVC, Aux AVC, and DPLL are in an equilibrium state. It is, however, known in the art that coherent  
15 tracking and combining is preferred because in non-coherent tracking and combining the output phase information of each Pilot AVC finger is lost. Consequently, another embodiment of the invention employs a two step acquisition and tracking system, in which the previously described non-coherent acquisition and tracking algorithm is implemented first, and then the system switches to a coherent tracking method. The  
20 coherent combining and tracking method is similar to that described previously, except that the error signal tracked is of the form:

$$\epsilon = y^T A y \quad (40)$$

where  $y$  is defined as a column vector which represents the 11 complex output level values of the Pilot AVC 1711, and  $A$  denotes an 11 x 11 symmetric real valued  
25 coefficient matrix with pre-determined values to optimize performance with the coherent Pilot AVC outputs  $y$ . An exemplary  $A$  matrix is shown below.

- 59 -

$$\begin{array}{rcl}
 & & 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 5 & A = & 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -1 \ 0 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -1 \ 0 \ 0 \ 0 \\
 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -1 \ 0 \ 0 \\
 10 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -1 \ 0 \\
 & & 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -1
 \end{array}
 \tag{41}$$

Although the invention has been described in terms of multiple exemplary  
embodiments, it is understood by those skilled in the art that the invention may be  
practiced with modifications to the embodiments which are within the scope of the  
15 invention defined by the following claims.

0	67	134	201	268	335	402	469	536	603	670	737
1	68	135	202	269	336	403	470	537	604	671	738
2	69	136	203	270	337	404	471	538	605	672	739
3	70	137	204	271	338	405	472	539	606	673	740
4	71	138	205	272	339	406	473	540	607	674	741
5	72	139	206	273	340	407	474	541	608	675	742
6	73	140	207	274	341	408	475	542	609	676	743
7	74	141	208	275	342	409	476	543	610	677	744
8	75	142	209	276	343	410	477	544	611	678	745
9	76	143	210	277	344	411	478	545	612	679	746
10	77	144	211	278	345	412	479	546	613	680	747
11	78	145	212	279	346	413	480	547	614	681	748
12	79	146	213	280	347	414	481	548	615	682	749
13	80	147	214	281	348	415	482	549	616	683	750
14	81	148	215	282	349	416	483	550	617	684	751
15	82	149	216	283	350	417	484	551	618	685	752
16	83	150	217	284	351	418	485	552	619	686	753
17	84	151	218	285	352	419	486	553	620	687	754
18	85	152	219	286	353	420	487	554	621	688	755
19	86	153	220	287	354	421	488	555	622	689	756
20	87	154	221	288	355	422	489	556	623	690	757
21	88	155	222	289	356	423	490	557	624	691	758
22	89	156	223	290	357	424	491	558	625	692	759
23	90	157	224	291	358	425	492	559	626	693	760
24	91	158	225	292	359	426	493	560	627	694	761
25	92	159	226	293	360	427	494	561	628	695	762
26	93	160	227	294	361	428	495	562	629	696	763
27	94	161	228	295	362	429	496	563	630	697	764
28	95	162	229	296	363	430	497	564	631	698	765
29	96	163	230	297	364	431	498	565	632	699	766
30	97	164	231	298	365	432	499	566	633	700	767
31	98	165	232	299	366	433	500	567	634	701	768
32	99	166	233	300	367	434	501	568	635	702	769
33	100	167	234	301	368	435	502	569	636	703	770
34	101	168	235	302	369	436	503	570	637	704	771
35	102	169	236	303	370	437	504	571	638	705	772
36	103	170	237	304	371	438	505	572	639	706	773
37	104	171	238	305	372	439	506	573	640	707	774
38	105	172	239	306	373	440	507	574	641	708	775
39	106	173	240	307	374	441	508	575	642	709	776
40	107	174	241	308	375	442	509	576	643	710	777
41	108	175	242	309	376	443	510	577	644	711	778
42	109	176	243	310	377	444	511	578	645	712	779
43	110	177	244	311	378	445	512	579	646	713	780
44	111	178	245	312	379	446	513	580	647	714	781
45	112	179	246	313	380	447	514	581	648	715	782
46	113	180	247	314	381	448	515	582	649	716	783
47	114	181	248	315	382	449	516	583	650	717	784
48	115	182	249	316	383	450	517	584	651	718	785
49	116	183	250	317	384	451	518	585	652	719	786
50	117	184	251	318	385	452	519	586	653	720	787
51	118	185	252	319	386	453	520	587	654	721	788
52	119	186	253	320	387	454	521	588	655	722	789
53	120	187	254	321	388	455	522	589	656	723	790
54	121	188	255	322	389	456	523	590	657	724	791
55	122	189	256	323	390	457	524	591	658	725	792
56	123	190	257	324	391	458	525	592	659	726	793
57	124	191	258	325	392	459	526	593	660	727	794
58	125	192	259	326	393	460	527	594	661	728	795
59	126	193	260	327	394	461	528	595	662	729	796
60	127	194	261	328	395	462	529	596	663	730	797
61	128	195	262	329	396	463	530	597	664	731	798
62	129	196	263	330	397	464	531	598	665	732	799
63	130	197	264	331	398	465	532	599	666	733	800
64	131	198	265	332	399	466	533	600	667	734	801
65	132	199	266	333	400	467	534	601	668	735	802
66	133	200	267	334	401	468	535	602	669	736	803

804	871	938	1005	1072	2241	2308	2375	2442	2509	2576	2643
805	872	939	1006	1073	2242	2309	2376	2443	2510	2577	2644
806	873	940	1007	1074	2243	2310	2377	2444	2511	2578	2645
807	874	941	1008	1075	2244	2311	2378	2445	2512	2579	2646
808	875	942	1009	1076	2245	2312	2379	2446	2513	2580	2647
809	876	943	1010	1077	2246	2313	2380	2447	2514	2581	2648
810	877	944	1011	1078	2247	2314	2381	2448	2515	2582	2649
811	878	945	1012	1079	2248	2315	2382	2449	2516	2583	2650
812	879	946	1013	1080	2249	2316	2383	2450	2517	2584	2651
813	880	947	1014	1081	2250	2317	2384	2451	2518	2585	2652
814	881	948	1015	1082	2251	2318	2385	2452	2519	2586	2653
815	882	949	1016	1083	2252	2319	2386	2453	2520	2587	2654
816	883	950	1017	1084	2253	2320	2387	2454	2521	2588	2655
817	884	951	1018	1085	2254	2321	2388	2455	2522	2589	2656
818	885	952	1019	1086	2255	2322	2389	2456	2523	2590	2657
819	886	953	1020	1087	2256	2323	2390	2457	2524	2591	2658
820	887	954	1021	1088	2257	2324	2391	2458	2525	2592	2659
821	888	955	1022	1089	2258	2325	2392	2459	2526	2593	2660
822	889	956	1023	1090	2259	2326	2393	2460	2527	2594	2661
823	890	957	1024	1091	2260	2327	2394	2461	2528	2595	2662
824	891	958	1025	1092	2261	2328	2395	2462	2529	2596	2663
825	892	959	1026	1093	2262	2329	2396	2463	2530	2597	2664
826	893	960	1027	1094	2263	2330	2397	2464	2531	2598	2665
827	894	961	1028	1095	2264	2331	2398	2465	2532	2599	2666
828	895	962	1029	1096	2265	2332	2399	2466	2533	2600	2667
829	896	963	1030	1097	2266	2333	2400	2467	2534	2601	2668
830	897	964	1031	1098	2267	2334	2401	2468	2535	2602	2669
831	898	965	1032	1099	2268	2335	2402	2469	2536	2603	2670
832	899	966	1033	1100	2269	2336	2403	2470	2537	2604	2671
833	900	967	1034	1101	2270	2337	2404	2471	2538	2605	2672
834	901	968	1035	2204	2271	2338	2405	2472	2539	2606	2673
835	902	969	1036	2205	2272	2339	2406	2473	2540	2607	2674
836	903	970	1037	2206	2273	2340	2407	2474	2541	2608	2675
837	904	971	1038	2207	2274	2341	2408	2475	2542	2609	2676
838	905	972	1039	2208	2275	2342	2409	2476	2543	2610	2677
839	906	973	1040	2209	2276	2343	2410	2477	2544	2611	2678
840	907	974	1041	2210	2277	2344	2411	2478	2545	2612	2679
841	908	975	1042	2211	2278	2345	2412	2479	2546	2613	2680
842	909	976	1043	2212	2279	2346	2413	2480	2547	2614	2681
843	910	977	1044	2213	2280	2347	2414	2481	2548	2615	2682
844	911	978	1045	2214	2281	2348	2415	2482	2549	2616	2683
845	912	979	1046	2215	2282	2349	2416	2483	2550	2617	2684
846	913	980	1047	2216	2283	2350	2417	2484	2551	2618	2685
847	914	981	1048	2217	2284	2351	2418	2485	2552	2619	2686
848	915	982	1049	2218	2285	2352	2419	2486	2553	2620	2687
849	916	983	1050	2219	2286	2353	2420	2487	2554	2621	2688
850	917	984	1051	2220	2287	2354	2421	2488	2555	2622	2689
851	918	985	1052	2221	2288	2355	2422	2489	2556	2623	2690
852	919	986	1053	2222	2289	2356	2423	2490	2557	2624	2691
853	920	987	1054	2223	2290	2357	2424	2491	2558	2625	2692
854	921	988	1055	2224	2291	2358	2425	2492	2559	2626	2693
855	922	989	1056	2225	2292	2359	2426	2493	2560	2627	2694
856	923	990	1057	2226	2293	2360	2427	2494	2561	2628	2695
857	924	991	1058	2227	2294	2361	2428	2495	2562	2629	2696
858	925	992	1059	2228	2295	2362	2429	2496	2563	2630	2697
859	926	993	1060	2229	2296	2363	2430	2497	2564	2631	2698
860	927	994	1061	2230	2297	2364	2431	2498	2565	2632	2699
861	928	995	1062	2231	2298	2365	2432	2499	2566	2633	2700
862	929	996	1063	2232	2299	2366	2433	2500	2567	2634	2701
863	930	997	1064	2233	2300	2367	2434	2501	2568	2635	2702
864	931	998	1065	2234	2301	2368	2435	2502	2569	2636	2703
865	932	999	1066	2235	2302	2369	2436	2503	2570	2637	2704
866	933	1000	1067	2236	2303	2370	2437	2504	2571	2638	2705
867	934	1001	1068	2237	2304	2371	2438	2505	2572	2639	2706
868	935	1002	1069	2238	2305	2372	2439	2506	2573	2640	2707
869	936	1003	1070	2239	2306	2373	2440	2507	2574	2641	2708
870	937	1004	1071	2240	2307	2374	2441	2508	2575	2642	2709

2710	2777	2844	2911	2978	3045	3112	3179	3246	4415	4482	4549
2711	2778	2845	2912	2979	3046	3113	3180	3247	4416	4483	4550
2712	2779	2846	2913	2980	3047	3114	3181	3248	4417	4484	4551
2713	2780	2847	2914	2981	3048	3115	3182	3249	4418	4485	4552
2714	2781	2848	2915	2982	3049	3116	3183	3250	4419	4486	4553
2715	2782	2849	2916	2983	3050	3117	3184	3251	4420	4487	4554
2716	2783	2850	2917	2984	3051	3118	3185	3252	4421	4488	4555
2717	2784	2851	2918	2985	3052	3119	3186	3253	4422	4489	4556
2718	2785	2852	2919	2986	3053	3120	3187	3254	4423	4490	4557
2719	2786	2853	2920	2987	3054	3121	3188	3255	4424	4491	4558
2720	2787	2854	2921	2988	3055	3122	3189	3256	4425	4492	4559
2721	2788	2855	2922	2989	3056	3123	3190	3257	4426	4493	4560
2722	2789	2856	2923	2990	3057	3124	3191	3258	4427	4494	4561
2723	2790	2857	2924	2991	3058	3125	3192	3259	4428	4495	4562
2724	2791	2858	2925	2992	3059	3126	3193	3260	4429	4496	4563
2725	2792	2859	2926	2993	3060	3127	3194	3261	4430	4497	4564
2726	2793	2860	2927	2994	3061	3128	3195	3262	4431	4498	4565
2727	2794	2861	2928	2995	3062	3129	3196	3263	4432	4499	4566
2728	2795	2862	2929	2996	3063	3130	3197	3264	4433	4500	4567
2729	2796	2863	2930	2997	3064	3131	3198	3265	4434	4501	4568
2730	2797	2864	2931	2998	3065	3132	3199	3266	4435	4502	4569
2731	2798	2865	2932	2999	3066	3133	3200	3267	4436	4503	4570
2732	2799	2866	2933	3000	3067	3134	3201	3268	4437	4504	4571
2733	2800	2867	2934	3001	3068	3135	3202	3269	4438	4505	4572
2734	2801	2868	2935	3002	3069	3136	3203	3270	4439	4506	4573
2735	2802	2869	2936	3003	3070	3137	3204	3271	4440	4507	4574
2736	2803	2870	2937	3004	3071	3138	3205	3272	4441	4508	4575
2737	2804	2871	2938	3005	3072	3139	3206	3273	4442	4509	4576
2738	2805	2872	2939	3006	3073	3140	3207	3274	4443	4510	4577
2739	2806	2873	2940	3007	3074	3141	3208	3275	4444	4511	4578
2740	2807	2874	2941	3008	3075	3142	3209	3276	4445	4512	4579
2741	2808	2875	2942	3009	3076	3143	3210	3277	4446	4513	4580
2742	2809	2876	2943	3010	3077	3144	3211	3278	4447	4514	4581
2743	2810	2877	2944	3011	3078	3145	3212	3279	4448	4515	4582
2744	2811	2878	2945	3012	3079	3146	3213	3280	4449	4516	4583
2745	2812	2879	2946	3013	3080	3147	3214	3281	4450	4517	4584
2746	2813	2880	2947	3014	3081	3148	3215	3282	4451	4518	4585
2747	2814	2881	2948	3015	3082	3149	3216	3283	4452	4519	4586
2748	2815	2882	2949	3016	3083	3150	3217	3284	4453	4520	4587
2749	2816	2883	2950	3017	3084	3151	3218	3285	4454	4521	4588
2750	2817	2884	2951	3018	3085	3152	3219	3286	4455	4522	4589
2751	2818	2885	2952	3019	3086	3153	3220	3287	4456	4523	4590
2752	2819	2886	2953	3020	3087	3154	3221	3288	4457	4524	4591
2753	2820	2887	2954	3021	3088	3155	3222	3289	4458	4525	4592
2754	2821	2888	2955	3022	3089	3156	3223	3290	4459	4526	4593
2755	2822	2889	2956	3023	3090	3157	3224	3291	4460	4527	4594
2756	2823	2890	2957	3024	3091	3158	3225	3292	4461	4528	4595
2757	2824	2891	2958	3025	3092	3159	3226	3293	4462	4529	4596
2758	2825	2892	2959	3026	3093	3160	3227	3294	4463	4530	4597
2759	2826	2893	2960	3027	3094	3161	3228	3295	4464	4531	4598
2760	2827	2894	2961	3028	3095	3162	3229	3296	4465	4532	4599
2761	2828	2895	2962	3029	3096	3163	3230	3297	4466	4533	4600
2762	2829	2896	2963	3030	3097	3164	3231	3298	4467	4534	4601
2763	2830	2897	2964	3031	3098	3165	3232	3299	4468	4535	4602
2764	2831	2898	2965	3032	3099	3166	3233	3300	4469	4536	4603
2765	2832	2899	2966	3033	3100	3167	3234	3301	4470	4537	4604
2766	2833	2900	2967	3034	3101	3168	3235	3302	4471	4538	4605
2767	2834	2901	2968	3035	3102	3169	3236	3303	4472	4539	4606
2768	2835	2902	2969	3036	3103	3170	3237	3304	4473	4540	4607
2769	2836	2903	2970	3037	3104	3171	3238	3305	4474	4541	4608
2770	2837	2904	2971	3038	3105	3172	3239	4408	4475	4542	4609
2771	2838	2905	2972	3039	3106	3173	3240	4409	4476	4543	4610
2772	2839	2906	2973	3040	3107	3174	3241	4410	4477	4544	4611
2773	2840	2907	2974	3041	3108	3175	3242	4411	4478	4545	4612
2774	2841	2908	2975	3042	3109	3176	3243	4412	4479	4546	4613
2775	2842	2909	2976	3043	3110	3177	3244	4413	4480	4547	4614
2776	2843	2910	2977	3044	3111	3178	3245	4414	4481	4548	4615



4616	4683	4750	4817	4884	4951	5018	5085	5152	5219	5286	5353
4617	4684	4751	4818	4885	4952	5019	5086	5153	5220	5287	5354
4618	4685	4752	4819	4886	4953	5020	5087	5154	5221	5288	5355
4619	4686	4753	4820	4887	4954	5021	5088	5155	5222	5289	5356
4620	4687	4754	4821	4888	4955	5022	5089	5156	5223	5290	5357
4621	4688	4755	4822	4889	4956	5023	5090	5157	5224	5291	5358
4622	4689	4756	4823	4890	4957	5024	5091	5158	5225	5292	5359
4623	4690	4757	4824	4891	4958	5025	5092	5159	5226	5293	5360
4624	4691	4758	4825	4892	4959	5026	5093	5160	5227	5294	5361
4625	4692	4759	4826	4893	4960	5027	5094	5161	5228	5295	5362
4626	4693	4760	4827	4894	4961	5028	5095	5162	5229	5296	5363
4627	4694	4761	4828	4895	4962	5029	5096	5163	5230	5297	5364
4628	4695	4762	4829	4896	4963	5030	5097	5164	5231	5298	5365
4629	4696	4763	4830	4897	4964	5031	5098	5165	5232	5299	5366
4630	4697	4764	4831	4898	4965	5032	5099	5166	5233	5300	5367
4631	4698	4765	4832	4899	4966	5033	5100	5167	5234	5301	5368
4632	4699	4766	4833	4900	4967	5034	5101	5168	5235	5302	5369
4633	4700	4767	4834	4901	4968	5035	5102	5169	5236	5303	5370
4634	4701	4768	4835	4902	4969	5036	5103	5170	5237	5304	5371
4635	4702	4769	4836	4903	4970	5037	5104	5171	5238	5305	5372
4636	4703	4770	4837	4904	4971	5038	5105	5172	5239	5306	5373
4637	4704	4771	4838	4905	4972	5039	5106	5173	5240	5307	5374
4638	4705	4772	4839	4906	4973	5040	5107	5174	5241	5308	5375
4639	4706	4773	4840	4907	4974	5041	5108	5175	5242	5309	5376
4640	4707	4774	4841	4908	4975	5042	5109	5176	5243	5310	5377
4641	4708	4775	4842	4909	4976	5043	5110	5177	5244	5311	5378
4642	4709	4776	4843	4910	4977	5044	5111	5178	5245	5312	5379
4643	4710	4777	4844	4911	4978	5045	5112	5179	5246	5313	5380
4644	4711	4778	4845	4912	4979	5046	5113	5180	5247	5314	5381
4645	4712	4779	4846	4913	4980	5047	5114	5181	5248	5315	5382
4646	4713	4780	4847	4914	4981	5048	5115	5182	5249	5316	5383
4647	4714	4781	4848	4915	4982	5049	5116	5183	5250	5317	5384
4648	4715	4782	4849	4916	4983	5050	5117	5184	5251	5318	5385
4649	4716	4783	4850	4917	4984	5051	5118	5185	5252	5319	5386
4650	4717	4784	4851	4918	4985	5052	5119	5186	5253	5320	5387
4651	4718	4785	4852	4919	4986	5053	5120	5187	5254	5321	5388
4652	4719	4786	4853	4920	4987	5054	5121	5188	5255	5322	5389
4653	4720	4787	4854	4921	4988	5055	5122	5189	5256	5323	5390
4654	4721	4788	4855	4922	4989	5056	5123	5190	5257	5324	5391
4655	4722	4789	4856	4923	4990	5057	5124	5191	5258	5325	5392
4656	4723	4790	4857	4924	4991	5058	5125	5192	5259	5326	5393
4657	4724	4791	4858	4925	4992	5059	5126	5193	5260	5327	5394
4658	4725	4792	4859	4926	4993	5060	5127	5194	5261	5328	5395
4659	4726	4793	4860	4927	4994	5061	5128	5195	5262	5329	5396
4660	4727	4794	4861	4928	4995	5062	5129	5196	5263	5330	5397
4661	4728	4795	4862	4929	4996	5063	5130	5197	5264	5331	5398
4662	4729	4796	4863	4930	4997	5064	5131	5198	5265	5332	5399
4663	4730	4797	4864	4931	4998	5065	5132	5199	5266	5333	5400
4664	4731	4798	4865	4932	4999	5066	5133	5200	5267	5334	5401
4665	4732	4799	4866	4933	5000	5067	5134	5201	5268	5335	5402
4666	4733	4800	4867	4934	5001	5068	5135	5202	5269	5336	5403
4667	4734	4801	4868	4935	5002	5069	5136	5203	5270	5337	5404
4668	4735	4802	4869	4936	5003	5070	5137	5204	5271	5338	5405
4669	4736	4803	4870	4937	5004	5071	5138	5205	5272	5339	5406
4670	4737	4804	4871	4938	5005	5072	5139	5206	5273	5340	5407
4671	4738	4805	4872	4939	5006	5073	5140	5207	5274	5341	5408
4672	4739	4806	4873	4940	5007	5074	5141	5208	5275	5342	5409
4673	4740	4807	4874	4941	5008	5075	5142	5209	5276	5343	5410
4674	4741	4808	4875	4942	5009	5076	5143	5210	5277	5344	5411
4675	4742	4809	4876	4943	5010	5077	5144	5211	5278	5345	5412
4676	4743	4810	4877	4944	5011	5078	5145	5212	5279	5346	5413
4677	4744	4811	4878	4945	5012	5079	5146	5213	5280	5347	5414
4678	4745	4812	4879	4946	5013	5080	5147	5214	5281	5348	5415
4679	4746	4813	4880	4947	5014	5081	5148	5215	5282	5349	5416
4680	4747	4814	4881	4948	5015	5082	5149	5216	5283	5350	5417
4681	4748	4815	4882	4949	5016	5083	5150	5217	5284	5351	5418
4682	4749	4816	4883	4950	5017	5084	5151	5218	5285	5352	5419

5420	5487	6656	6723	6790	6857	6924	6991	7058	7125
5421	5488	6657	6724	6791	6858	6925	6992	7059	7126
5422	5489	6658	6725	6792	6859	6926	6993	7060	7127
5423	5490	6659	6726	6793	6860	6927	6994	7061	7128
5424	5491	6660	6727	6794	6861	6928	6995	7062	7129
5425	5492	6661	6728	6795	6862	6929	6996	7063	7130
5426	5493	6662	6729	6796	6863	6930	6997	7064	7131
5427	5494	6663	6730	6797	6864	6931	6998	7065	7132
5428	5495	6664	6731	6798	6865	6932	6999	7066	7133
5429	5496	6665	6732	6799	6866	6933	7000	7067	7134
5430	5497	6666	6733	6800	6867	6934	7001	7068	7135
5431	5498	6667	6734	6801	6868	6935	7002	7069	7136
5432	5499	6668	6735	6802	6869	6936	7003	7070	7137
5433	5500	6669	6736	6803	6870	6937	7004	7071	7138
5434	5501	6670	6737	6804	6871	6938	7005	7072	7139
5435	5502	6671	6738	6805	6872	6939	7006	7073	7140
5436	5503	6672	6739	6806	6873	6940	7007	7074	7141
5437	5504	6673	6740	6807	6874	6941	7008	7075	7142
5438	5505	6674	6741	6808	6875	6942	7009	7076	7143
5439	5506	6675	6742	6809	6876	6943	7010	7077	7144
5440	5507	6676	6743	6810	6877	6944	7011	7078	7145
5441	5508	6677	6744	6811	6878	6945	7012	7079	7146
5442	5509	6678	6745	6812	6879	6946	7013	7080	7147
5443	6612	6679	6746	6813	6880	6947	7014	7081	7148
5444	6613	6680	6747	6814	6881	6948	7015	7082	7149
5445	6614	6681	6748	6815	6882	6949	7016	7083	7150
5446	6615	6682	6749	6816	6883	6950	7017	7084	7151
5447	6616	6683	6750	6817	6884	6951	7018	7085	7152
5448	6617	6684	6751	6818	6885	6952	7019	7086	7153
5449	6618	6685	6752	6819	6886	6953	7020	7087	7154
5450	6619	6686	6753	6820	6887	6954	7021	7088	7155
5451	6620	6687	6754	6821	6888	6955	7022	7089	7156
5452	6621	6688	6755	6822	6889	6956	7023	7090	7157
5453	6622	6689	6756	6823	6890	6957	7024	7091	7158
5454	6623	6690	6757	6824	6891	6958	7025	7092	7159
5455	6624	6691	6758	6825	6892	6959	7026	7093	7160
5456	6625	6692	6759	6826	6893	6960	7027	7094	7161
5457	6626	6693	6760	6827	6894	6961	7028	7095	7162
5458	6627	6694	6761	6828	6895	6962	7029	7096	7163
5459	6628	6695	6762	6829	6896	6963	7030	7097	7164
5460	6629	6696	6763	6830	6897	6964	7031	7098	7165
5461	6630	6697	6764	6831	6898	6965	7032	7099	7166
5462	6631	6698	6765	6832	6899	6966	7033	7100	7167
5463	6632	6699	6766	6833	6900	6967	7034	7101	7168
5464	6633	6700	6767	6834	6901	6968	7035	7102	7169
5465	6634	6701	6768	6835	6902	6969	7036	7103	7170
5466	6635	6702	6769	6836	6903	6970	7037	7104	7171
5467	6636	6703	6770	6837	6904	6971	7038	7105	7172
5468	6637	6704	6771	6838	6905	6972	7039	7106	7173
5469	6638	6705	6772	6839	6906	6973	7040	7107	7174
5470	6639	6706	6773	6840	6907	6974	7041	7108	7175
5471	6640	6707	6774	6841	6908	6975	7042	7109	7176
5472	6641	6708	6775	6842	6909	6976	7043	7110	7177
5473	6642	6709	6776	6843	6910	6977	7044	7111	7178
5474	6643	6710	6777	6844	6911	6978	7045	7112	7179
5475	6644	6711	6778	6845	6912	6979	7046	7113	7180
5476	6645	6712	6779	6846	6913	6980	7047	7114	7181
5477	6646	6713	6780	6847	6914	6981	7048	7115	7182
5478	6647	6714	6781	6848	6915	6982	7049	7116	7183
5479	6648	6715	6782	6849	6916	6983	7050	7117	7184
5480	6649	6716	6783	6850	6917	6984	7051	7118	
5481	6650	6717	6784	6851	6918	6985	7052	7119	
5482	6651	6718	6785	6852	6919	6986	7053	7120	
5483	6652	6719	6786	6853	6920	6987	7054	7121	
5484	6653	6720	6787	6854	6921	6988	7055	7122	
5485	6654	6721	6788	6855	6922	6989	7056	7123	
5486	6655	6722	6789	6856	6923	6990	7057	7124	

The Invention Claimed Is:

1           1. A Code Division Multiple Access (CDMA) modem for transmitting and  
2 receiving telecommunication signals including information signals and connection control  
3 signals, the modem comprising

4           a modem transmitter having:

5                 a) code generation means comprising a generic pilot code means for  
6 providing an associated pilot code signal and a message means for  
7 generating a plurality of message code signals;

8                 b) spreading means coupled to the message means for combining  
9 each of the information signals, with a respective one of the plurality of  
10 message code signals to generate a plurality of spread-spectrum processed  
11 message signals comprising a transmit Code Division Multiplex (CDM)  
12 signal, and the associated pilot signal wherein each of the plurality of  
13 message code signals of the plurality of modem processing means is  
14 synchronous with the global pilot code signal; and

15          a modem receiver means having

16                 a) local code generation means comprising a local associated pilot  
17 code means for providing a local associated pilot code signal and a local  
18 message code means for generating a plurality of local message code  
19 signals, the local associated pilot code means being synchronous with the  
20 local message code means;

21                 b) an associated pilot code acquisition and tracking means  
22 comprising a plurality of associated pilot code-phase delayed correlation  
23 means for correlating respective phase-delayed versions of the local  
24 associated pilot code signal with a received CDM signal to produce a  
25 despread associated pilot signal, the code phase of the associated pilot code  
26 signal being changed responsive to an acquisition signal; and means for  
27 detecting the presence of the despread associated pilot signal to produce an  
28 acquisition signal, the acquisition signal indicating a degree of

29           synchronization between the local associated pilot code signal and the  
30           global pilot code signal;

31                   c) an associated pilot code tracking means including means for  
32           adjusting the local associated pilot code signal in phase responsive to the  
33           acquisition signal in a sense which tends to increase the level of the  
34           despread associated pilot signal; and

35                   d) a plurality of message signal acquisition means for providing a  
36           plurality of despread receive message signals, each message signal  
37           acquisition means including a receive message signal correlator for  
38           correlating one of the local receive message code signals with the CDM  
39           signal to produce a respective despread receive message signal.

1           2. The CDMA modem means of claim 1, wherein the modem transmitter means  
2           further comprises:

3                   spreading means coupled to the message means for combining each of the  
4           information signals and the call control signals, including user identification signals, with  
5           a respective one of the plurality of message code signals to generate a plurality of spread-  
6           spectrum processed message signals.

1           3. The CDMA modem means of claim 1, wherein the modem receiver means  
2           further comprises:

3                   a) the pilot code acquisition and tracking means comprising

4                           A) associated pilot code generation means for providing the  
5           local associated pilot signal;

6                           B) a pilot vector correlator including a plurality of associated  
7           pilot code-phase delayed correlation means for correlating the local  
8           associated pilot signal with the receive CDM signal to produce a plurality  
9           of despread multipath pilot signals, and for providing a multipath weight  
10          signal corresponding to a respective multipath carrier of a respective one of  
11          the despread multipath pilot signals;

12 C) a pilot adaptive matched filter including a plurality of  
13 signal weighting means for scaling, in magnitude, and rotating the  
14 respective despread multipath pilot signals and each responsive to the  
15 respective multipath weighting signal, the plurality of signal weighting  
16 means providing a plurality of weighed despread multipath pilot signals,  
17 and a summing means for summing the plurality of weighted despread  
18 multipath pilot signals to form a despread associated pilot signal;

19 D) means coupled to the pilot vector correlator for detecting  
20 the despread associated pilot signal and for producing an acquisition signal,  
21 the acquisition signal having a magnitude which is proportional to the  
22 despread associated pilot code signal in signal energy, the magnitude of the  
23 acquisition signal indicating a degree of synchronization between the  
24 associated pilot code signal and the local global pilot signal; and

25 E) associated pilot code tracking means including means for  
26 adjusting the associated pilot code signal in code phase, responsive to the  
27 acquisition signal in a sense which tends increase in signal energy the  
28 despread associated pilot signal;

29 b) a plurality of message signal acquisition means for providing a plurality of  
30 despread receive message signals, each including

31 A) a plurality of receive message signal correlators for  
32 correlating one of the receive message code signals with the CDM signal to  
33 produce a plurality of despread multipath receive message signals  
34 respectively;

35 B) a message adaptive matched filter including a plurality of  
36 signal weighting means for aligning the respective despread multipath  
37 receive message signals in carrier phase responsive to a respectively  
38 different one of the multipath weighting signals, the plurality of signal  
39 weighting means providing a plurality of weighted despread multipath  
40 receive message signals, and a summing means for summing the plurality

41 of weighted despread multipath receive message signals to form a despread  
42 receive message signal;

43 c) the plurality of despread receive message signals include at least one despread  
44 information signal and a plurality of call control signals.

1 4. The CDMA modem means of claim 3 wherein the plurality of call control  
2 signals include the user identification signal which identifies the user for the respective  
3 despread information signal and a message type signal which indicates a type and an  
4 information rate for the despread information signal.

1 5. A method for tracking a centroid of a plurality of multipath spread-spectrum  
2 signals, said plurality of multipath spread-spectrum signals constituting a spread-spectrum  
3 channel signal including a transmitted code sequence, the method comprising the steps of:

4 digitally sampling the spread-spectrum channel signal responsive to a clock signal  
5 to produce a sequence of sample values including a set of even numbered sample values  
6 and a set odd-numbered sample values; wherein said the set of even-numbered sample  
7 values define a sequence of early spread-spectrum channel signal samples and said set of  
8 odd numbered sample values define a sequence of late spread-spectrum channel signal  
9 samples;

10 generating a plurality of local code sequences, each of said plurality of local code  
11 sequences having a code phase and code symbol period, and each being a code phase-  
12 shifted version of the transmitted code sequence;

13 combining each of said plurality of local code sequences with the sequence of  
14 early received spread-spectrum channel signal samples to produce a plurality of early  
15 despread multipath signals, and combining each of said plurality of local code sequences  
16 with the sequence of late received spread-spectrum channel signal samples to produce a  
17 plurality of late despread multipath signals;

18 processing the plurality of early despread multipath signals to produce an early  
19 tracking value, and processing the plurality of late despread multipath signals to produce a  
20 late tracking value

21 determining a difference between the early tracking value and the late tracking  
22 value to produce an error signal value; and

23 adjusting the code phase of each of said plurality of local code sequences  
24 responsive to the error signal value.

1 6. The method of claim 5, wherein the steps of processing the plurality of early  
2 despread multipath signals and processing the plurality of late despread multipath signals  
3 comprise the steps of:

4 accumulating said plurality of early despread multipath signals to produce a  
5 respective plurality of early signal samples, and accumulating said plurality of late  
6 despread multipath signals to produce a respective plurality of late signal samples; and

7 summing ones of the early signal samples to produce said early tracking value, and  
8 summing ones of the late signal samples to produce said late tracking value.

1 7. The method of claim 6, wherein, prior to the step of summing, the method  
2 includes the step of weighting each of said early signal samples and each of said late  
3 signal samples with a respective predetermined weighting value.

1 8. The method of claim 5, wherein the steps of adjusting the code phase of each  
2 of said plurality of local code sequences includes the step of increasing each code phase  
3 by a first predetermined number of code symbol periods responsive to the error signal  
4 value being negative, and decreasing each code phase by a second predetermined number  
5 of code symbol periods responsive to the error signal value being positive.

1 9. The method of claim 5, wherein the step of adjusting the code phase of each of  
2 said plurality of local code sequences includes the steps of increasing each code phase by  
3 a first predetermined number of code symbol periods responsive to the error signal value  
4 being positive, and decreasing each code phase by a second predetermined number of  
5 code symbol periods responsive to the error signal value being negative.

1 10. Apparatus for tracking a centroid of a plurality of multipath spread-spectrum  
2 signals, said plurality of multipath spread-spectrum signals constituting a spread-spectrum  
3 channel signal including a transmitted code sequence, the apparatus comprising:

an analog-to-digital converter, responsive to a clock signal and the spread-spectrum channel signal, to produce a sequence of sample values including a set of even numbered sample values and a set odd-numbered sample values; wherein said the set of even-numbered sample values define a sequence of early spread-spectrum channel signal samples and said set of odd sample number values define a sequence of late spread-spectrum channel signal samples;

code sequence generating means for generating a plurality of local code sequences, each of said plurality of local code sequences having a code phase and code symbol period, and each being a code phase-shifted version of the transmitted code sequence;

means for combining each of said plurality of local code sequences with the sequence of early received spread-spectrum channel signal samples to produce a plurality of early despread multipath signals, and for combining each of said plurality of local code sequences with the sequence of late received spread-spectrum channel signal samples to produce a plurality of late despread multipath signals;

means for processing the plurality of early despread multipath signals to produce an early tracking value, and for processing the plurality of late despread multipath signals to produce a late tracking value

a subtracter which determines the difference between the early tracking value and the late tracking value to produce an error signal value; and

means, coupled to the code phase generating means, for adjusting the code phase of each of said plurality of local code sequences responsive to the error signal value.

11. The apparatus of claim 10, wherein the means for processing the plurality of early despread multipath signals and for processing the plurality of late despread multipath signals comprise:

a first plurality of accumulators which accumulate said plurality of early despread multipath signals to produce a respective plurality of early signal samples, and a second plurality of accumulators which accumulate said plurality of late despread multipath signals to produce a respective plurality of late signal samples; and



8           a first summing network for summing ones of the early signal samples to produce  
9           said early tracking value, and a second summing network for summing ones of the late  
10          signal samples to produce said late tracking value.

1           12. The apparatus of claim 11, further including a plurality of signal scalers  
2           which multiply each of said early signal samples and each of said late signal samples by a  
3           respective predetermined weighting value and which apply the weighted odd and even  
4           signal samples to the respective first and second summing networks.

1           13. An adaptive matched filter (AMF) apparatus for collecting signal power of a  
2           spread data channel in a spread-spectrum communication system from a spread signal  
3           having a plurality of multipath signal components, each of said multipath signal  
4           components having a carrier phase, wherein said spread signal includes a spread pilot  
5           channel employing a first predetermined spreading code sequence and a spread data  
6           channel employing a second predetermined spreading code sequence, said spread pilot  
7           channel is unmodulated and said spread data channel is data-modulated; the AMF  
8           apparatus comprising:

9           pilot vector correlator means, coupled to receive the spread signal, for providing a  
10          plurality of multipath signal weighting values determined from the spread pilot channel,  
11          each multipath signal weighting value corresponding to a respective multipath signal  
12          carrier of the respective received multipath signal component;

13          local code sequence generator means for generating a plurality of local code  
14          sequences, each of the local code sequences being a code phase-shifted version of the  
15          predetermined spreading code sequence;

16          data AMF means, coupled to receive the spread signal, for providing a data value  
17          determined from the spread data channel, the data AMF means comprising:

18               a) a plurality of spreading code correlators, each spreading code  
19               correlator correlating a respective one of the local code sequences with the  
20               received spread signal to produce a respective despread multipath data  
21               signal component having a carrier phase value;

22                   b) weighting means for scaling the data value and for aligning the  
23                   carrier phase value of the despread multipath data responsive to the  
24                   respective multipath weighting value; and

25                   c) first combining means for combining each one of the scaled and  
26                   aligned data signal components to produce the data value.

1                   14. The data AMF apparatus of claim 13, wherein:

2                   a) each of the plurality of spreading code correlators further includes  
3                   multiplication means for multiplying the spread signal with a respective one of the local  
4                   code sequences to produce a correlated signal value and accumulation means for  
5                   accumulating the correlated signal value for a predetermined period to produce a despread  
6                   multipath data signal component having a carrier phase which corresponds to the carrier  
7                   signal phase of the respective received multipath signal component; and

8                   b) the aligning means comprises a plurality of multipliers, each multiplier  
9                   multiplying a respective one of the despread multipath data signal components with a  
10                   respective one of the multipath signal weighting values, and each multiplier producing one  
11                   weighted data signal component of a plurality of weighted data signal components.

1                   15. The adaptive matched filter (AMF) apparatus of claim 13, wherein the pilot  
2                   vector correlator means further comprises:

3                   local pilot code sequence generator means for generating a plurality of local code  
4                   sequences, each of the code sequences being a code phase-shifted version of the pilot  
5                   spreading code sequence;

6                   a plurality of pilot spreading code correlators, each pilot spreading code correlator  
7                   correlating a respective one of the local code sequences with the spread signal, each  
8                   spreading code correlator comprising multiplication means for multiplying the spread  
9                   signal with the respective one of the local code sequences to produce a correlated pilot  
10                   signal value and accumulator means for accumulating the correlated pilot signal value for  
11                   a predetermined period to produce a despread multipath pilot signal component with a  
12                   carrier signal phase; wherein each one of the plurality of despread multipath pilot signal  
13                   components is applied to a respective one of a plurality of low pass filters to produce a  
14                   multipath signal weighting value corresponding to the carrier signal phase of the

1 / 23

FIG. 1

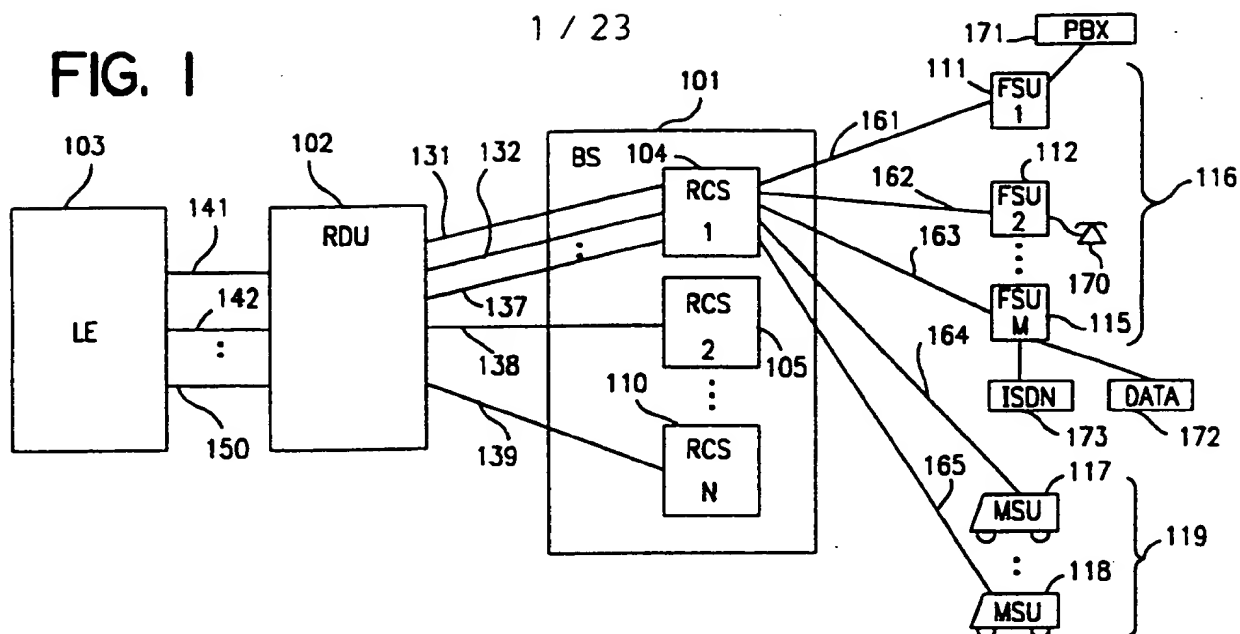


FIG. 2a

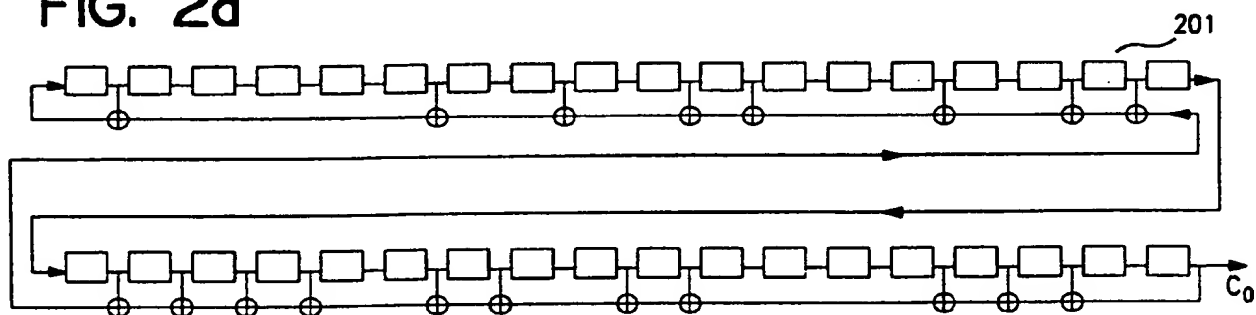


FIG. 2b

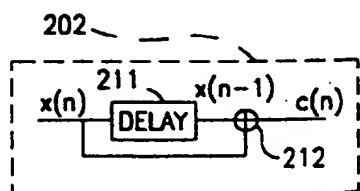
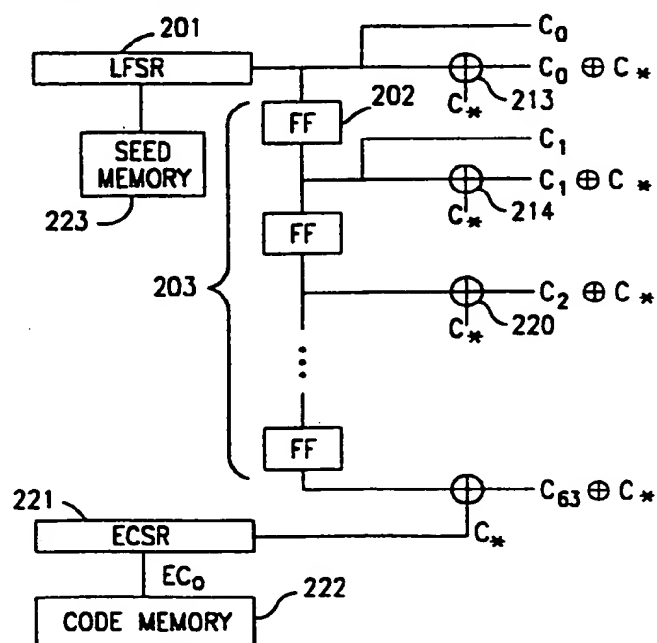
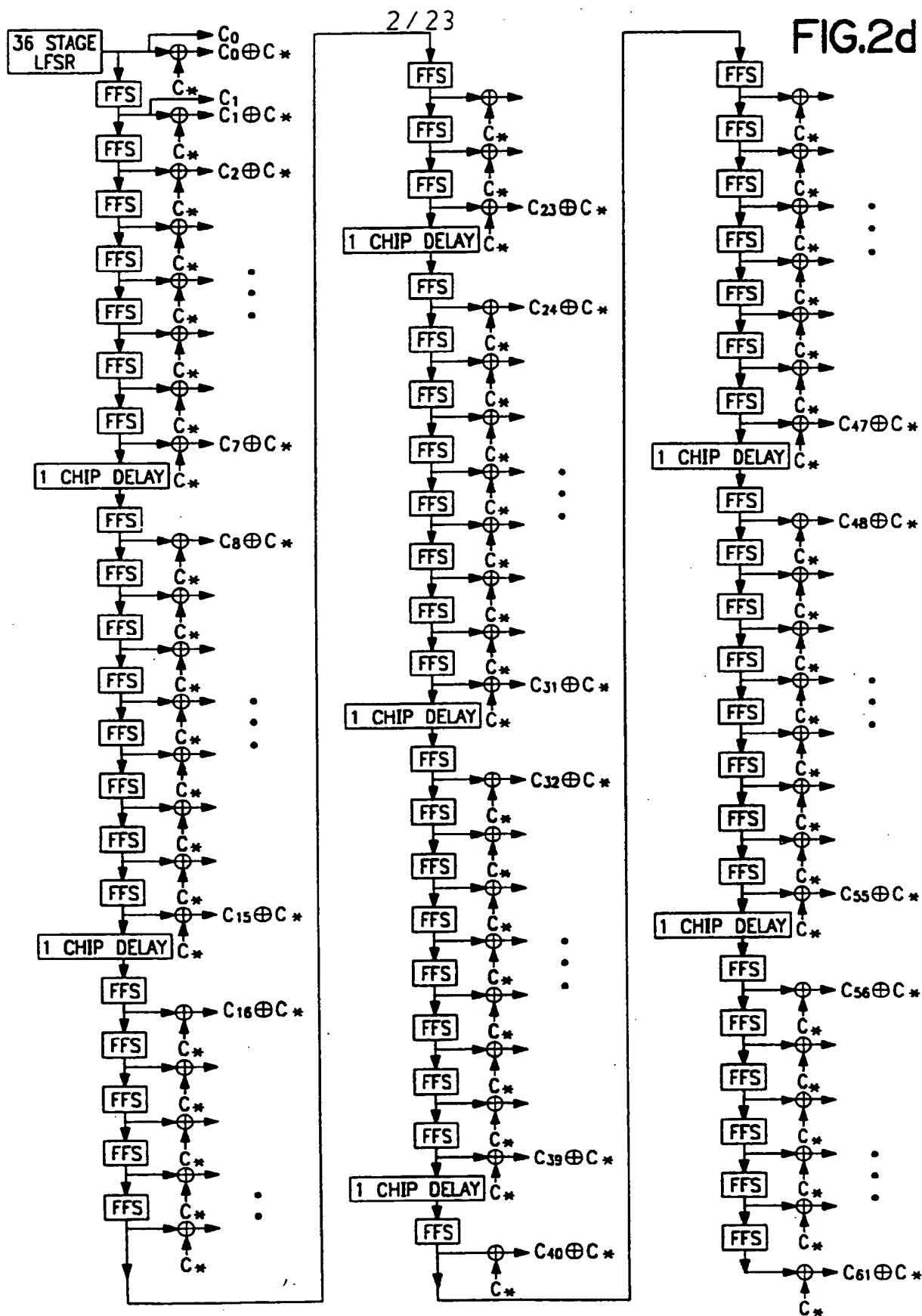


FIG. 2c





3 / 23

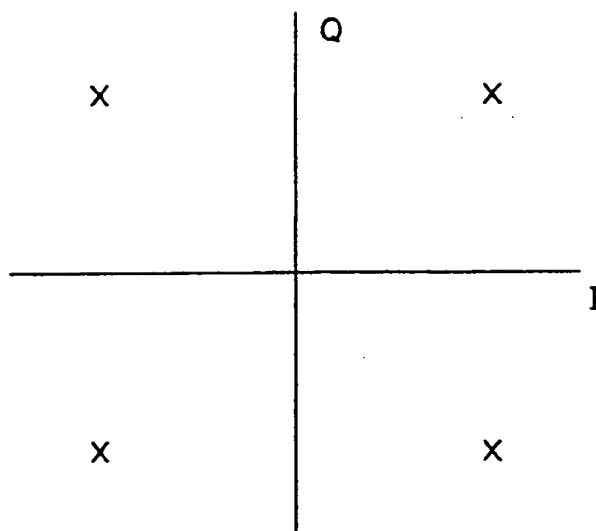


FIG. 3a

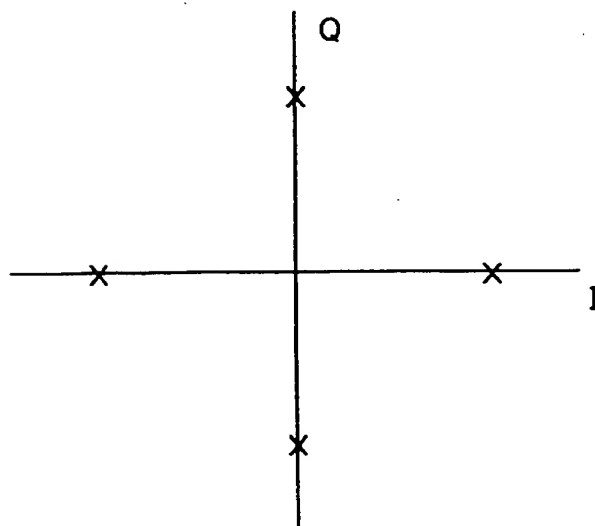


FIG. 3b

4 / 23

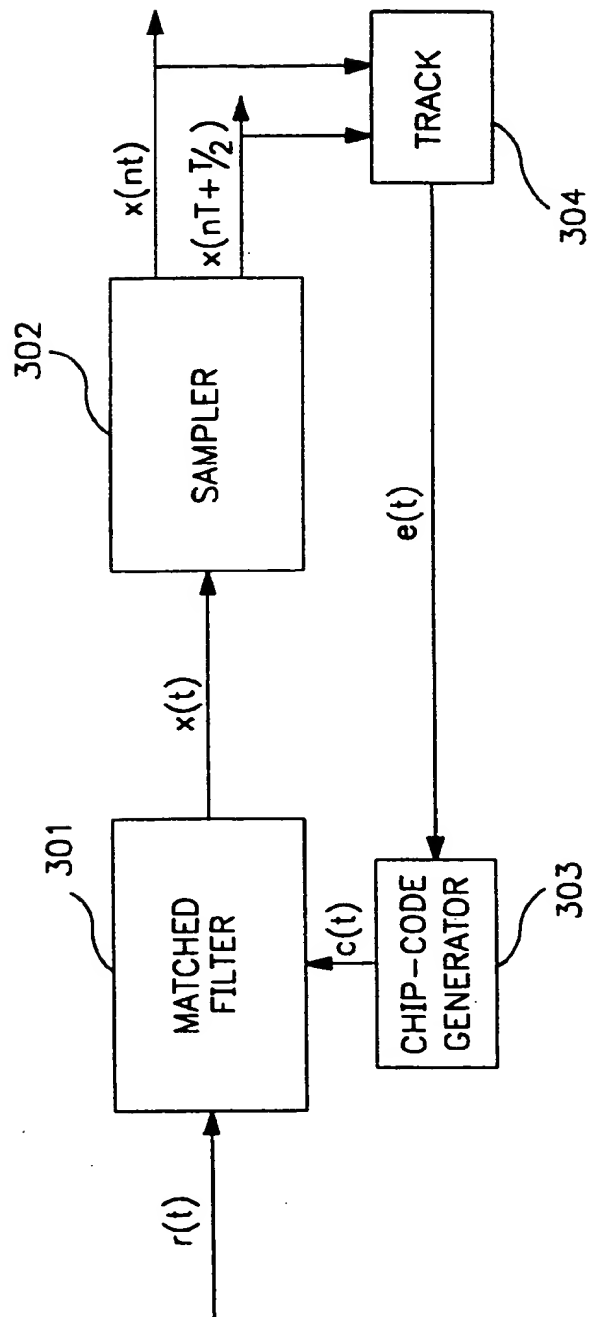
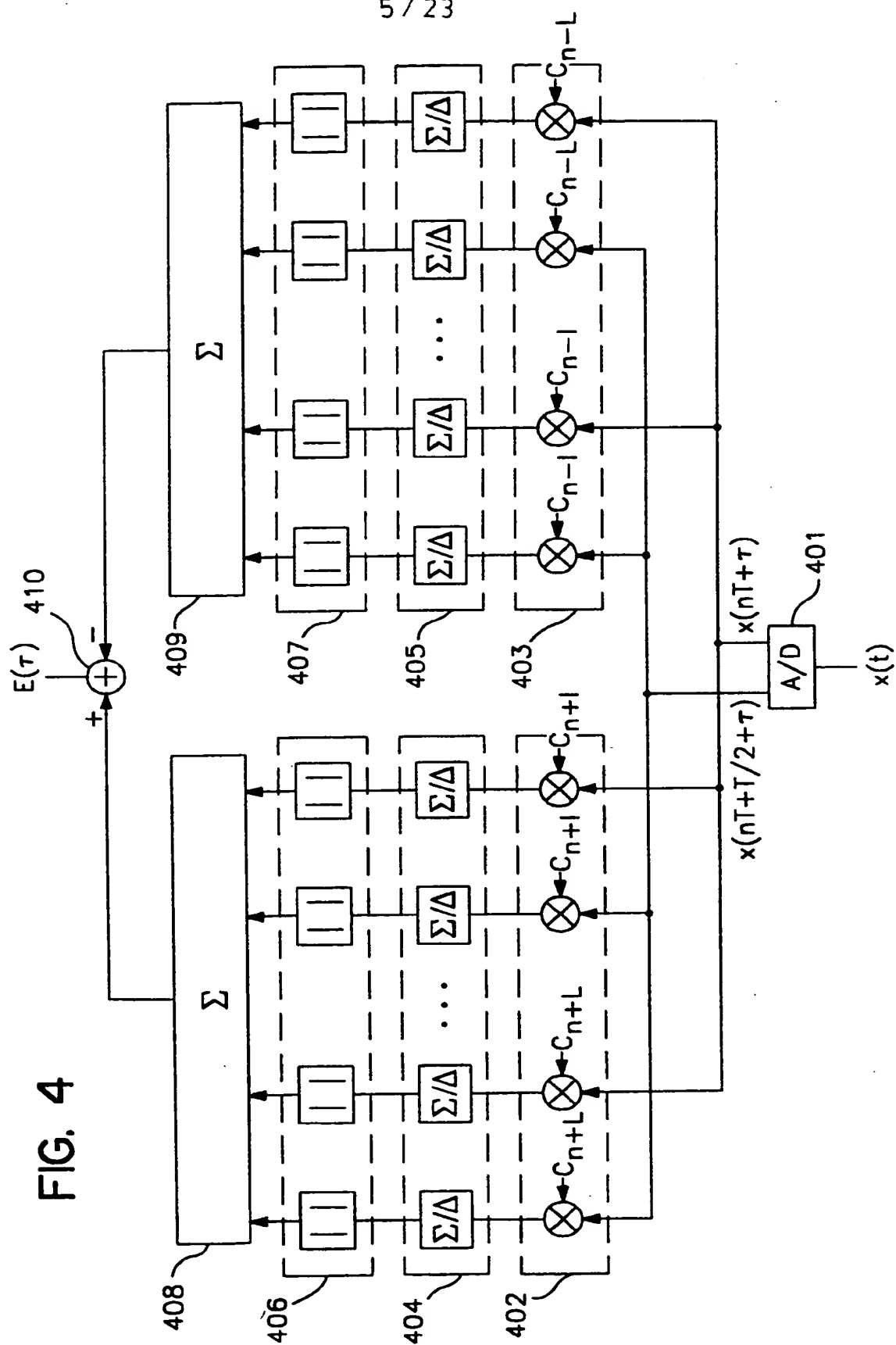
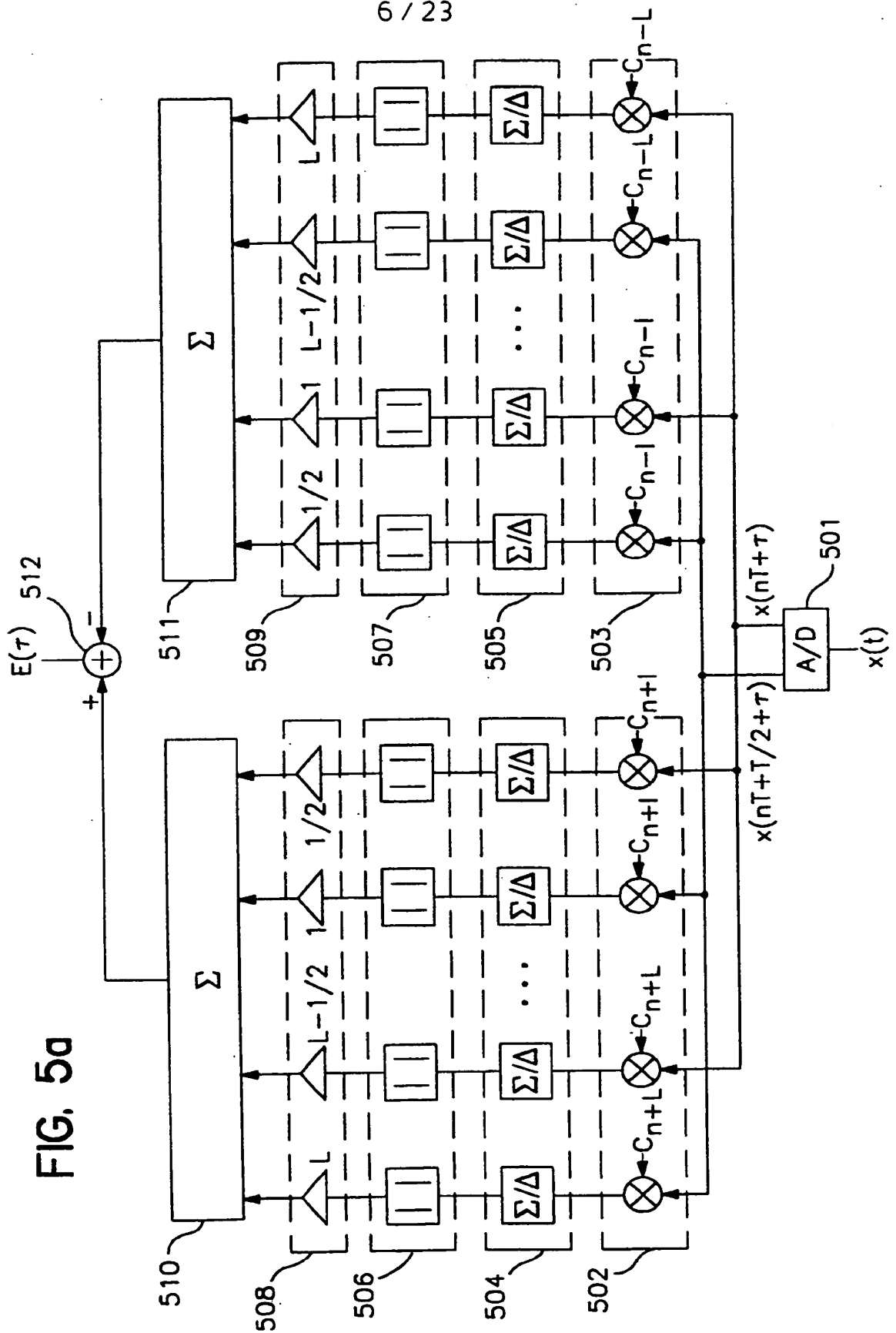


FIG. 3c

5 / 23



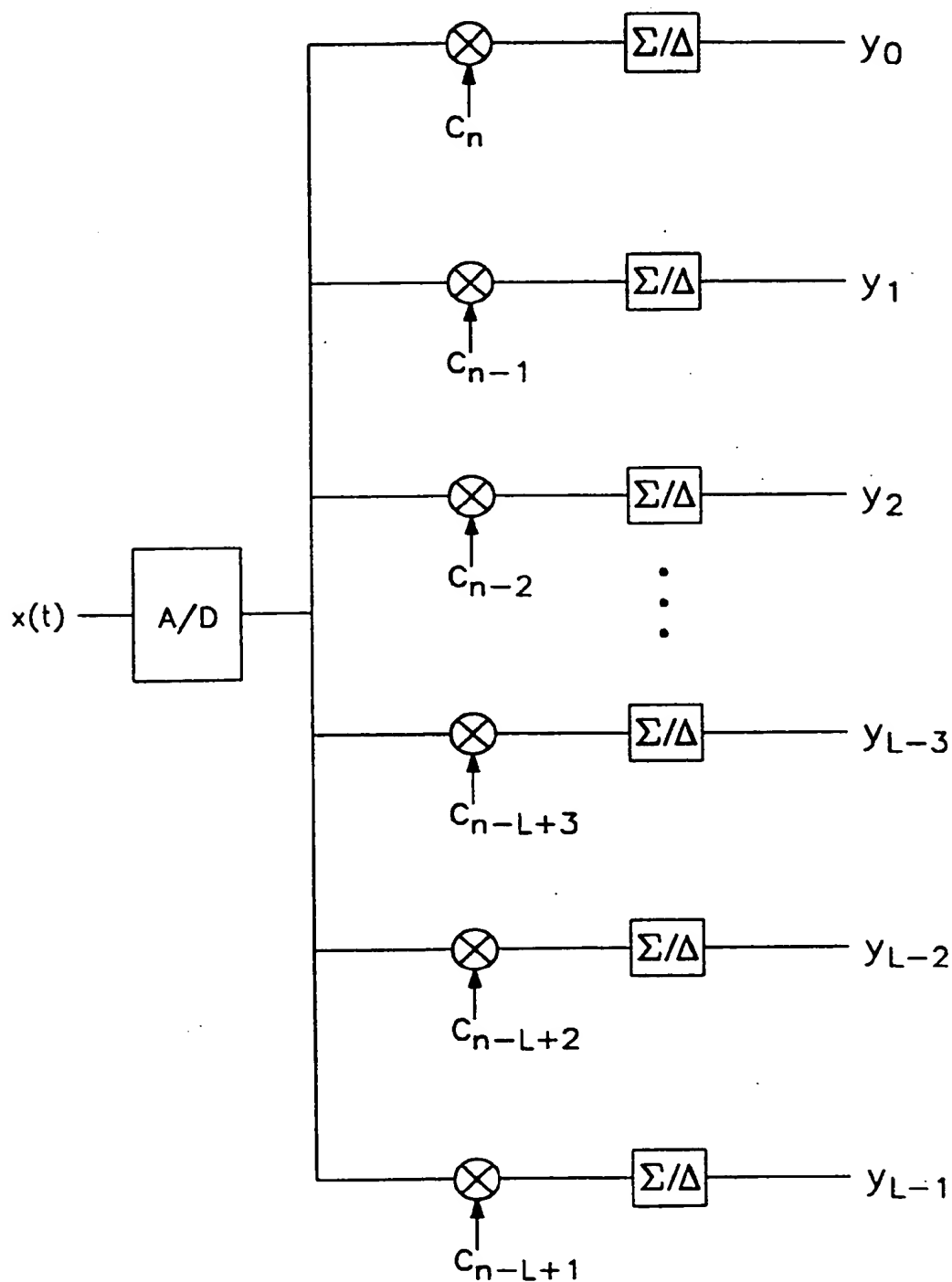
6 / 23





7 / 23

FIG. 5b



8 / 23

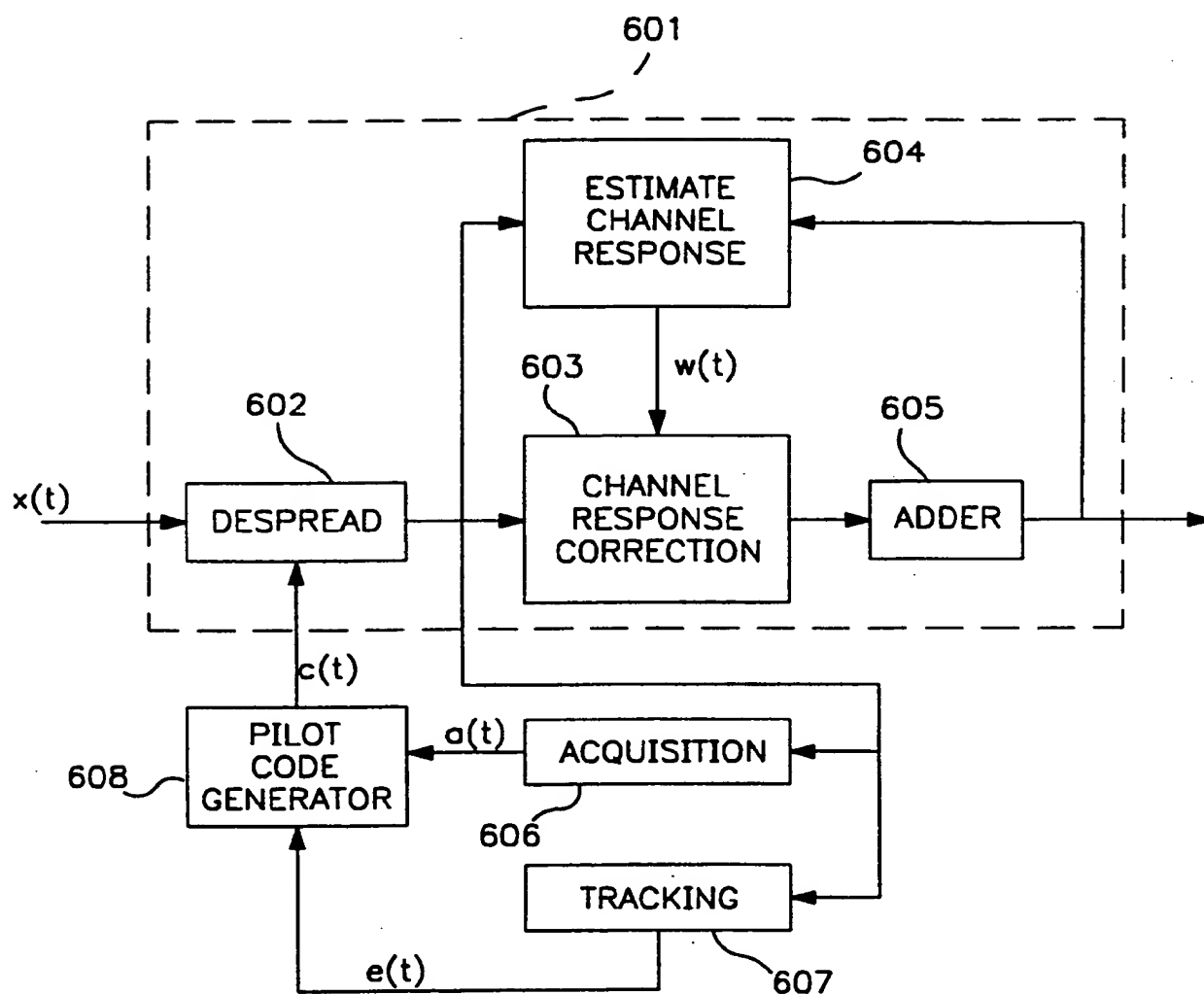


FIG. 6

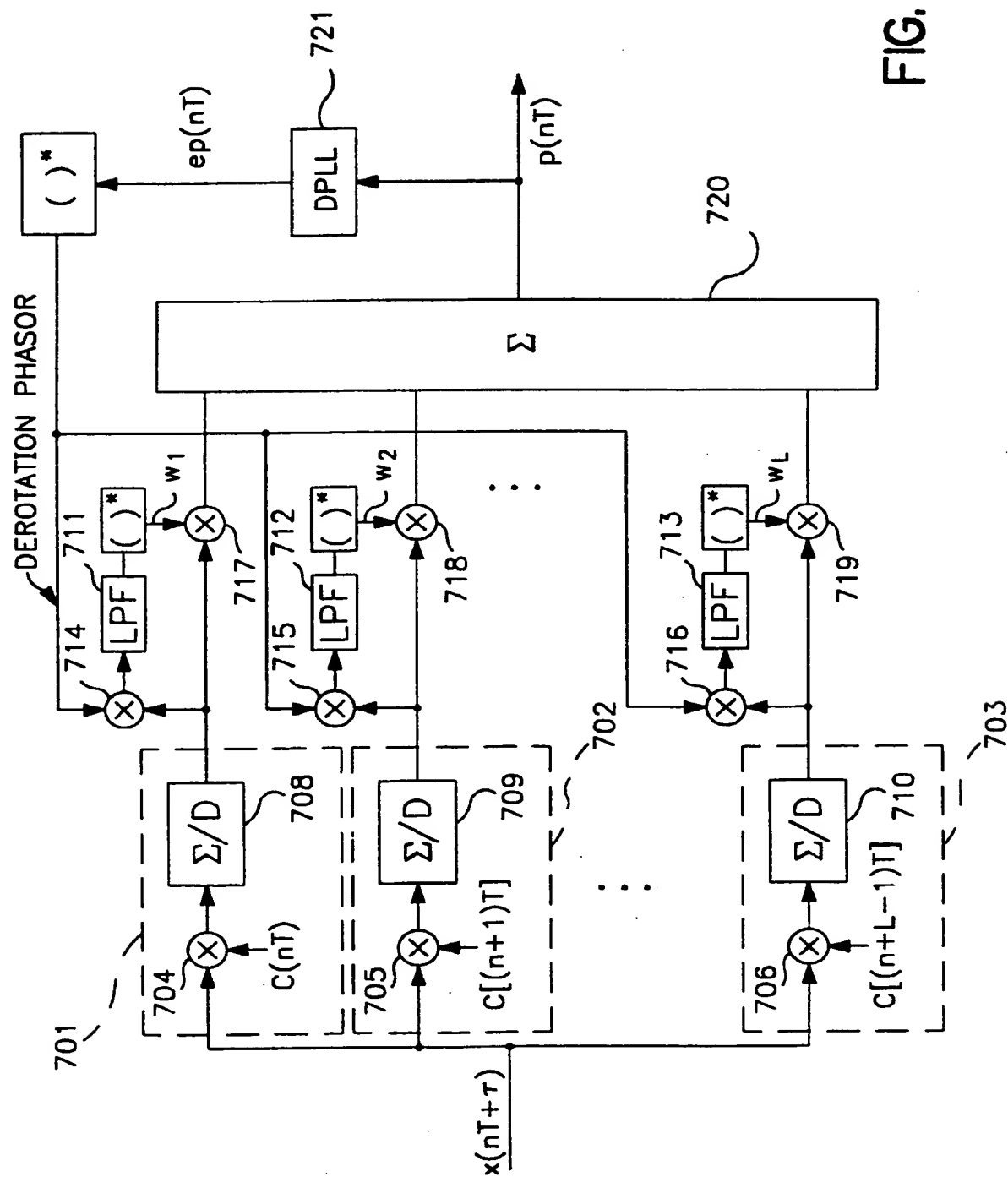


FIG. 7

10 / 23

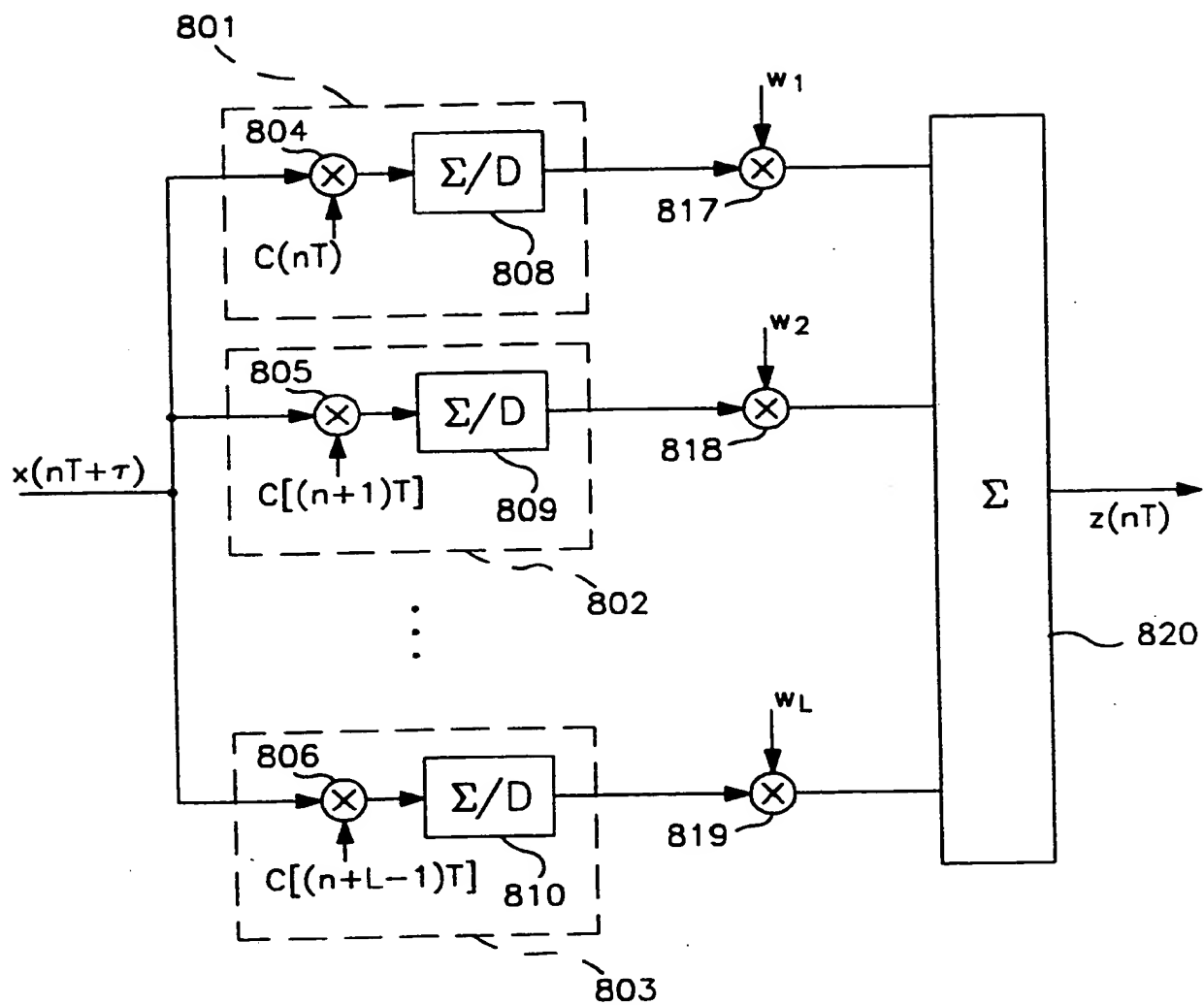


FIG. 8a

11 / 23

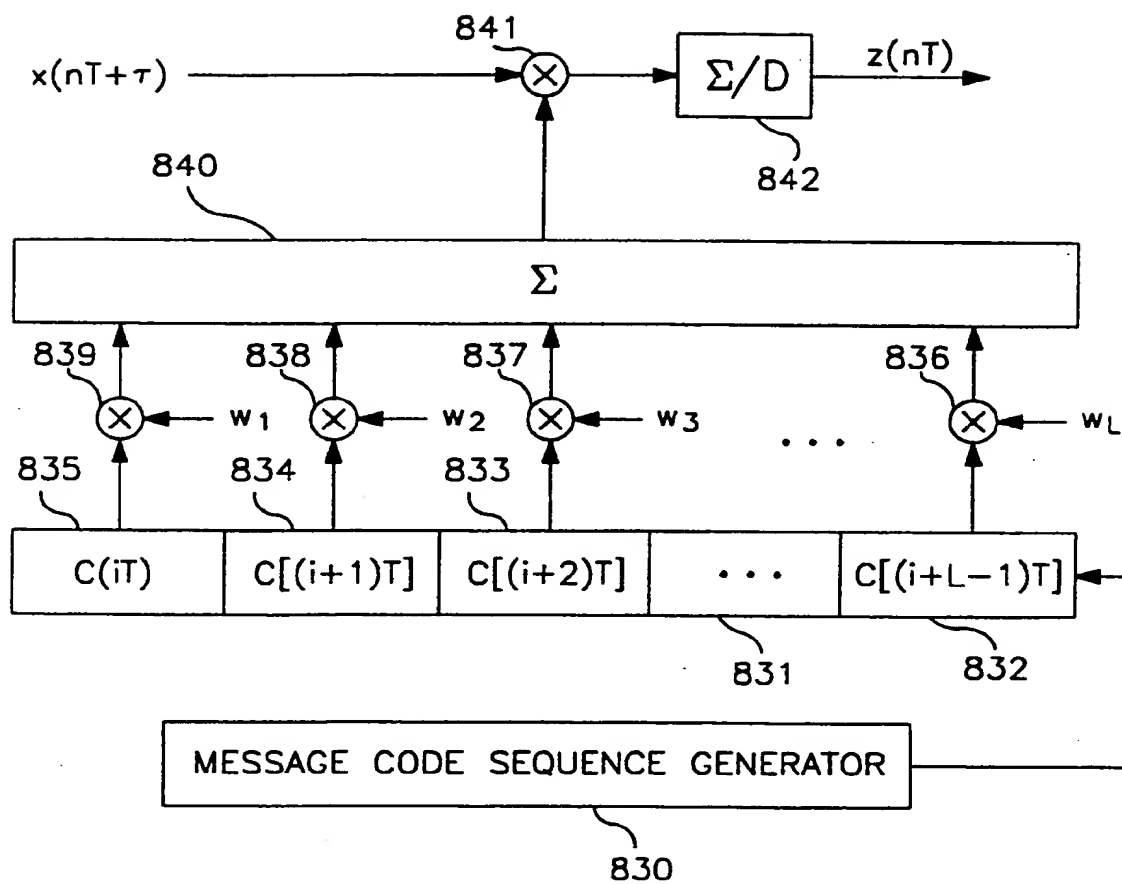


FIG. 8b

12 / 23

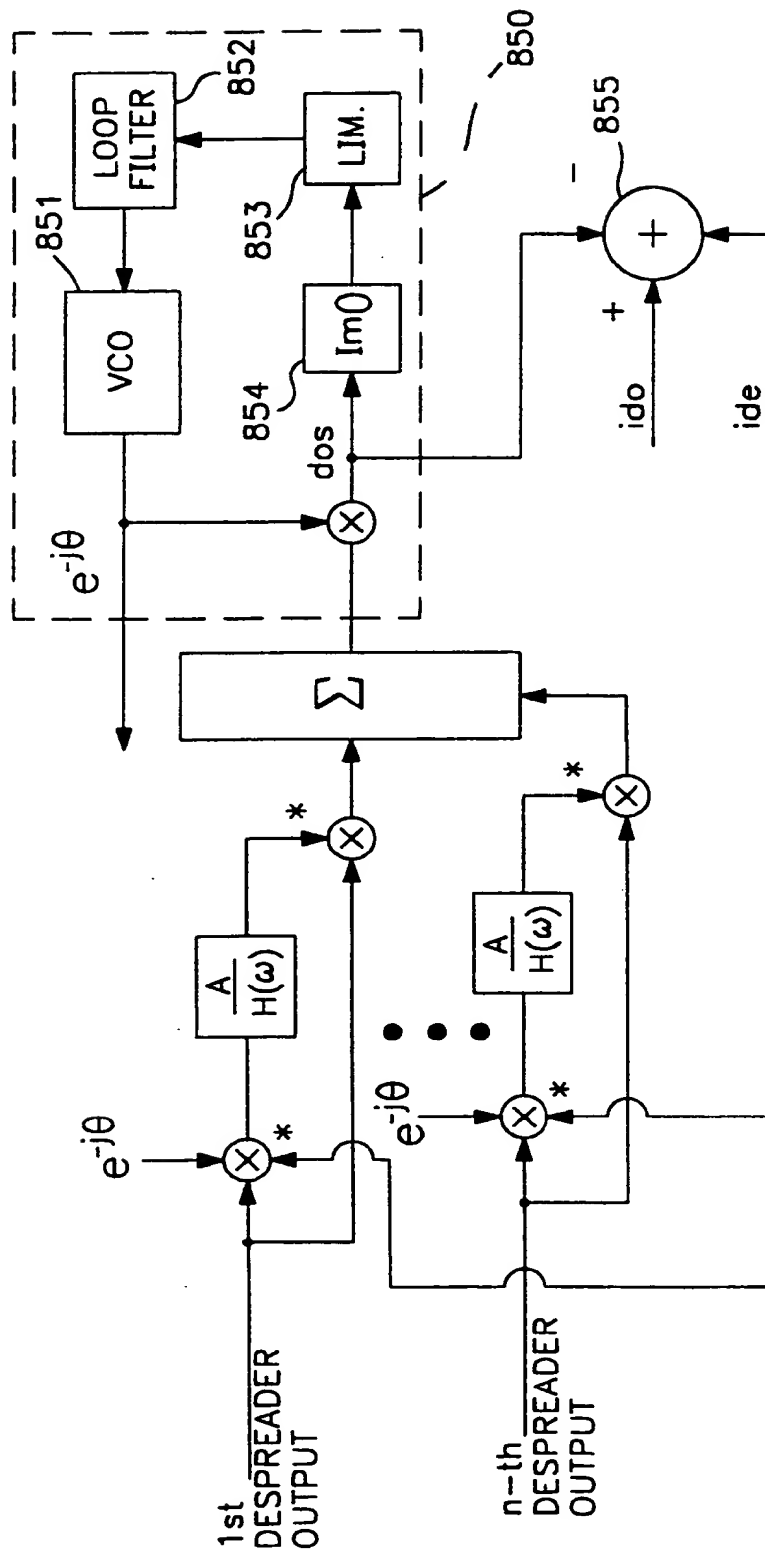


FIG. 8c

13 / 23

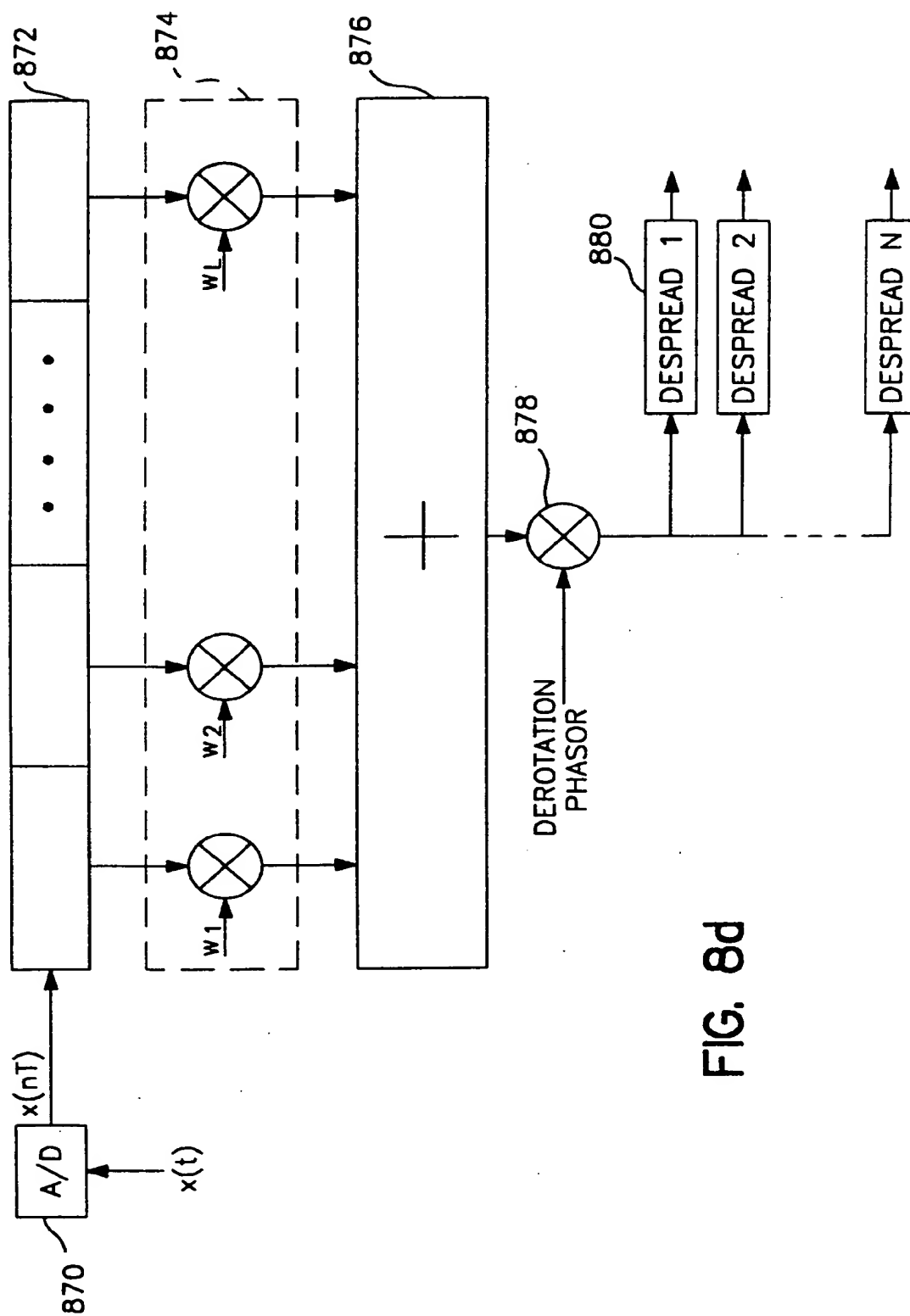


FIG. 8d

14 / 23

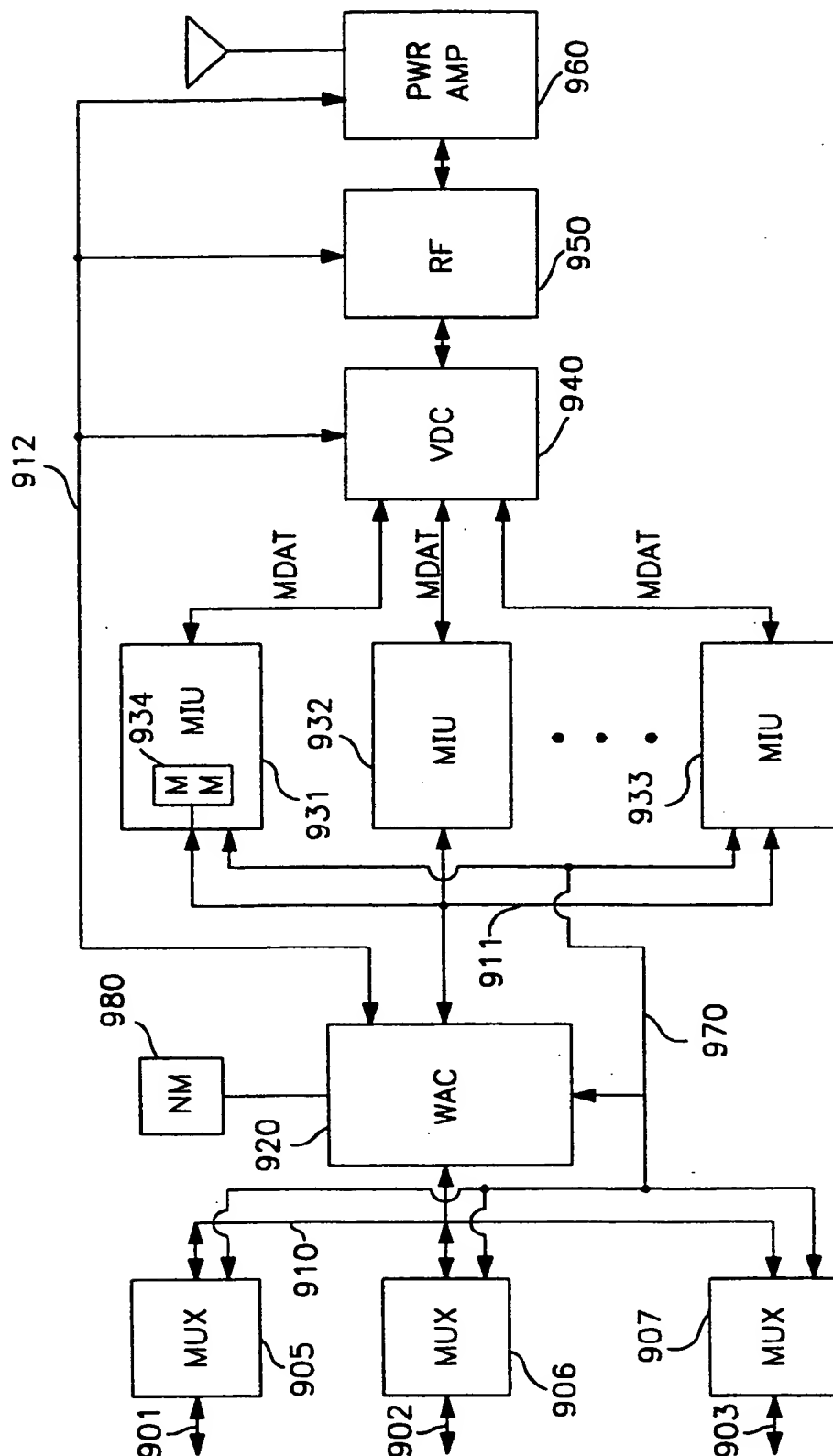


FIG. 9



15 / 23

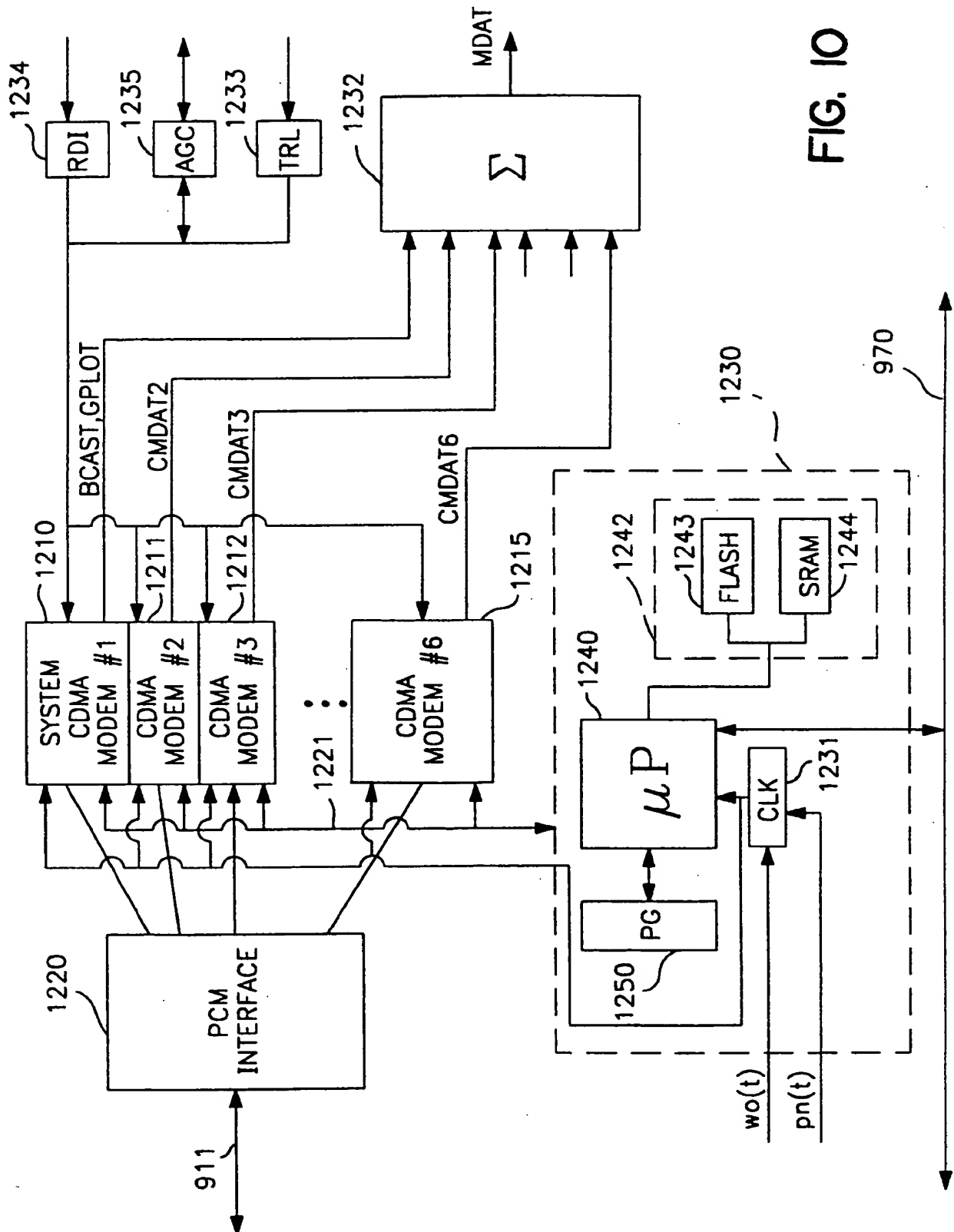


FIG. 10

16 / 23

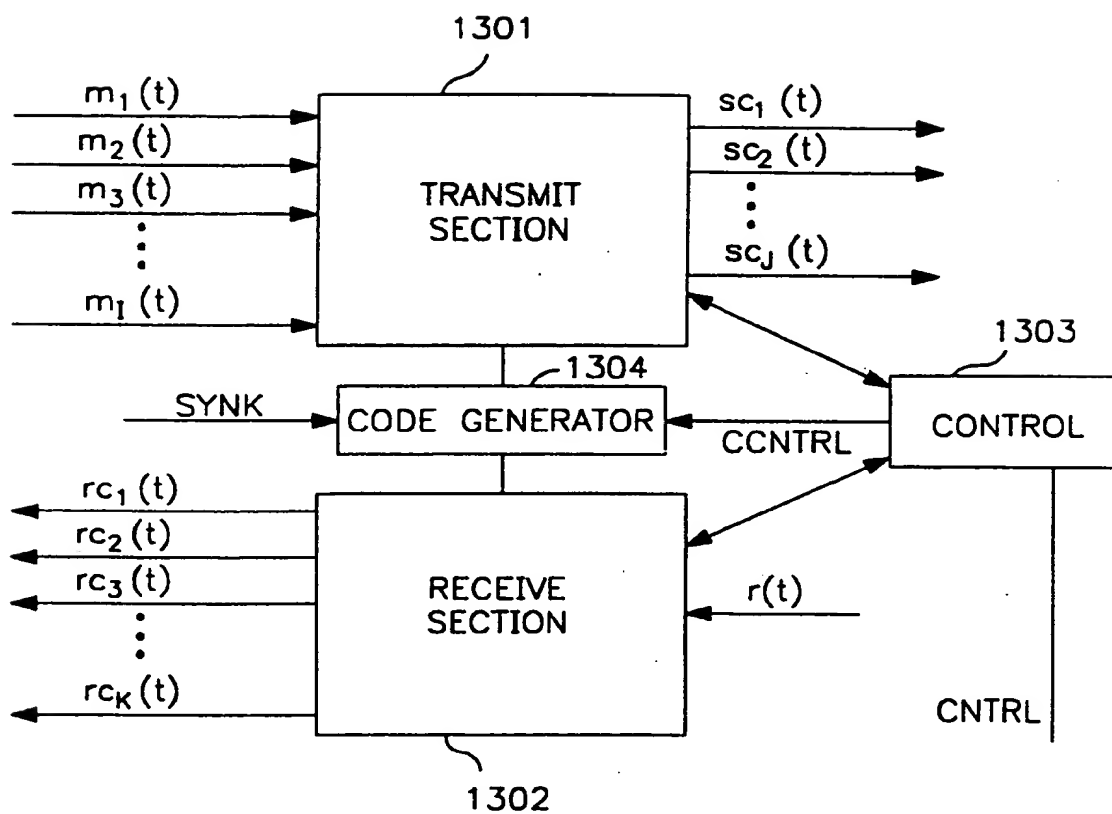


FIG. 11

17/23

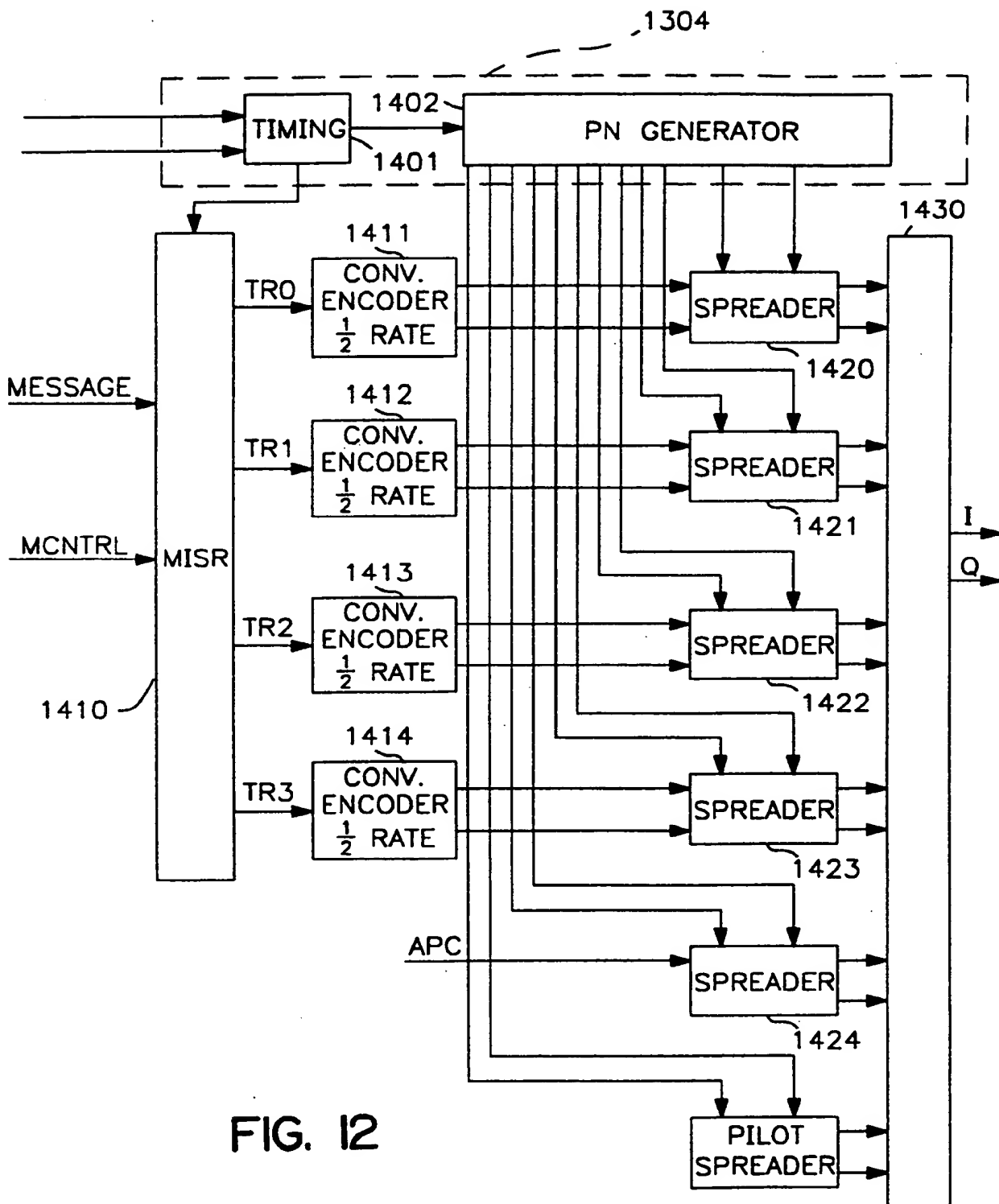
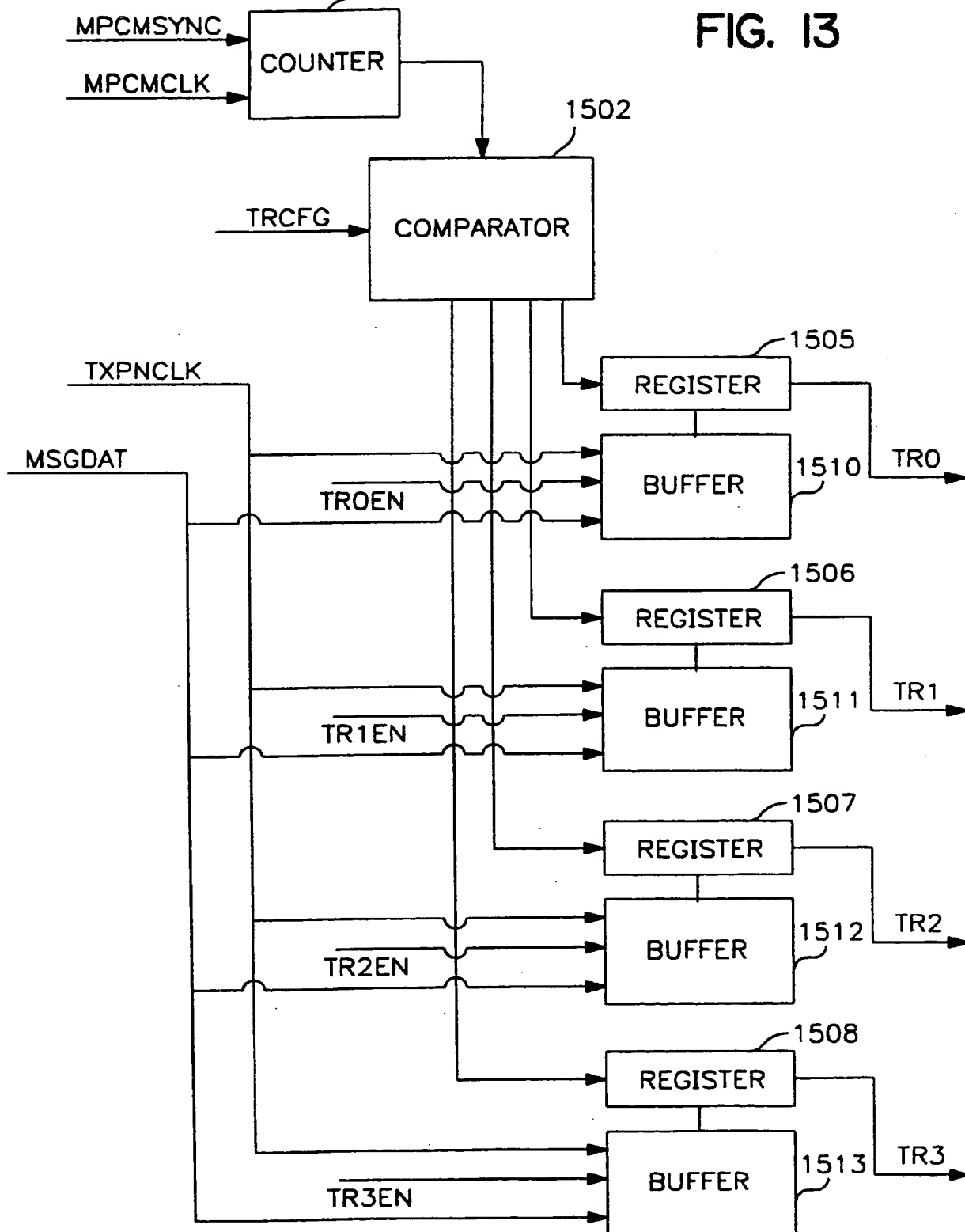


FIG. 12

18 / 23

1501

FIG. 13



19/23

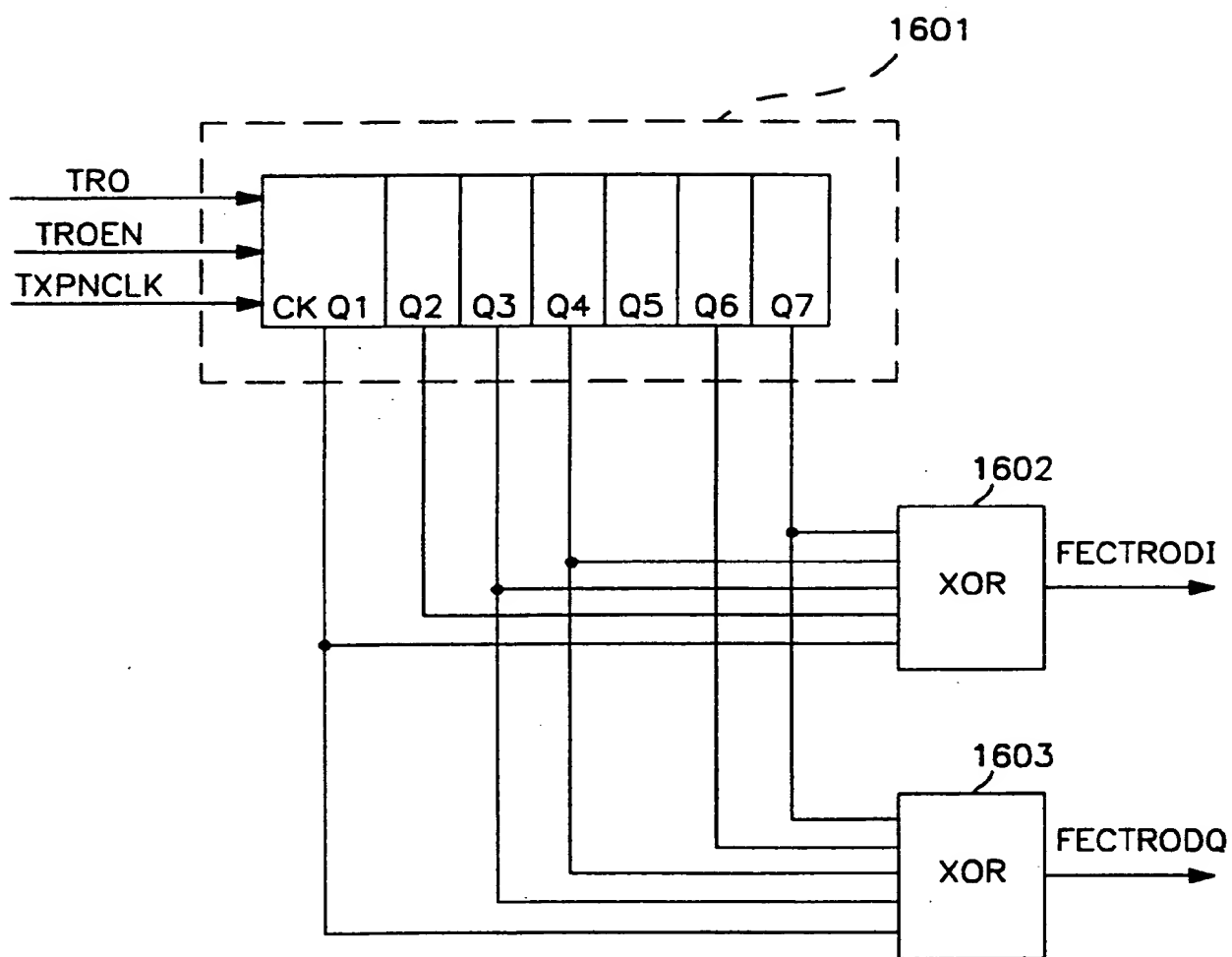


FIG. 14

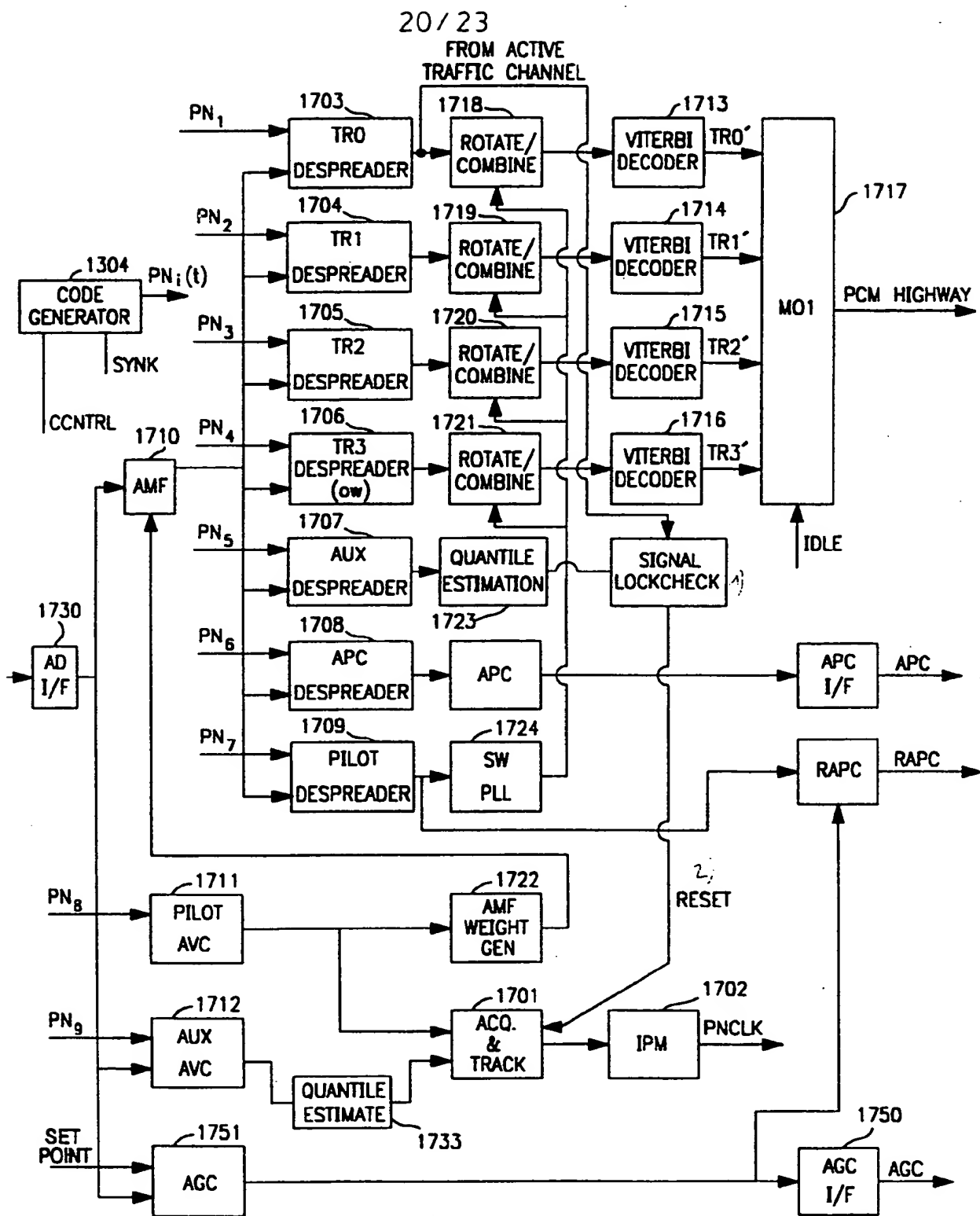


FIG. 15

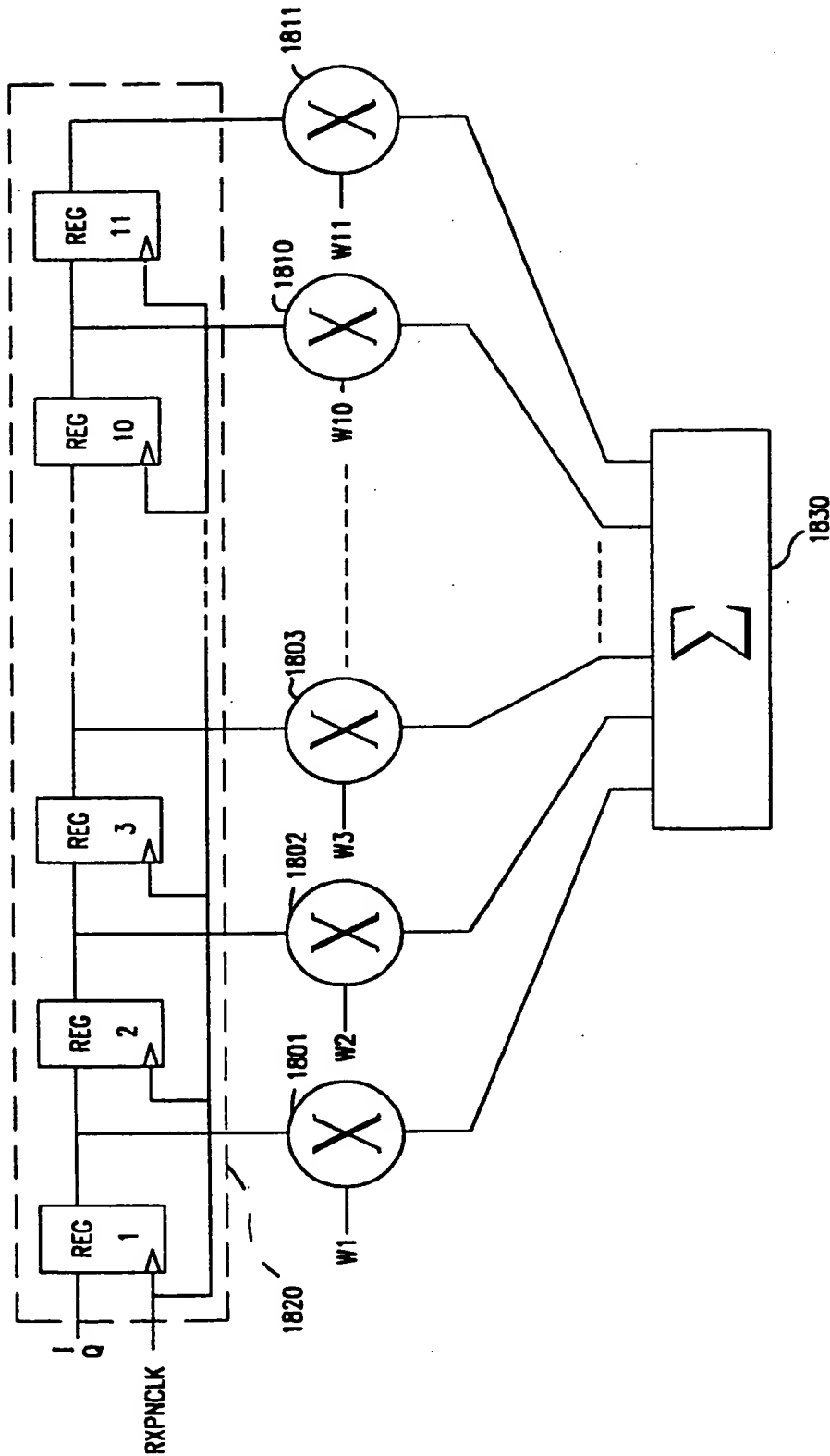


FIG. 16

22 / 23

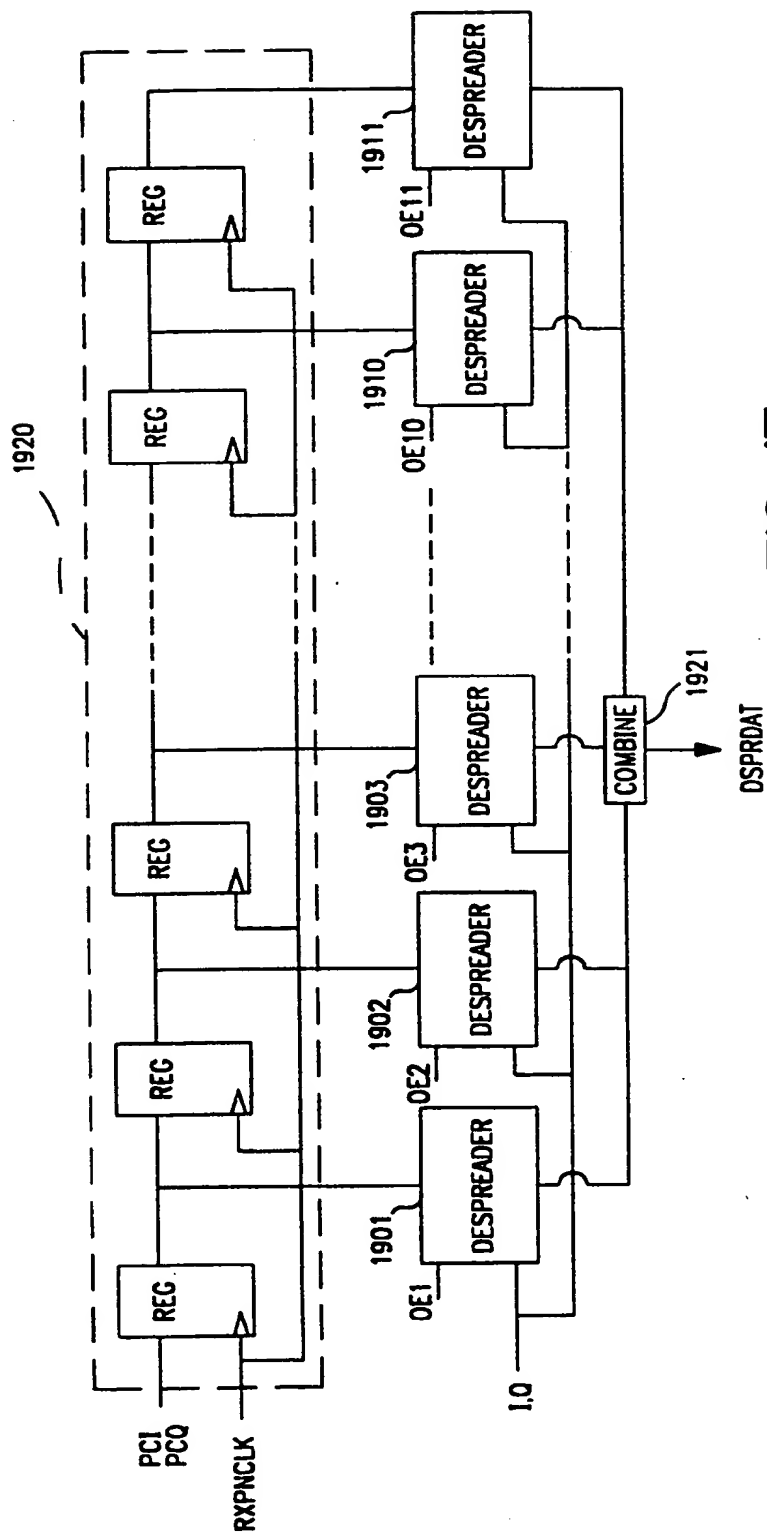


FIG. 17



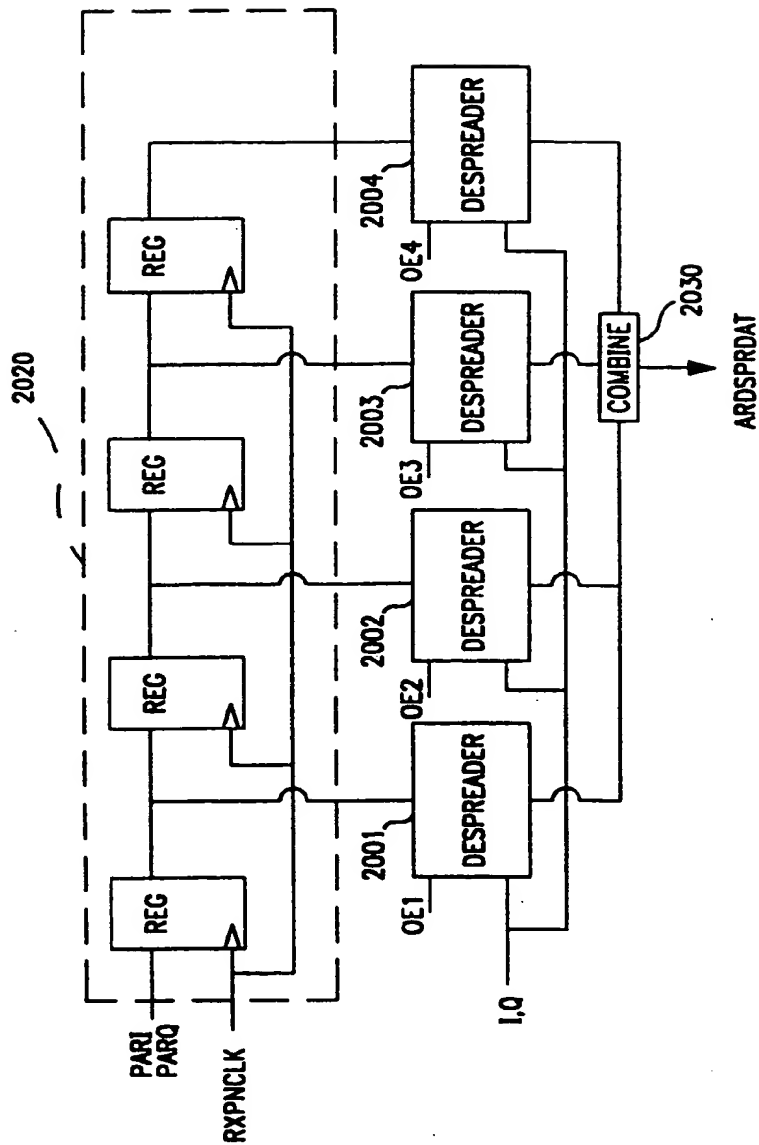


FIG. 18

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

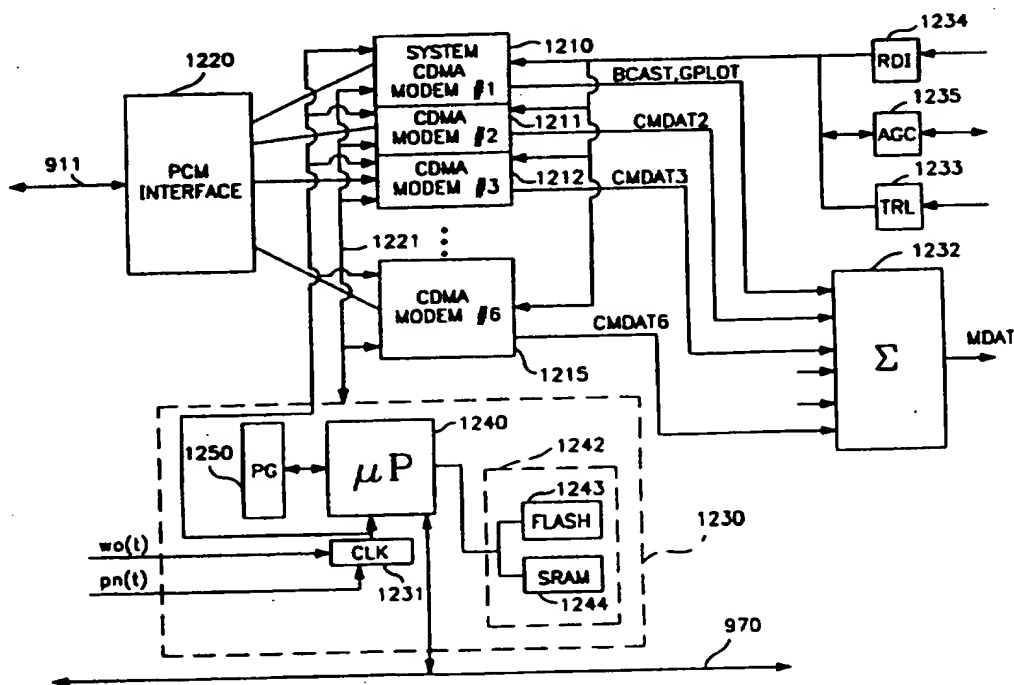
(51) International Patent Classification <sup>6</sup> : <b>H04J 13/04</b>		(11) International Publication Number: <b>WO 97/02675</b>	
<b>A3</b>		(43) International Publication Date: 23 January 1997 (23.01.97)	
(21) International Application Number: <b>PCT/US96/11059</b>		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 27 June 1996 (27.06.96)		<b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(30) Priority Data: 60/000,775 30 June 1995 (30.06.95) US			
(71) Applicant: <b>INTERDIGITAL TECHNOLOGY CORPORATION [US/US];</b> Suite 200, 900 Market Street, Wilmington, DE 19801 (US).			
(72) Inventors: <b>LOMP, Gary;</b> 130 Washington Drive, Centerpot, NY 11721 (US). <b>OZLUTURK, Fatih;</b> 1474 Middle Neck Road, Port Washington, NY 11050 (US). <b>SILVERBERG, Avi;</b> 40 Cottonwood Drive, Commack, NY 11725 (US).			
(74) Agents: <b>NIGON, Kenneth, N. et al.;</b> Ramer & Prestia, P.O. Box 980, One Westlakes, Berwyn, Valley Forge, PA 19482-0980 (US).		(88) Date of publication of the international search report: 03 April 1997 (03.04.97)	

(54) Title: CDMA MODEM

(57) Abstract

A CDMA modem includes a modem transmitter having: a code generator which provides an associated pilot code signal and which generates a plurality of message code signals; a spreading circuit which produces a spread-spectrum message signal by combining each of the information signals with a respective one of the message code signals; and a global pilot code generator that provides a global pilot code signal to which the message code signals are synchronized. The CDMA modem also includes a modem receiver having an associated pilot code generator and a group of associated pilot code correlators for correlating code-phase delayed versions of the associated pilot signal with a receive CDM signal

to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal value until a pilot signal is received. The associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver includes a group of message signal acquisition circuits, each including a plurality of receive message signal correlators which correlate respective local received message code signal to the CDM signal to produce a respective despread received message signal.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

# INTERNATIONAL SEARCH REPORT

Internat'l Application No  
PCT/US 96/11059

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H04J13/04

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04J H04B H03K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 416 797 A (GILHOUSEN KLEIN S ET AL) 16 May 1995 see column 4, line 50 - line 64 see column 5, line 34 - line 41 see column 5, line 55 - column 6, line 11 see column 8, line 65 - column 9, line 4 see column 27, line 9 - line 25 see column 30, line 30 - line 68; figure 9 ---	1-4,13, 16,18,19
A	DE 37 43 731 A (ANT NACHRICHTENTECH) 13 July 1989 see column 3, line 23 - column 4, line 37; figures 3,4 --- -/-	1,3,5,10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

21 February 1997

Date of mailing of the international search report

05.03.97

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+ 31-70) 340-3016

Authorized officer

Bossen, M

## INTERNATIONAL SEARCH REPORT

Intern al Application No

PCT/US 96/11059

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 414 729 A (FENTON PATRICK) 9 May 1995</p> <p>see column 1, line 17 - line 21  see column 2, line 3 - line 8  see column 3, line 22 - line 37  see column 4, line 60 - column 5, line 11  see column 6, line 16 - column 13, line 17  see column 13, line 42 - line 49; figures 1,2,4</p>	1,3,5, 10,19
A	<p>---  US 5 347 536 A (MEEHAN THOMAS K) 13 September 1994  see column 1, line 14 - line 19  see column 8, line 35 - column 10, line 49; figures 13,14</p>	1,3
A	<p>---  US 5 349 606 A (LOVELL JOHN W ET AL) 20 September 1994  see column 3, line 30 - column 4, line 9; figure 3</p>	13,16,18
P,A	<p>---  EP 0 668 662 A (NIPPON TELEGRAPH &amp; TELEPHONE) 23 August 1995  see column 11, line 56 - column 13, line 58; figures 4,5</p>	13,16,18
A	<p>&amp; WO 95 05037 A (NIPPON TELEGRAPH &amp; TELEPHONE)</p>	
P,A	<p>---  US 5 519 736 A (ISHIDA KENJI) 21 May 1996  see column 2, line 45 - column 3, line 58; figures 2,3</p>	19
A	<p>&amp; PATENT ABSTRACTS OF JAPAN  vol. 95, no. 003, 31 March 1995  &amp; JP 07 086982 A (NEC CORP)  see abstract</p> <p>-----</p>	

# INTERNATIONAL SEARCH REPORT

International application No.

PLI/US 96/11059

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

(see Annex)

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 96/ 11059

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/210

1. Claims 1-4: Code Division Multiple Access (CDMA) modem
2. Claims 5-12: Method for tracking a "centroid" of a multipath spread spectrum signal
3. Claims 13-15,18: Adaptive matched filter in a receiver for a spread spectrum communications signal
4. Claims 16,17: Pilot vector correlator apparatus to identify the multi-path elements in a received spread spectrum signal
5. Claims 19-22: Code sequence generator

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern: al Application No

PCT/US 96/11059

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5416797	16-05-95	US-A- 5103459 AU-B- 652956 AU-A- 8401691 BG-A- 97222 CA-A- 2085890 CN-A- 1061312 EP-A- 0536334 HU-A- 64657 IL-A- 98598 JP-T- 6501349 SK-A- 387192 WO-A- 9200639 US-A- 5511073 US-A- 5504773 US-A- 5535239 US-A- 5568483 US-A- 5309474	07-04-92 15-09-94 23-01-92 27-05-94 26-12-91 20-05-92 14-04-93 28-01-94 27-02-94 10-02-94 10-08-94 09-01-92 23-04-96 02-04-96 09-07-96 22-10-96 03-05-94
DE-A-3743731	13-07-89	NONE	
US-A-5414729	09-05-95	AU-A- 8137894 WO-A- 9514937 CN-A- 1128064 AU-B- 660757 AU-A- 3197193 CA-A- 2087909 CN-A,B 1080059 EP-A- 0552975 US-A- 5390207 US-A- 5495499	13-06-95 01-06-95 31-07-96 06-07-95 29-07-93 25-07-93 29-12-93 28-07-93 14-02-95 27-02-96
US-A-5347536	13-09-94	NONE	
US-A-5349606	20-09-94	NONE	
EP-A-0668662	23-08-95	CN-A- 1137335 WO-A- 9505037	04-12-96 16-02-95
US-A-5519736	21-05-96	JP-A- 7086982	31-03-95